

# Monthly Recommendations Report

December 29, 2017



Office of Inspector General  
Department of State  
Broadcasting Board of Governors

## Recommendation Status

As of December 29, 2017, the OIG Management Information System (MIS) reported the following recommendation counts:

|                     |       | Unclassified | Sensitive and Classified | Total |
|---------------------|-------|--------------|--------------------------|-------|
| Open                | State | 452          | 330                      | 829*  |
|                     | BBG   | 32           | 15                       |       |
| Closed within Month | State | 7            | 8                        | 15    |
|                     | BBG   | 0            | 0                        |       |
| Newly Issued        | State | 34           | 0                        | 34**  |
|                     | BBG   | 0            | 0                        |       |

\*this total includes the following recommendations associated with the IBWC: Open Sensitive/Classified: +9.

\*\*this total excludes 2 DOS reports with 35 recommendations, 32 of which are resolved and 3 are unresolved.

## Recommendation Status Explained

**Unresolved:** No agreement between OIG and management on the recommendation or proposed corrective action (remains open).

**Resolved:** Agreement on the recommendation and proposed corrective action (remains open) but implementation has not been completed.

**Closed:** Agreed upon corrective action is complete.

**Action Office:** The organization to which a recommendation has been assigned for action.

## Searching Explained

The recommendations are sorted alphabetically by action office, then by the date the report containing the recommendations was issued.

Use Ctrl+F within your internet browser to search for keywords within this PDF document.

Example searches:

- To find recommendations related to a particular bureau or office, type the name of that bureau or office. For example, "Office of the Deputy Secretary" or "Bureau of Diplomatic Security." [View the Department of State Organizational Chart](#) for more information on Department bureaus and offices.
- To find recommendations related to a particular embassy, type "Embassy" and the city in which the embassy is located. For example, "Embassy Berlin" or "Embassy Kabul."
- To find recommendations with a specific word or phrase, type the keyword. For example, "information technology" or "contracts."

## Additional Information

- This report includes only unclassified information entered into our MIS system as of the last business day of the previous month. For example, the November report will include information in our system as of 4 P.M. on the last business day of October.
- Action office have up to 30 days after a report is issued to respond to newly issued recommendations.
- Questions? Contact the OIG [Office of Congressional and Public Affairs](#).

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| # | ACTION OFFICE            | REPORT NUMBER | REPORT TITLE  | ISSUE DATE | REC NUMBER | RECOMMENDATION   | REC STATUS |
|---|--------------------------|---------------|---|------------|------------|--|------------|
| 1 | Bureau of Administration | ESP-16-01     | Evaluation of the Department of State's FOIA Processes for Requests Involving the Office of the Secretary | 1/7/2016   | 1          | The Bureau of Administration should identify necessary permanent personnel as part of FOIA workforce planning efforts and quickly acquire those resources so the Department can comply with applicable law and improve the timeliness of FOIA searches and responses.  | Resolved   |
| 2 | Bureau of Administration | ISP-I-16-04   | Management Assistance Report: Annual Purchase Card Program Reviews  | 1/22/2016  | 1          | The Bureau of Administration should require bureaus and posts to submit the results of annual purchase card reviews to allow the Bureau of Administration to monitor compliance. (Action: A)   | Resolved   |
| 3 | Bureau of Administration | ESP-16-03     | Office of the Secretary: Evaluation of Email Records Management and Cybersecurity Requirements            | 5/1/2016   | 1          | The Bureau of Administration should <ul style="list-style-type: none"> <li>• continue to issue guidance, including periodic, regular notices, to Department employees to remind them that the use of personal email accounts to conduct official business is discouraged in most circumstances,</li> <li>• clarify and give specific examples of the types of limited circumstances in which such use would be permissible, and</li> <li>• instruct employees how to preserve Federal records when using personal email accounts.</li> </ul> | Resolved   |
| 4 | Bureau of Administration | ESP-16-03     | Office of the Secretary: Evaluation of Email Records Management and Cybersecurity Requirements            | 5/1/2016   | 2          | The Bureau of Administration should amend the Foreign Affairs Manual to reflect the updates to Department recordkeeping systems that provide alternatives to print and file emails that constitute Federal records.  | Resolved   |

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| 5 | Bureau of Administration | ESP-16-03     | Office of the Secretary: Evaluation of Email Records Management and Cybersecurity Requirements | 5/1/2016   | 8          | The Director General of the Foreign Service and Director of Human Resources should amend the Foreign Affairs Manual to provide for administrative penalties for Department employees who (1) fail to comply with recordkeeping laws and regulations or (2) fail to comply with Department policy that only authorized information systems are to be used to conduct day-to-day operations. The amendment should include explicit steps employees should take if a reasonable suspicion exists that documents are not being preserved appropriately, including a reminder that the Office of Inspector General has jurisdiction to investigate and refer to appropriate authorities suspected violations of records preservation requirements. | Resolved   |
| 6 | Bureau of Administration | AUD-FM-16-47  | Audit of Department of State Strategic Sourcing Efforts  | 9/7/2016   | 10         | OIG recommends that the Bureau of Administration, in coordination with the Strategic Sourcing Council (Recommendation 1), develop and implement a process to track bureau and office compliance with Department requirements to use strategic sourcing initiatives.   | Resolved   |
| 7 | Bureau of Administration | AUD-FM-16-47  | Audit of Department of State Strategic Sourcing Efforts  | 9/7/2016   | 11         | OIG recommends that the Bureau of Administration, in coordination with the Strategic Sourcing Council (Recommendation 1), develop and implement controls to prohibit users from ordering office supplies from vendors other than the approved Federal Strategic Sourcing Initiative office supply vendors without obtaining a valid waiver.   | Resolved   |

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| 8  | Bureau of Administration | AUD-SI-16-43  | Compliance Follow-up Review of the Department of State's Implementation of Executive Order 13526, Classified National Security Information | 9/20/2016  | 1          | OIG recommends that the Bureau of Administration develop and disseminate guidance to all Department of State (Department) bureaus and offices regarding how the bureaus should meet their responsibilities outlined in the Foreign Affairs Manual for monitoring and enforcing the mandatory classification training requirements for all Department employees. The guidance should specify, at a minimum, how the bureaus should identify their staff members who require classification training to comply with Executive Order 13526, when each bureau's initial list of individuals who must take the required training is due to the Bureau of Administration, and how often the lists need to be updated. The guidance should also specify the procedures that each bureau must follow to sanction security-cleared individuals who do not take the required training. | Resolved   |
| 9  | Bureau of Administration | AUD-SI-16-43  | Compliance Follow-up Review of the Department of State's Implementation of Executive Order 13526, Classified National Security Information | 9/20/2016  | 2          | OIG recommends that the Bureau of Administration, in coordination with the Bureau of Diplomatic Security, develop and disseminate guidance to Department of State bureaus and offices that describes when a security-cleared contractor must take classification training required by Executive Order 13526, who will pay for the training, and how the suspension of classification authority will apply to security-cleared contractors who do not complete the required training.   | Resolved   |
| 10 | Bureau of Administration | AUD-SI-16-43  | Compliance Follow-up Review of the Department of State's Implementation of Executive Order 13526, Classified National Security Information | 9/20/2016  | 5          | OIG recommends that the Bureau of Administration develop and implement a process to formally request and obtain, from all bureaus and offices within the Department of State, annual reports of all classification decisions made to facilitate compliance with Executive Order 13526 and with the inspection and reporting requirements contained in Title 32, Code of Federal Regulations, Sections 2001.60 and 2001.90.   | Resolved   |

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| 11 | Bureau of Administration | AUD-SI-16-43   | Compliance Follow-up Review of the Department of State's Implementation of Executive Order 13526, Classified National Security Information  | 9/20/2016  | 6          | OIG recommends that the Bureau of Administration develop and disseminate guidance to all bureaus and offices regarding the creation and maintenance of repositories of classified documents to facilitate the count of classification decisions reported annually in the Agency Security Classification Management Program Data form and to facilitate the review of a representative sample of classified documents during each self-inspection.                               | Resolved   |
| 12 | Bureau of Administration | AUD-FM-17-06   | Management Assistance Report: Incorrect Post Allowance Rate for Embassy Berlin, Germany   | 11/10/2016 | 2          | OIG recommends that the Bureau of Administration, in coordination with the Bureau of the Comptroller and Global Financial Services, determine the amount saved (for at least the first pay period after application of the recalculated allowance), which over a 2-year period OIG estimated to be \$1.1 million for Embassy Berlin and \$44,000 for Consulate General Dusseldorf.  | Resolved   |
| 13 | Bureau of Administration | AUD-MERO-17-33 | Aspects of the Invoice Review Process Used by the Bureau of Near Eastern Affairs to Support Contingency Operations in Iraq Need Improvement | 3/3/2017   | 8          | OIG recommends that the Bureau of Administration, Office of Logistics Management, Office of Acquisitions Management, develop and implement a) a methodology to calculate the cost associated with the contractor not meeting performance metrics and b) a process to reduce payment to the contractor when contractual requirements are not being met. This methodology and process should be included in all current and future Bureau of Near Eastern Affairs Iraq contracts. | Resolved   |
| 14 | Bureau of Administration | ISP-I-17-12    | Inspection of Embassy Monrovia, Liberia   | 5/24/2017  | 10         | The Bureau of Comptroller and Global Financial Services should conduct an audit to determine how much Embassy Monrovia overpaid for airline tickets and, as appropriate, collect the overpayments from the employees who benefitted. (Action: CGFS)   | Resolved   |

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| 15 | Bureau of Administration | ISP-17-33     | Management Assistance Report: Improved Oversight Needed to Standardize the Use of Risk Assessments and Monitoring Plans for Overseas Grants | 7/10/2017  | 1          | The Bureau of Administration, in coordination with the Foreign Service Institute, should accelerate development of updated online training that adequately explains risk assessment and monitoring plan requirements for grants and cooperative agreements. (Action: A, in coordination with FSI)  | Resolved   |
| 16 | Bureau of Administration | ISP-17-33     | Management Assistance Report: Improved Oversight Needed to Standardize the Use of Risk Assessments and Monitoring Plans for Overseas Grants | 7/10/2017  | 2          | The Bureau of Administration should issue cable guidance to overseas missions explaining the importance of risk assessments and monitoring plans and how to comply with Department of State requirements on their use. (Action: A)   | Resolved   |
| 17 | Bureau of Administration | ISP-17-33     | Management Assistance Report: Improved Oversight Needed to Standardize the Use of Risk Assessments and Monitoring Plans for Overseas Grants | 7/10/2017  | 3          | The Bureau of Administration, in coordination with the Department's six regional bureaus, should develop standardized and scalable templates that are tailored for overseas use and consistent with Department requirements on the preparation and use of risk assessments and monitoring plans for grants and cooperative agreements. (Action: A, in coordination with AF, EAP, EUR, NEA, SCA, and WHA) | Resolved   |
| 18 | Bureau of Administration | ISP-17-31     | Inconsistent Guidance Governing Property Controls Over Mobile Devices Left Department at Risk of Wasteful Spending                          | 8/14/2017  | 1          | The Bureau of Administration should publish specific, clear and consistent guidance and procedures for the management, inventory, and tracking of smart phones and similar mobile devices. (Action: A)   | Resolved   |
| 19 | Bureau of Administration | ISP-17-31     | Inconsistent Guidance Governing Property Controls Over Mobile Devices Left Department at Risk of Wasteful Spending                          | 8/14/2017  | 2          | The Bureau of Administration, in coordination with the Bureau of Information Resource Management, should issue comprehensive guidance mandating the use of the Office of Mobile and Remote Access for procurement of mobile devices and service plans. (Action: A, in coordination with IRM)   | Resolved   |
| 20 | Bureau of Administration | AUD-FM-17-51  | Audit of Select Cost-of-Living Allowances for American Employees Stationed in Foreign Areas   | 8/31/2017  | 1          | OIG recommends the Bureau of Administration develop and implement a plan to use independent economic data to determine post allowance rates where such data for post exist.  | Resolved   |

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| 21 | Bureau of Administration | AUD-FM-17-51  | Audit of Select Cost-of-Living Allowances for American Employees Stationed in Foreign Areas | 8/31/2017  | 2          | OIG recommends the Bureau of Administration re-determine and update by the end of FY 2018 post allowance rates using independent economic data where such data for post exist. Once the rates have been updated, the Bureau of Administration should determine the amount saved by implementing the new rates. OIG determined that using independent, economic data would have saved the Department approximately \$18.2 million between FY 2013 and FY 2015 for six posts tested (that is, funds that could have been put to better use).  | Resolved   |
| 22 | Bureau of Administration | AUD-FM-17-51  | Audit of Select Cost-of-Living Allowances for American Employees Stationed in Foreign Areas | 8/31/2017  | 3          | For cases in which independent cost-of-living indexes are not available to establish post allowance rates, OIG recommends that the Bureau of Administration revise and distribute updated policies and procedures to posts related to the post allowance rate methodology that provide detailed procedures for (a) collecting and summarizing living pattern questionnaires in eAllowances, and (b) collecting prices that reflect the requirements outlined in the Department of State Standardized Regulations on the retail price schedule Parts 3 and 4.  | Resolved   |
| 23 | Bureau of Administration | AUD-FM-17-51  | Audit of Select Cost-of-Living Allowances for American Employees Stationed in Foreign Areas | 8/31/2017  | 4          | For cases in which independent cost-of-living indexes are not available to establish post allowance rates, OIG recommends that the Bureau of Administration revise and distribute updated internal procedures for calculating post allowances to include detailed instructions to (a) verify post living pattern questionnaire summary information in eAllowances with hard copies provided by post, and (b) remove inappropriate or unreasonable prices from the retail price schedule in a consistent manner across all subcategories of goods, including the use of independent data when available. | Resolved   |

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| 24 | Bureau of Administration | AUD-FM-17-51  | Audit of Select Cost-of-Living Allowances for American Employees Stationed in Foreign Areas | 8/31/2017  | 5          | For cases in which independent cost-of-living indexes are not available to establish post allowance rates, OIG recommends that the Bureau of Administration conduct and formally document an analysis to determine how to make the Washington, DC, prices used in the post allowance rate calculations reflective of the consumption patterns of the average salary level for a post employee stationed in a foreign area so that data are comparable between Washington, DC, and the overseas post. The Bureau of Administration should implement changes as necessary as a result of its analysis. | Resolved   |
| 25 | Bureau of Administration | AUD-FM-17-51  | Audit of Select Cost-of-Living Allowances for American Employees Stationed in Foreign Areas | 8/31/2017  | 6          | OIG recommends the Bureau of Administration revise the Department of State Standardized Regulations for changes in the post allowance methodology as a result of implementing recommendations from this report.  | Resolved   |
| 26 | Bureau of Administration | AUD-FM-17-51  | Audit of Select Cost-of-Living Allowances for American Employees Stationed in Foreign Areas | 8/31/2017  | 7          | OIG recommends that the Bureau of Administration develop and implement standard operating procedures to specify how analysts maintain and update the base schools list.  | Resolved   |
| 27 | Bureau of Administration | AUD-FM-17-51  | Audit of Select Cost-of-Living Allowances for American Employees Stationed in Foreign Areas | 8/31/2017  | 8          | OIG recommends that the Bureau of Administration develop and implement standard operating procedures for selecting base schools by grade for posts that do not have Department of State-assisted schools.  | Resolved   |
| 28 | Bureau of Administration | AUD-FM-17-51  | Audit of Select Cost-of-Living Allowances for American Employees Stationed in Foreign Areas | 8/31/2017  | 9          | OIG recommends that the Bureau of Administration develop and implement standard operating procedures to maintain and update an independent list of the conclusions related to the adequacy of Department of State-assisted schools.  | Resolved   |
| 29 | Bureau of Administration | AUD-FM-17-51  | Audit of Select Cost-of-Living Allowances for American Employees Stationed in Foreign Areas | 8/31/2017  | 10         | OIG recommends that the Bureau of Administration review and update voluntary and involuntary separate maintenance allowance rates in accordance with its internal standard operating procedures and update the rates in the Department of State Standardized Regulations accordingly.  | Resolved   |

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| 30 | Bureau of Administration  | AUD-FM-17-51  | Audit of Select Cost-of-Living Allowances for American Employees Stationed in Foreign Areas     | 8/31/2017  | 11         | OIG recommends that the Bureau of Administration develop and implement internal controls to ensure separate maintenance allowance rates are reviewed annually, as required.  | Resolved   |
| 31 | Bureau of Administration  | AUD-FM-17-51  | Audit of Select Cost-of-Living Allowances for American Employees Stationed in Foreign Areas     | 8/31/2017  | 12         | OIG recommends that the Bureau of Administration develop and implement internal controls to ensure analysts document the results of their reviews and supervisors validate the procedures used to determine separate maintenance allowance rates.  | Resolved   |
| 32 | Bureau of Administration  | AUD-FM-17-51  | Audit of Select Cost-of-Living Allowances for American Employees Stationed in Foreign Areas     | 8/31/2017  | 13         | OIG recommends that the Bureau of Administration, in coordination with the Bureau of the Comptroller and Global Financial Services, determine and report to OIG the amount saved for the first pay period after the recalculated separate maintenance allowance rates is applied [Recommendation 10], which OIG estimated would have been \$1.7 million from FY 2013 through FY2015. | Resolved   |
| 33 | Bureau of Administration  | ISP-I-18-04   | Inspection of Embassy Beijing and Constituent Posts, China                                      | 12/11/2017 | 23         | The Bureau of Administration, in coordination with Embassy Beijing, should require that all contracting officers in Mission China have the correct warrants. (Action: A, in coordination with Embassy Beijing)   | Resolved   |
| 34 | Bureau of African Affairs | AUD-CG-14-07  | Audit of Department of State Selection and Positioning of Contracting Officer's Representatives | 1/31/2014  | 2          | OIG recommends that the Bureau of African Affairs not use contractors to perform contract administration and oversight tasks to avoid assigning inherently governmental, critical, or closely related functions to contractors, as prohibited by the Federal Acquisition Regulation.   | Resolved   |

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| 35 | Bureau of African Affairs | AUD-CG-14-31  | Audit of the Administration and Oversight of Contracts and Grants Within the Bureau of African Affairs | 8/12/2014  | 5          | OIG recommends that the Bureau of African Affairs discontinue the use of site coordinators in locations that allow Government personnel to work within that country and develop and implement processes to ensure that contracting officer's representatives and government technical monitors with the appropriate levels of Federal Acquisition Certification for Contracting Officer's Representatives are officially delegated to conduct oversight of their assigned contracts. | Resolved   |
| 36 | Bureau of African Affairs | AUD-CG-14-31  | Audit of the Administration and Oversight of Contracts and Grants Within the Bureau of African Affairs | 8/12/2014  | 8          | OIG recommends that the Bureau of African Affairs develop guidance that requires contracting officer's representatives to perform site visits for each contract recipient at least once during the life of the contract or annually for those recipients identified as high-risk.  | Resolved   |
| 37 | Bureau of African Affairs | AUD-CG-14-31  | Audit of the Administration and Oversight of Contracts and Grants Within the Bureau of African Affairs | 8/12/2014  | 24         | OIG recommends that Bureau of African Affairs Grants Officer Representatives (GOR) assess the risk of ongoing grants and identify and re-classify high-risk grant recipients. For each high-risk recipient, GORs should develop a risk mitigation strategy that includes changes to oversight and a corrective action plan.  | Resolved   |
| 38 | Bureau of African Affairs | ISP-I-18-01   | Inspection of the Bureau of African Affairs  | 10/19/2017 | 1          | The Bureau of African Affairs should review its roster of reemployed annuitants and terminate the appointments of those who meet its criteria for non-extension of appointment. (Action: AF)   | Resolved   |
| 39 | Bureau of African Affairs | ISP-I-18-01   | Inspection of the Bureau of African Affairs  | 10/19/2017 | 2          | The Bureau of African Affairs, in coordination with the Office of the U.S. Global AIDS Coordinator and Health Diplomacy, should update the President's Emergency Plan for AIDS Relief agreement to define roles and responsibilities for funds control, staffing, and financial reporting. (Action: AF, in coordination with S/GAC)  | Resolved   |
| 40 | Bureau of African Affairs | ISP-I-18-01   | Inspection of the Bureau of African Affairs  | 10/19/2017 | 3          | The Bureau of African Affairs should conduct and document management reviews twice a year for procurement and property management functions. (Action: AF)  | Resolved   |

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| 41 | Bureau of African Affairs | ISP-I-18-01   | Inspection of the Bureau of African Affairs  | 10/19/2017 | 4          | The Bureau of African Affairs should require the designated Domestic Information Systems Security Officers to regularly perform and document their information systems security officer responsibilities and complete required training. (Action: AF)   | Resolved   |
| 42 | Bureau of African Affairs | ISP-I-18-01   | Inspection of the Bureau of African Affairs  | 10/19/2017 | 5          | The Bureau of African Affairs should implement a records management program that complies with Department standards. (Action: AF)   | Resolved   |
| 43 | Bureau of African Affairs | ISP-I-18-02   | Inspection of the Bureau of African Affairs' Foreign Assistance Program Management | 10/26/2017 | 1          | The Bureau of African Affairs should undertake a review of its foreign assistance programs to align policy, planning, resources, and program decision-making. (Action: AF)  | Resolved   |
| 44 | Bureau of African Affairs | ISP-I-18-02   | Inspection of the Bureau of African Affairs' Foreign Assistance Program Management | 10/26/2017 | 2          | The Bureau of African Affairs should strengthen oversight of foreign assistance program offices by realigning responsibilities to provide coordinated senior-level leadership over foreign assistance program management. (Action: AF)  | Resolved   |
| 45 | Bureau of African Affairs | ISP-I-18-02   | Inspection of the Bureau of African Affairs' Foreign Assistance Program Management | 10/26/2017 | 3          | The Bureau of African Affairs should document its foreign assistance business processes, including administrative responsibilities and internal control procedures for project planning, funds management, human resources, contract and grants management, and risk management. (Action: AF) | Resolved   |
| 46 | Bureau of African Affairs | ISP-I-18-02   | Inspection of the Bureau of African Affairs' Foreign Assistance Program Management | 10/26/2017 | 4          | The Bureau of African Affairs should identify duplicative and fragmented administrative functions related to monitoring and evaluation, invoice reviews, and procurement, and consolidate functions to improve program efficiency. (Action: AF)   | Resolved   |
| 47 | Bureau of African Affairs | ISP-I-18-02   | Inspection of the Bureau of African Affairs' Foreign Assistance Program Management | 10/26/2017 | 5          | The Bureau of African Affairs should develop a bureau-wide process to reclassify foreign assistance funds before the funds cancel. (Action: AF)   | Resolved   |

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| 48 | Bureau of African Affairs  | ISP-I-18-02   | Inspection of the Bureau of African Affairs' Foreign Assistance Program Management      | 10/26/2017 | 6          | The Bureau of African Affairs should implement mechanisms to track information on foreign assistance programs and provide financial and program reports to the bureau's senior leadership on core program management responsibilities. (Action: AF)   | Resolved   |
| 49 | Bureau of African Affairs  | ISP-I-18-02   | Inspection of the Bureau of African Affairs' Foreign Assistance Program Management      | 10/26/2017 | 7          | The Bureau of African Affairs should implement risk management policies and procedures for foreign assistance that incorporate measures to further reduce the likelihood that foreign assistance will inadvertently finance terrorist activities. (Action: AF)  | Resolved   |
| 50 | Bureau of African Affairs  | ISP-I-18-02   | Inspection of the Bureau of African Affairs' Foreign Assistance Program Management      | 10/26/2017 | 8          | The Bureau of African Affairs, in coordination with the Bureau of Democracy, Human Rights and Labor and U.S. Mission to Somalia, should document its provision of assistance to Somali National Army units with lapsed Leahy vetting and identify corrective measures to prevent recurrence. (Action: AF, in coordination with DRL and U.S. Mission to Somalia) | Resolved   |
| 51 | Bureau of African Affairs  | ISP-I-18-02   | Inspection of the Bureau of African Affairs' Foreign Assistance Program Management      | 10/26/2017 | 9          | The Bureau of African Affairs, in coordination with the Bureau of Administration, should develop a customized risk assessment template for Federal financial assistance to accurately assess and mitigate risks specific to programs in Africa. (Action: AF, in coordination with A)  | Resolved   |
| 52 | Bureau of African Affairs  | ISP-I-18-02   | Inspection of the Bureau of African Affairs' Foreign Assistance Program Management      | 10/26/2017 | 10         | The Bureau of African Affairs should complete required monitoring and evaluation reports, as specified in individual Federal assistance monitoring plans. (Action: AF)  | Resolved   |
| 53 | Bureau of Consular Affairs | ISP-I-17-04   | Inspection of the Bureau of Consular Affairs, Office of Consular Systems and Technology | 12/9/2016  | 4          | The Bureau of Consular Affairs should create a system security plan for ConsularOne. (Action: CA)   | Resolved   |
| 54 | Bureau of Consular Affairs | ISP-I-17-04   | Inspection of the Bureau of Consular Affairs, Office of Consular Systems and Technology | 12/9/2016  | 5          | The Bureau of Consular Affairs should create a contingency plan for ConsularOne. (Action: CA)   | Resolved   |

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| 55 | Bureau of Consular Affairs | ISP-I-17-04   | Inspection of the Bureau of Consular Affairs, Office of Consular Systems and Technology | 12/9/2016  | 7          | The Bureau of Consular Affairs should publish scheduled system maintenance outages, including weekly maintenance windows and periodic longer outages, in which the Office of Consular Systems and Technology has complete authority to take systems offline. (Action: CA)   | Resolved   |
| 56 | Bureau of Consular Affairs | ISP-I-17-04   | Inspection of the Bureau of Consular Affairs, Office of Consular Systems and Technology | 12/9/2016  | 10         | The Bureau of Consular Affairs should require the Office of Consular Systems and Technology to update its internal procedures and policies. (Action: CA)  | Resolved   |
| 57 | Bureau of Consular Affairs | ISP-I-17-04   | Inspection of the Bureau of Consular Affairs, Office of Consular Systems and Technology | 12/9/2016  | 11         | The Bureau of Consular Affairs should redesign its troubleshooting websites for improved effectiveness and relevance. (Action: CA)  | Resolved   |
| 58 | Bureau of Consular Affairs | ISP-I-17-04   | Inspection of the Bureau of Consular Affairs, Office of Consular Systems and Technology | 12/9/2016  | 12         | The Bureau of Consular Affairs should require the Office of Consular Systems and Technology to assign a dedicated team to manage the office's records management program. (Action: CA)  | Resolved   |
| 59 | Bureau of Consular Affairs | ISP-I-17-04   | Inspection of the Bureau of Consular Affairs, Office of Consular Systems and Technology | 12/9/2016  | 14         | The Bureau of Consular Affairs, in coordination with the Bureau of Diplomatic Security, should require the Office of Consular Systems and Technology to implement closing hours checks for its designated areas. (Action: CA, in coordination with DS)  | Resolved   |
| 60 | Bureau of Consular Affairs | ISP-I-17-04   | Inspection of the Bureau of Consular Affairs, Office of Consular Systems and Technology | 12/9/2016  | 17         | The Bureau of Consular Affairs should require the Office of Consular Systems and Technology to conduct and document a review of its labor service contract in accordance with the annual Management Control Statement of Assurance, to include the reporting on the status of the acquisition plan, funding, and oversight of this contract. (Action: CA) | Resolved   |
| 61 | Bureau of Consular Affairs | ISP-I-17-04   | Inspection of the Bureau of Consular Affairs, Office of Consular Systems and Technology | 12/9/2016  | 22         | The Bureau of Consular Affairs should require the Office of Consular Systems and Technology to reassign the contracting officer's representative roles for its contracts to multiple qualified personnel to achieve a reasonable division of labor. (Action: CA)  | Resolved   |

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| 62 | Bureau of Consular Affairs | ISP-I-17-04   | Inspection of the Bureau of Consular Affairs, Office of Consular Systems and Technology | 12/9/2016  | 23         | The Bureau of Consular Affairs should require the Office of Consular Systems and Technology to develop standard operating procedures for its contract and acquisition processes, including contract close-outs, processing prior year unliquidated obligations, and SharePoint records. (Action: CA) | Resolved   |
| 63 | Bureau of Consular Affairs | ISP-I-17-04   | Inspection of the Bureau of Consular Affairs, Office of Consular Systems and Technology | 12/9/2016  | 24         | The Bureau of Consular Affairs should direct the Office of Consular Systems and Technology to review the \$18.54 million of unliquidated obligations for contracts that require close out and document justifications of remaining prior year balances. (Action: CA)                                 | Resolved   |
| 64 | Bureau of Consular Affairs | ISP-I-17-04   | Inspection of the Bureau of Consular Affairs, Office of Consular Systems and Technology | 12/9/2016  | 25         | The Bureau of Consular Affairs should require the Office of Consular Systems and Technology to establish a contract monitoring process to ensure contract close outs are processed in a timely manner. (Action: CA)  | Resolved   |
| 65 | Bureau of Consular Affairs | ISP-I-17-04   | Inspection of the Bureau of Consular Affairs, Office of Consular Systems and Technology | 12/9/2016  | 26         | The Bureau of Consular Affairs should require the Office of Consular Systems and Technology to request the Contracting Officer to modify the financial management contract to include required monthly meetings. (Action: CA)  | Resolved   |

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| 66 | Bureau of Consular Affairs | AUD-CGI-17-38 | Audit of the Bureau of Consular Affairs, Office of Consular Systems and Technology, Administration of Selected Information Technology Contracts | 5/11/2017  | 1          | OIG recommends that the Bureau of Consular Affairs, Office of Consular Systems and Technology, develop and implement internal policies and procedures governing contract administration. At a minimum, the policies and procedures should include guidance detailing the required use of SharePoint for Contracting Officer's Representative files, such as the type and extent of documentation to maintain, expected timelines for adding documents, and standardized methods for organizing and naming documents. The policy should also include guidance on (1) transferring data to new Contracting Officer's Representatives or Government Task Monitors, (2) validating key personnel identified in contracts and taking action when a contractor does not comply with key personnel requirements, and (3) reviewing and approving invoices. These policies and procedures should be implemented by the end of FY 2018. | Resolved   |
| 67 | Bureau of Consular Affairs | AUD-CGI-17-38 | Audit of the Bureau of Consular Affairs, Office of Consular Systems and Technology, Administration of Selected Information Technology Contracts | 5/11/2017  | 2          | OIG recommends that the Bureau of Consular Affairs, Office of Consular Systems and Technology, develop and implement training for Contracting Officer's Representatives and Government Technical Monitors that identifies the requirements for reviewing various types of invoices, including a requirement to confirm that contractors meet the labor category requirements specified in the contracts.   | Resolved   |

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| 68 | Bureau of Consular Affairs | AUD-CGI-17-38 | Audit of the Bureau of Consular Affairs, Office of Consular Systems and Technology, Administration of Selected Information Technology Contracts | 5/11/2017  | 3          | OIG recommends that the Bureau of Consular Affairs, Office of Consular Systems and Technology, develop and implement a formal process to monitor Contracting Officer's Representatives and Government Task Monitors who are administering contracts. At a minimum, this process should include steps to assess files in SharePoint to ensure that required documents are included and to ensure that all Contracting Officer's Representatives and Government Task Monitors are formally designated by the Contracting Officer. | Resolved   |
| 69 | Bureau of Consular Affairs | AUD-CGI-17-38 | Audit of the Bureau of Consular Affairs, Office of Consular Systems and Technology, Administration of Selected Information Technology Contracts | 5/11/2017  | 4          | OIG recommends that the Bureau of Consular Affairs, Office of Consular Systems and Technology, develop and implement a process to communicate information on roles and responsibilities to new Contracting Officer's Representatives and Government Task Monitors.  | Resolved   |
| 70 | Bureau of Consular Affairs | AUD-CGI-17-38 | Audit of the Bureau of Consular Affairs, Office of Consular Systems and Technology, Administration of Selected Information Technology Contracts | 5/11/2017  | 5          | OIG recommends that the Bureau of Consular Affairs, Office of Consular Systems and Technology, (a) determine whether the \$16,227,210 in questioned costs (consisting of \$222,621 in unallowable costs and \$16,004,589 in unsupported costs as listed in Table B.1 of Appendix B) identified during the review of invoices for the Data Engineering Data Management contract (SAQMMA14F1031) are allowable and supported, and (b) recover any costs determined to be unallowable or unsupported.                              | Resolved   |
| 71 | Bureau of Consular Affairs | AUD-CGI-17-38 | Audit of the Bureau of Consular Affairs, Office of Consular Systems and Technology, Administration of Selected Information Technology Contracts | 5/11/2017  | 7          | OIG recommends that the Bureau of Consular Affairs, Office of Consular Systems and Technology, (a) determine whether the \$1,129,393 in questioned costs (consisting of \$699,340 in unallowable costs and \$430,053 in unsupported costs as listed in Table B.3 of Appendix B) identified during the review of invoices for the Consular Lookout and Support System contract (SAQMMA12C0212) are allowable and supported, and (b) recover any costs determined to be unallowable or unsupported.                               | Resolved   |

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| 72 | Bureau of Consular Affairs | AUD-CGI-17-38 | Audit of the Bureau of Consular Affairs, Office of Consular Systems and Technology, Administration of Selected Information Technology Contracts | 5/11/2017  | 8          | OIG recommends that the Bureau of Consular Affairs, Office of Consular Systems and Technology, (a) determine whether the \$2,135,713 in questioned costs (all of which was considered unallowable as listed in Table B.4 of Appendix B) identified during the review of invoices for the Passport Support Services contract (SAQMMA13L0327) are allowable, and (b) recover any costs determined to be unallowable.                        | Resolved   |
| 73 | Bureau of Consular Affairs | AUD-FM-17-53  | Audit of the Bureau of Consular Affairs Fee-Setting Methodology for Selected Consular Services  | 9/7/2017   | 1          | OIG recommends that the Bureau of Consular Affairs immediately set the fee amounts charged for Machine Readable Visas in accordance with Office of Management and Budget Circular A-25 and applicable fee statutes.   | Resolved   |
| 74 | Bureau of Consular Affairs | AUD-FM-17-53  | Audit of the Bureau of Consular Affairs Fee-Setting Methodology for Selected Consular Services  | 9/7/2017   | 2          | OIG recommends that the Bureau of Consular Affairs, in coordination with the Bureau of Budget and Planning, (a) propose legislative changes, as suggested by Office of Management and Budget Circular A-25, to allow it to retain all consular fees collected or (b) seek appropriated funds during the annual budgeting process for any projected funding shortfalls created by not being allowed to retain all consular fees collected. | Resolved   |
| 75 | Bureau of Consular Affairs | AUD-FM-17-53  | Audit of the Bureau of Consular Affairs Fee-Setting Methodology for Selected Consular Services  | 9/7/2017   | 4          | OIG recommends that the Bureau of Consular Affairs conduct an analysis to determine and formally document in its procedures, a reasonable maximum threshold for carry forward balances for each consular fee. Carry forward balances should be adequately precise and adequately explained estimates of actual costs. This determination should consider known and anticipated Consular and Border Security Program costs.                | Resolved   |

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| 76 | Bureau of Consular Affairs | AUD-FM-17-53  | Audit of the Bureau of Consular Affairs Fee-Setting Methodology for Selected Consular Services | 9/7/2017   | 5          | OIG recommends that the Bureau of Consular Affairs design, implement, and formally document a procedure to review prior-year net financial results annually to determine whether the fee-setting methodology should be adjusted. The procedure should include a process to determine the effect of excess revenue and carry forward balances on the fee levels.  | Resolved   |
| 77 | Bureau of Consular Affairs | AUD-FM-17-53  | Audit of the Bureau of Consular Affairs Fee-Setting Methodology for Selected Consular Services | 9/7/2017   | 6          | OIG recommends that the Bureau of Consular Affairs remit unobligated balances that exceed the carry forward threshold (Recommendation 4), which OIG reported as \$284 million in funds that could be put to better use for FY 2017, to the Department of the Treasury.   | Started    |
| 78 | Bureau of Consular Affairs | AUD-FM-17-53  | Audit of the Bureau of Consular Affairs Fee-Setting Methodology for Selected Consular Services | 9/7/2017   | 7          | OIG recommends that the Bureau of Consular Affairs develop and implement policies and procedures that standardize the documentation used to determine consular fees and develop retention guidance for the supporting documentation to ensure historical source files and other information supporting consular fee calculations are available. The policy and procedures should include guidance on documenting changes made to data before the data are entered into the Bureau of Consular Affairs Cost of Service Model. | Resolved   |
| 79 | Bureau of Consular Affairs | AUD-FM-17-53  | Audit of the Bureau of Consular Affairs Fee-Setting Methodology for Selected Consular Services | 9/7/2017   | 8          | OIG recommends that the Bureau of Consular Affairs develop and implement a quality control program to ensure data entered into the Cost of Service Model are complete and accurate. This program should include processes to validate data obtained from one source by comparing it to data maintained in other systems or databases.  | Resolved   |
| 80 | Bureau of Consular Affairs | AUD-FM-17-53  | Audit of the Bureau of Consular Affairs Fee-Setting Methodology for Selected Consular Services | 9/7/2017   | 9          | OIG recommends that the Bureau of Consular Affairs develop standardized reports within the Travel Documents Issuance System and Consular Consolidated Database to ensure the complete, accurate, and consistent generation of workload counts related to providing consular services.  | Resolved   |

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| 81 | Bureau of Consular Affairs                                  | AUD-FM-17-53   | Audit of the Bureau of Consular Affairs Fee-Setting Methodology for Selected Consular Services                                       | 9/7/2017   | 10         | OIG recommends that the Bureau of Consular Affairs develop and implement procedures to include only actual expenditures in the Cost of Service Model and eliminate non-expenditure items (such as obligations and allotments) from the cost inputs entered into the model.   | Resolved   |
| 82 | Bureau of Consular Affairs                                  | AUD-FM-17-53   | Audit of the Bureau of Consular Affairs Fee-Setting Methodology for Selected Consular Services                                       | 9/7/2017   | 11         | OIG recommends that the Bureau of Consular Affairs, in coordination with the Bureau of the Comptroller and Global Financial Services, obtain read-only access within the Department's financial management system to all financial information related to the Consular and Border Security Program fund codes, including revenue and expenditure information from other Department of State bureaus.                                       | Resolved   |
| 83 | Bureau of Consular Affairs                                  | ISP-I-18-04    | Inspection of Embassy Beijing and Constituent Posts, China   | 12/11/2017 | 8          | The Bureau of Consular Affairs should issue guidance in 9 Foreign Affairs Manual for consular officers explaining how to determine potential visa ineligibilities under 8 U.S.C. 1182e. (Action: CA)   | Resolved   |
| 84 | Bureau of Counterterrorism and Countering Violent Extremism | AUD-MERO-17-37 | Management Assistance Report: Challenges Remain in Monitoring and Overseeing Antiterrorism Assistance Program Activities in Pakistan | 5/31/2017  | 1          | OIG recommends that the Bureau of Counterterrorism, in coordination with the Bureau of Diplomatic Security, implement a monitoring and evaluation system to include measuring performance in accordance with the requirements outlined in the Department's Performance Management Guidebook and the Memorandum of Agreement executed between the Bureaus of Counterterrorism and Diplomatic Security's Office of Antiterrorism Assistance. | Resolved   |
| 85 | Bureau of Democracy, Human Rights, and Labor                | AUD-MERO-17-01 | Audit of the Department of State Vetting Process for Syrian Non-Lethal Assistance  | 11/10/2016 | 5          | OIG recommends that the Bureau of Democracy, Human Rights, and Labor distribute the consolidated vetting guidance from Recommendation 1 to implementing partners to make them aware of Department vetting requirements.  | Resolved   |

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| 86 | Bureau of Democracy, Human Rights, and Labor | AUD-MERO-17-01 | Audit of the Department of State Vetting Process for Syrian Non-Lethal Assistance  | 11/10/2016 | 6          | OIG recommends that the Bureau of Democracy, Human Rights, and Labor develop and implement internal controls to verify that its implementing partners are submitting information on required individuals for vetting in accordance with the consolidated vetting guidance from Recommendation 1.   | Resolved   |
| 87 | Bureau of Democracy, Human Rights, and Labor | AUD-MERO-17-01 | Audit of the Department of State Vetting Process for Syrian Non-Lethal Assistance  | 11/10/2016 | 7          | OIG recommends that the Bureau of Democracy, Human Rights, and Labor include in future award agreements the vetting requirements for Syria programs.   | Resolved   |
| 88 | Bureau of Democracy, Human Rights, and Labor | ISP-I-18-04    | Inspection of Embassy Beijing and Constituent Posts, China   | 12/11/2017 | 9          | The Bureau of Democracy, Human Rights and Labor, in coordination with the Bureau of Consular Affairs, should implement a plan to eliminate the backlog of advisory opinion requests for visa applicants who are potentially ineligible under 8 U.S.C. 1182e. (Action: DRL, in coordination with CA)  | Resolved   |
| 89 | Bureau of Diplomatic Security                | ESP-15-01      | Review of Selected Internal Investigations Conducted by the Bureau of Diplomatic Security                                  | 10/16/2014 | 1          | The Department should take steps (as previously recommended in OIG's report on the 2012 inspection (ISP-I-13-18)), to enhance the integrity of DS's internal investigations process by implementing safeguards to prevent the appearance of, or actual, undue influence and favoritism by Department officials.  | Unresolved |
| 90 | Bureau of Diplomatic Security                | AUD-ACF-16-20  | Compliance Follow-up Audit of the Process To Request and Prioritize Physical Security-Related Activities at Overseas Posts | 12/15/2015 | 1          | OIG recommends that the Bureau of Diplomatic Security establish a monitoring plan to assist it in achieving its stated goal to complete the physical security surveys by September 2016. The monitoring plan should consist of critical tracking metrics such as key interim milestones, percentage of completion, and other status or performance indicators to assess progress against stated goals. | Resolved   |
| 91 | Bureau of Diplomatic Security                | ISP-C-16-18    | Compliance Follow-up Review of the Inspection of the Bureau of Diplomatic Security, High Threat Programs Directorate       | 5/25/2016  | 1          | The Bureau of Diplomatic Security, in coordination with the Office of the Legal Adviser and the Bureau of Human Resources, should establish Memoranda of Understanding for liaison positions. (Action: DS, in coordination with L and DGHR)  | Resolved   |

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| 92 | Bureau of Diplomatic Security | AUD-SI-17-20   | Management Assistance Report: Health and Safety Concerns Identified Related to Armored Vehicle Disposals                             | 1/12/2017  | 1          | OIG recommends that the Bureau of Diplomatic Security, in coordination with the Directorate for Operations, Bureau of Overseas Buildings Operations, Office of Safety, Health, and Environmental Management, develop and implement specific safety guidance for the disposal of armored vehicles overseas. The guidance should reference obligatory occupational health and safety standards, instruct how to conduct an Activity Hazard Analyses in accordance with Overseas Buildings Operations requirements, specify that Activity Hazard Analyses must be performed during the pre-award contract phase, and mandate specialized health and safety training for post personnel involved in armored vehicle disposals in order that they may verify contractor compliance with required occupational health and safety standards. | Resolved   |
| 93 | Bureau of Diplomatic Security | AUD-SI-17-20   | Management Assistance Report: Health and Safety Concerns Identified Related to Armored Vehicle Disposals                             | 1/12/2017  | 2          | OIG recommends that the Bureau of Diplomatic Security, in coordination with the Directorate for Operations, Bureau of Overseas Buildings Operations, Office of Safety, Health, and Environmental Management, develop a contract template for overseas posts to use for armored vehicle disposal contracts. The template should include specific contract clauses relating to occupational health and safety standards for contractors performing vehicle disposals.   | Resolved   |
| 94 | Bureau of Diplomatic Security | AUD-MERO-17-37 | Management Assistance Report: Challenges Remain in Monitoring and Overseeing Antiterrorism Assistance Program Activities in Pakistan | 5/31/2017  | 3          | OIG recommends that the Bureau of Diplomatic Security develop and implement procedures to verify that the contracting officer's representative has appropriate documentation to support the receipt and payment of goods or services prior to approving invoices for payment in accordance with the Foreign Affairs Handbook.   | Resolved   |

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| 95 | Bureau of Diplomatic Security | ESP-17-02     | Evaluation of the Department of State's Security Clearance Process | 7/19/2017  | 1          | The Bureau of Diplomatic Security, Office of Personnel Security and Suitability, should inform the Office of the Director of National Intelligence of the inaccuracies identified by OIG and the steps it is taking to correct these errors. These steps should increase the accuracy of data for the time it takes to process both initial and reciprocal clearances, specifically by measuring the time it takes for clearances to move through the initiation stage rather than relying upon a blanket estimate, removing cancelled cases from its data, making corrections to its timeliness formula, and reconciling any data discrepancies in its case management systems. | Resolved   |
| 96 | Bureau of Diplomatic Security | ESP-17-02     | Evaluation of the Department of State's Security Clearance Process | 7/19/2017  | 2          | The Bureau of Diplomatic Security, Office of Personnel Security and Suitability, in coordination with the Bureau of Human Resources, should establish clear responsibilities for the processing of security clearance requests in order to reduce or eliminate unnecessary delays in the clearance process and require appropriate training for all Human Resources personnel involved in the process.   | Resolved   |
| 97 | Bureau of Diplomatic Security | ESP-17-02     | Evaluation of the Department of State's Security Clearance Process | 7/19/2017  | 3          | The Bureau of Diplomatic Security should perform a workforce analysis of its employees who perform security clearance work to ensure that it has the proper staffing levels in place to meet its timeliness goals.   | Resolved   |
| 98 | Bureau of Diplomatic Security | ESP-17-02     | Evaluation of the Department of State's Security Clearance Process | 7/19/2017  | 5          | The Bureau of Diplomatic Security, Office of Personnel Security and Suitability should determine the actual cost of the security clearance work it performs for other Government agencies in order to fully recoup its expended funds, in accordance with the Economy Act and Department policy.   | Resolved   |
| 99 | Bureau of Diplomatic Security | ESP-17-02     | Evaluation of the Department of State's Security Clearance Process | 7/19/2017  | 6          | The Bureau of Diplomatic Security should seek reimbursement for funds it expended for work performed for other Government agencies from 2009 through 2016, in accordance with the Economy Act and Department policy.   | Resolved   |

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| 100 | Bureau of Diplomatic Security | ISP-17-39     | Management Assistance Report: Deficiencies Reported in Cyber Security Assessment Reports Remain Uncorrected | 7/26/2017  | 1          | The Bureau of Diplomatic Security, in coordination with the Bureau of Information Resource Management and regional bureaus, should require implementation of Cyber Security Assessment report recommendations and establish a process to track and verify that overseas posts comply with those recommendations. (Action: DS, in coordination with IRM, AF, EAP, EUR, NEA, SCA, and WHA)   | Resolved   |
| 101 | Bureau of Diplomatic Security | AUD-SI-17-48  | Audit of the Process To Approve, Disburse, and Report Rewards for Justice Payments                          | 7/28/2017  | 1          | OIG recommends that the Bureau of Diplomatic Security, in conjunction with the Bureau of Legislative Affairs, (a) identify the detailed steps and action entities needed to prepare and submit reports on reward payments made within 30 days of the payment of such rewards; (b) determine the amount of time for each step, including the amount of time the Bureau of Legislative Affairs needs to finalize and send each report to Congress; and (c) redesign the flow and processes to meet the 30-day reporting requirement. | Resolved   |
| 102 | Bureau of Diplomatic Security | AUD-SI-17-48  | Audit of the Process To Approve, Disburse, and Report Rewards for Justice Payments                          | 7/28/2017  | 2          | OIG recommends that the Bureau of Diplomatic Security (a) develop and implement a process to follow up with the nominating agencies on the status of the reward payment receipts to help expedite the submittal of Reward Payment Reports within the mandated 30-day reporting timeline and (b) consider entering into formal agreements (that is, Letters of Agreement) with the nominating agencies to strengthen the joint commitments toward timely submissions of reports to Congress.  | Resolved   |

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| 103 | Bureau of Diplomatic Security            | AUD-SI-17-48  | Audit of the Process To Approve, Disburse, and Report Rewards for Justice Payments                                | 7/28/2017  | 3          | OIG recommends that the Bureau of Diplomatic Security, in coordination with the Bureau of Legislative Affairs, establish and implement a process to (a) identify the expenses necessary to operate the Rewards for Justice Program, (b) compile and accumulate data on operating expenses annually, (c) prepare the annual report, and (d) submit the report to the Bureau of Legislative Affairs within timeframes as agreed to by the Bureau of Legislative Affairs to meet the requirement to submit the annual report no later than 60 days after the end of each fiscal year. | Resolved   |
| 104 | Bureau of Diplomatic Security            | AUD-IT-17-56  | Management Assistance Report: The Process to Authorize and Track Information Technology Systems Needs Improvement | 8/29/2017  | 5          | OIG recommends that the Bureau of Diplomatic Security, in coordination with the Bureau of Information Resource Management, fully comply with Department policy by completing the Systems Authorization Process with an authorization memorandum for the Classified Investigative Management System.  | Resolved   |
| 105 | Bureau of Diplomatic Security            | AUD-IT-17-56  | Management Assistance Report: The Process to Authorize and Track Information Technology Systems Needs Improvement | 8/29/2017  | 6          | OIG recommends that the Bureau of Diplomatic Security, in coordination with the Bureau of Information Resource Management, fully comply with Department policy by completing the Systems Authorization Process with an authorization memorandum for the SY Namecheck.  | Resolved   |
| 106 | Bureau of Diplomatic Security            | ISP-I-18-05   | Inspection of the Bureau of Diplomatic Security's Office of Mobile Security Deployments                           | 10/19/2017 | 1          | The Bureau of Diplomatic Security should make the Office of Mobile Security Deployments' temporary administrative chief a permanent position. (Action: DS)   | Resolved   |
| 107 | Bureau of East Asian and Pacific Affairs | ISP-I-18-06   | Inspection of Consulate General Hong Kong, China  | 11/30/2017 | 9          | The Bureau of East Asian and Pacific Affairs, in coordination with Consulate General Hong Kong and the Bureau of Human Resources, should determine the number and levels for language designated positions at Consulate General Hong Kong in accordance with Department standards, and put up to \$2 million to better use. (Action: EAP, in coordination with Consulate General Hong Kong and DGHR)   | Resolved   |

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| 108 | Bureau of Educational and Cultural Affairs | AUD-SI-17-43  | Audit of Department of State Grants and Cooperative Agreements Awarded to Kennesaw State University   | 6/22/2017  | 3          | OIG recommends that the Bureau of Education and Cultural Affairs (a) determine whether the \$1,431 in unallowable cost share amounts and \$1,148 in unsupported cost share amounts reported for award SECAGD15CA1074 (see table B.2 in Appendix B) are allowable and supported, and (b) recover any costs determined to be unallowable or unsupported.                     | Resolved   |
| 109 | Bureau of Educational and Cultural Affairs | AUD-SI-17-43  | Audit of Department of State Grants and Cooperative Agreements Awarded to Kennesaw State University   | 6/22/2017  | 4          | OIG recommends that the Bureau of Education and Cultural Affairs deem Kennesaw State University as high risk, and develop and execute a corrective action plan to ensure that the University has the skills and abilities it needs to submit accurate financial reports and complete program goals within agreed-upon deadlines.   | Resolved   |
| 110 | Bureau of Educational and Cultural Affairs | ISP-I-18-04   | Inspection of Embassy Beijing and Constituent Posts, China  | 12/11/2017 | 7          | The Bureau of Educational and Cultural Affairs should clarify and reissue guidance on the preparation and distribution of EducationUSA site visit reports and enforce compliance with this requirement. (Action: ECA)  | Resolved   |
| 111 | Bureau of Human Resources                  | AUD-CGI-16-48 | Audit of the Department of State Travel Card Program  | 9/27/2016  | 3          | OIG recommends that the Office of the Director General for Human Resources, in conjunction with the Bureau of the Comptroller and Global Financial Services, develop and implement administrative and disciplinary procedures related to the Travel Card Program to include misuse, non-usage, and abuse.  | Resolved   |
| 112 | Bureau of Human Resources                  | ESP-16-04     | Department of State Has Administrative Leave Policies but Lacks Complete and Accurate Data on the Use of Leave                                  | 9/30/2016  | 2          | The Bureau of Human Resources, in conjunction with the Bureau of the Comptroller and Global Financial Services, should identify and remedy the causes of the discrepancy with its administrative leave records.  | Resolved   |
| 113 | Bureau of Human Resources                  | ISP-17-15     | Management Assistance Report: All Posts Should Comply With New Department Requirement to Revise Policies on Hiring of Personal Domestic Workers | 3/29/2017  | 1          | The Bureau of Human Resources, in coordination with the Bureaus of African Affairs, European and Eurasian Affairs, Near Eastern Affairs, and Western Hemisphere Affairs, should require all delinquent missions to submit their personal domestic worker employment policy before the end of fiscal year 2017. (Action: DGHR, in coordination with AF, EUR, NEA, and WHA). | Resolved   |

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| 114 | Bureau of Human Resources                 | ISP-17-15     | Management Assistance Report: All Posts Should Comply With New Department Requirement to Revise Policies on Hiring of Personal Domestic Workers | 3/29/2017  | 2          | The Bureau of Human Resources, in coordination with the Bureaus of African Affairs, East Asian and Pacific Affairs, European and Eurasian Affairs, Near Eastern Affairs, South and Central Asian Affairs, and Western Hemisphere Affairs, should require missions not fully in compliance to revise and resubmit, before the end of fiscal year 2017, their policy on hiring personal domestic workers in accordance with Department guidelines. (Action: DGHR, in coordination with AF, EAP, EUR, NEA, SCA, and WHA). | Resolved   |
| 115 | Bureau of Human Resources                 | ISP-17-38     | Management Assistance Report: Department Can Take Steps Toward More Effective Executive Direction of Overseas Missions                          | 7/26/2017  | 1          | The Director General of the Foreign Service and Director of Human Resources should institute annual surveys of American and locally employed staff to provide feedback on Chief of Mission performance. (Action: DGHR)   | Unresolved |
| 116 | Bureau of Human Resources                 | ISP-17-38     | Management Assistance Report: Department Can Take Steps Toward More Effective Executive Direction of Overseas Missions                          | 7/26/2017  | 3          | The Director General of the Foreign Service and Director of Human Resources should issue additional Foreign Affairs Manual and Foreign Affairs Handbook guidance requiring all chiefs of mission and deputy chiefs of mission to implement structured First- and Second-Tour employee programs in collaboration with First- and Second-Tour employees at their posts. (Action: DGHR)   | Unresolved |
| 117 | Bureau of Information Resource Management | AUD-IT-15-05  | Audit of the Department of State Implementation and Oversight of Active Directory   | 10/31/2014 | 1          | (U) OIG recommends that the Bureau of Information Resource Management, in coordination with the Bureaus of Human Resources and Diplomatic Security, develop and implement an overall strategy that will provide policies and procedures for managing Active Directory account management that reflects the interaction between all Bureaus.  | Resolved   |
| 118 | Bureau of Information Resource Management | AUD-IT-15-05  | Audit of the Department of State Implementation and Oversight of Active Directory   | 10/31/2014 | 2          | (U) OIG recommends that the Bureau of Information Resource Management update and implement Volumes 5 and 12 of the Foreign Affairs Manual to specifically address the deficiencies in Active Directory account management.   | Resolved   |

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| 119 | Bureau of Information Resource Management | AUD-IT-15-05  | Audit of the Department of State Implementation and Oversight of Active Directory | 10/31/2014 | 3          | (U) OIG recommends that the Bureau of Information Resource Management, in coordination with the Bureaus of Human Resources and Diplomatic Security, develop and implement guidance that describes a sustainable and repeatable process for determining how to identify and then disable or remove unneeded OpenNet accounts, including users that are not Department of State employees, such as contractors and other Federal agencies.               | Resolved   |
| 120 | Bureau of Information Resource Management | AUD-IT-15-05  | Audit of the Department of State Implementation and Oversight of Active Directory | 10/31/2014 | 4          | (U) OIG recommends that the Bureau of Information Resource Management develop and implement a process to ensure that Information Systems Security Officers conduct monthly reviews of audit logs for security anomalies, as prescribed by Volume 12, Section 629.2-7, of the Foreign Affairs Manual.   | Resolved   |
| 121 | Bureau of Information Resource Management | AUD-IT-15-05  | Audit of the Department of State Implementation and Oversight of Active Directory | 10/31/2014 | 5          | (U) OIG recommends that the Bureau of Information Resource Management develop and implement a process directing Organizational Unit Administrators to update their Active Directory organizational unit structure, in accordance with the Department of State Global Address List and Active Directory Standardization Guidelines.   | Resolved   |
| 122 | Bureau of Information Resource Management | AUD-IT-15-05  | Audit of the Department of State Implementation and Oversight of Active Directory | 10/31/2014 | 6          | (U) OIG recommends that the Bureau of Information Resource Management, in coordination with the Bureaus of Diplomatic Security and Human Resources, implement the use of Personal Identity Verification cards—as detailed in the Chief Information Officer's implementation plan for logical access—for all Department employees who are eligible for a National Agency Check and Inquiry, as required by Homeland Security Presidential Directive 12. | Resolved   |

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| 123 | Bureau of Information Resource Management | AUD-FM-16-31  | Audit of the Department of State Process To Select and Approve Information Technology Investments | 3/23/2016  | 1          | OIG recommends that the Bureau of Information Resource Management update the Capital Planning and Investment Control Program Guide to comply with Office of Management and Budget requirements. Specifically, the definition of an IT investment should be modified and a requirement to perform a review for duplicative investments across the agency, Federal Government, and private sector should be included. | Resolved   |
| 124 | Bureau of Information Resource Management | AUD-FM-16-31  | Audit of the Department of State Process To Select and Approve Information Technology Investments | 3/23/2016  | 3          | OIG recommends that the Bureau of Information Resource Management develop and implement a process to increase the transparency of IT spending related to existing investments, including operations and maintenance costs.  | Resolved   |
| 125 | Bureau of Information Resource Management | AUD-FM-16-31  | Audit of the Department of State Process To Select and Approve Information Technology Investments | 3/23/2016  | 4          | OIG recommends that the Bureau of Information Resource Management, in coordination with the Bureau of Budget and Planning, develop and implement a process for the Bureau of Budget and Planning to provide detailed information to the Bureau of Information Resource Management on bureaus' IT budgeting and spending.  | Resolved   |
| 126 | Bureau of Information Resource Management | AUD-FM-16-31  | Audit of the Department of State Process To Select and Approve Information Technology Investments | 3/23/2016  | 5          | OIG recommends that the Bureau of Information Resource Management develop and implement a strategy to enforce the requirement that bureaus and offices must consult with and receive guidance from the Bureau of Information Resource Management prior to initiating an IT investment.  | Resolved   |
| 127 | Bureau of Information Resource Management | AUD-FM-16-31  | Audit of the Department of State Process To Select and Approve Information Technology Investments | 3/23/2016  | 8          | OIG recommends that the Bureau of Information Resource Management establish and implement a plan to review IT investment reorganizations that occurred since FY 2010 to ensure that the investments resulting from the reorganizations comply with Office of Management and Budget requirements for information technology investments.   | Resolved   |

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| 128 | Bureau of Information Resource Management | AUD-FM-16-31  | Audit of the Department of State Process To Select and Approve Information Technology Investments | 3/23/2016  | 10         | OIG recommends that the Bureau of Information Resource Management develop and implement a process to (a) identify and review all bureau-specific IT investment methodologies (ones currently in place as well as ones that will be developed in the future); (b) determine whether the bureau-specific IT investment methodologies comply with Office of Management and Budget Circular A-130; and, if they do not comply, (c) provide bureaus with guidance regarding the modifications needed to fully comply and verify that the methodologies were modified as necessary. This effort should include reviewing the standard forms used by each bureau during the IT selection process to ensure consistency and compliance with Office of Management and Budget Circular A-130. | Resolved   |
| 129 | Bureau of Information Resource Management | AUD-FM-16-31  | Audit of the Department of State Process To Select and Approve Information Technology Investments | 3/23/2016  | 11         | OIG recommends that the Bureau of Information Resource Management develop and implement policies and procedures to oversee and enforce requirements for bureaus and offices to avoid duplicative IT investments.  | Resolved   |
| 130 | Bureau of Information Resource Management | AUD-FM-16-31  | Audit of the Department of State Process To Select and Approve Information Technology Investments | 3/23/2016  | 12         | OIG recommends that the Bureau of Information Resource Management develop and implement a process to perform periodic, but no less than annual, reviews of the entire agency IT portfolio to enforce bureau accountability and identify potential duplicative systems.  | Resolved   |
| 131 | Bureau of Information Resource Management | AUD-FM-16-31  | Audit of the Department of State Process To Select and Approve Information Technology Investments | 3/23/2016  | 13         | For duplicative systems that are identified by the new process implemented to perform periodic reviews of the entire agency IT portfolio (Recommendation 12), OIG recommends that the Bureau of Information Resource Management develop and implement a strategy to combine, eliminate, or replace duplicative systems, as practicable.   | Resolved   |

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| 132 | Bureau of Information Resource Management | AUD-FM-16-31  | Audit of the Department of State Process To Select and Approve Information Technology Investments | 3/23/2016  | 14         | OIG recommends that the Bureau of Information Resource Management develop and implement a strategy to perform semiannual or more frequent reviews of bureau-funded IT contracts to identify new IT investments developed as part of the contracts.   | Resolved   |
| 133 | Bureau of Information Resource Management | AUD-FM-16-31  | Audit of the Department of State Process To Select and Approve Information Technology Investments | 3/23/2016  | 17         | OIG recommends that the Bureau of Information Resource Management (a) develop and implement a policy requiring bureaus and offices to provide details of IT investments, programs, and projects in iMatrix and (b) develop and disseminate guidance specifying the level of detail necessary for each investment, including general descriptions and technical capabilities. | Resolved   |
| 134 | Bureau of Information Resource Management | AUD-FM-16-31  | Audit of the Department of State Process To Select and Approve Information Technology Investments | 3/23/2016  | 18         | OIG recommends that the Bureau of Information Resource Management develop and implement controls in iMatrix to require that the investment manager and budget analyst revalidate data when financial information for an investment in iMatrix is modified.   | Resolved   |
| 135 | Bureau of Information Resource Management | AUD-FM-16-31  | Audit of the Department of State Process To Select and Approve Information Technology Investments | 3/23/2016  | 20         | OIG recommends that the Bureau of Information Resource Management develop and issue a policy stating that bureaus must update the information on non-major investments in iMatrix quarterly, rather than only when the reports are due to be submitted to the Office of Management and Budget.   | Resolved   |
| 136 | Bureau of Information Resource Management | AUD-FM-16-31  | Audit of the Department of State Process To Select and Approve Information Technology Investments | 3/23/2016  | 21         | OIG recommends that the Bureau of Information Resource Management develop and implement a process to identify bureaus or offices that have not certified investment information in iMatrix and take action to ensure that the information is certified before the report is submitted to the Office of Management and Budget.  | Resolved   |

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| 137 | Bureau of Information Resource Management | AUD-FM-16-31  | Audit of the Department of State Process To Select and Approve Information Technology Investments | 3/23/2016  | 22         | OIG recommends that the Bureau of Information Resource Management, in coordination with the Bureau of Budget and Planning, develop and implement a process to verify that all bureau and office IT investment managers and budget analysts complete the respective training courses related to IT capital planning and reporting that are provided annually.                           | Resolved   |
| 138 | Bureau of Information Resource Management | AUD-FM-16-31  | Audit of the Department of State Process To Select and Approve Information Technology Investments | 3/23/2016  | 23         | OIG recommends that the Bureau of Information Resource Management, in coordination with the Bureau of Budget and Planning, include information on reporting reimbursable costs in the annual training provided to investment managers and budget analysts on how to report IT investment data in iMatrix.  | Resolved   |
| 139 | Bureau of Information Resource Management | AUD-FM-16-31  | Audit of the Department of State Process To Select and Approve Information Technology Investments | 3/23/2016  | 24         | OIG recommends that the Bureau of Information Resource Management develop guidance on reporting reimbursable costs in iMatrix and distribute that guidance to bureau investment managers and budget analysts.  | Resolved   |
| 140 | Bureau of Information Resource Management | AUD-FM-16-31  | Audit of the Department of State Process To Select and Approve Information Technology Investments | 3/23/2016  | 25         | OIG recommends that the Bureau of Information Resource Management, in coordination with the Bureau of Budget and Planning, develop and implement a process to validate the completeness of the data in iMatrix. At a minimum, this process should include an analysis of IT expenditures in the financial management system to ensure expenditures are reported in iMatrix, as needed. | Resolved   |
| 141 | Bureau of Information Resource Management | AUD-FM-16-31  | Audit of the Department of State Process To Select and Approve Information Technology Investments | 3/23/2016  | 26         | OIG recommends that the Bureau of Information Resource Management, in coordination with the Bureau of Budget and Planning, develop and implement a process to validate the accuracy of data in iMatrix. This could include developing and implementing analytical procedures to identify anomalies in iMatrix data.  | Resolved   |
| 142 | Bureau of Information Resource Management | AUD-FM-16-31  | Audit of the Department of State Process To Select and Approve Information Technology Investments | 3/23/2016  | 27         | OIG recommends that the Bureau of Information Resource Management develop and implement a policy requiring bureaus and offices to submit source documents to support the information entered into iMatrix.   | Resolved   |

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| 143 | Bureau of Information Resource Management | AUD-FM-16-31  | Audit of the Department of State Process To Select and Approve Information Technology Investments                 | 3/23/2016  | 28         | OIG recommends that the Bureau of Information Resource Management develop and implement a process to verify that bureaus and offices are submitting source documents to support the information entered into iMatrix in accordance with the policy developed that requires bureaus and offices to submit source documents that support the information entered into iMatrix. | Resolved   |
| 144 | Bureau of Information Resource Management | AUD-FM-16-31  | Audit of the Department of State Process To Select and Approve Information Technology Investments                 | 3/23/2016  | 29         | OIG recommends that the Bureau of Information Resource Management determine the information for non-major investments that should be included in iMatrix and develop a policy to implement that determination.   | Resolved   |
| 145 | Bureau of Information Resource Management | AUD-IT-16-37  | (U) Management Assistance Report: Inactive Accounts Within the Department of State's Active Directory             | 6/1/2016   | 1          | (U) OIG recommends that the Bureau of Information Resource Management develop a plan to effectively identify and remove inactive mailbox, service, and terminated user accounts.   | Resolved   |
| 146 | Bureau of Information Resource Management | AUD-IT-16-37  | (U) Management Assistance Report: Inactive Accounts Within the Department of State's Active Directory             | 6/1/2016   | 2          | (U) OIG recommends that the Bureau of Information Resource Management implement the plan developed in response to Recommendation 1 to guide the identification and timely removal of inactive mailbox, service, and terminated user accounts.  | Resolved   |
| 147 | Bureau of Information Resource Management | ISP-I-17-18   | Inspection of Consulate General Jerusalem   | 6/12/2017  | 23         | The Bureau of Information Resource Management, in coordination with the Bureau of Diplomatic Security and Consulate General Jerusalem, should upgrade the radio network coverage for the West Bank to meet Department standards. (Action: IRM, in coordination with DS and Consulate General Jerusalem)  | Resolved   |
| 148 | Bureau of Information Resource Management | AUD-IT-17-56  | Management Assistance Report: The Process to Authorize and Track Information Technology Systems Needs Improvement | 8/29/2017  | 1          | OIG recommends that the Bureau of Information Resource Management formally designate a central repository to track the status of systems authorizations and documentation for Department information systems, including Federal Information Security Management Act reportable systems.  | Resolved   |

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| 149 | Bureau of Information Resource Management | AUD-IT-17-56  | Management Assistance Report: The Process to Authorize and Track Information Technology Systems Needs Improvement | 8/29/2017  | 2          | OIG recommends that the Bureau of Information Resource Management update Department policies and procedures to reflect the designation of the central repository in Recommendation 1.   | Resolved   |
| 150 | Bureau of Information Resource Management | AUD-IT-17-56  | Management Assistance Report: The Process to Authorize and Track Information Technology Systems Needs Improvement | 8/29/2017  | 7          | OIG recommends that the Bureau of Information Resource Management develop and implement a corrective action plan that addresses how the Department will comply with Department policy on the Systems Authorization Process. The corrective action plan should identify the root cause of compliance failures, action steps to resolve such compliance failures, improvement benchmarks and a timeframe for completion, and an escalation process to hold system owners accountable. | Resolved   |
| 151 | Bureau of Information Resource Management | AUD-IT-17-61  | Audit of the Department of State's Efforts to Detect and Address the Use of Unapproved Portable Devices           | 9/14/2017  | 1          | OIG recommends that the Bureau of Information Resource Management develop and implement a process to periodically verify that the Enterprise Master List is kept current and complete.  | Resolved   |
| 152 | Bureau of Information Resource Management | AUD-IT-17-61  | Audit of the Department of State's Efforts to Detect and Address the Use of Unapproved Portable Devices           | 9/14/2017  | 2          | OIG recommends that the Bureau of Information Resource Management (IRM) develop and implement a process to verify that a Local Configuration Control Board has authorized the type of portable device requested each time a bureau or post requests that IRM add a type of portable device to the Enterprise Master List.   | Resolved   |
| 153 | Bureau of Information Resource Management | AUD-IT-17-61  | Audit of the Department of State's Efforts to Detect and Address the Use of Unapproved Portable Devices           | 9/14/2017  | 3          | OIG recommends that the Bureau of Information Resource Management enforce its authority to administer the use of portable devices in the Department of State, as well as the policies, standards, and procedures related to portable devices.   | Resolved   |

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| 154 | Bureau of Information Resource Management | AUD-IT-17-61  | Audit of the Department of State's Efforts to Detect and Address the Use of Unapproved Portable Devices | 9/14/2017  | 4          | OIG recommends that the Bureau of Information Resource Management (IRM) perform and document an analysis of the advantages and disadvantages to limiting the brands of portable devices that are allowed to be connected to OpenNet, including connection though local networks. From the completed analysis, IRM should determine whether to limit or not limit the brands of portable devices.  | Started    |
| 155 | Bureau of Information Resource Management | AUD-IT-17-61  | Audit of the Department of State's Efforts to Detect and Address the Use of Unapproved Portable Devices | 9/14/2017  | 5          | If the Bureau of Information Resource Management (IRM) determines that it should limit the brands of portable devices that are allowed to be connected to OpenNet (Recommendation 4), OIG recommends that IRM develop and issue a policy that implements this determination.  | Started    |
| 156 | Bureau of Information Resource Management | AUD-IT-17-61  | Audit of the Department of State's Efforts to Detect and Address the Use of Unapproved Portable Devices | 9/14/2017  | 6          | OIG recommends that the Bureau of Information Resource Management develop and implement formal, standardized procedures for regularly performing an analysis of the Symantec Endpoint Protection Application and Device Control reports. At a minimum, the procedures should provide guidance for analysts on how to review the Symantec reports, how to identify high risk exceptions for follow-up, what actions should be taken during follow-up, and how to document the follow-up and the remediation taken. | Resolved   |
| 157 | Bureau of Information Resource Management | AUD-IT-17-61  | Audit of the Department of State's Efforts to Detect and Address the Use of Unapproved Portable Devices | 9/14/2017  | 7          | OIG recommends that the Bureau of Information Resource Management, in coordination with the Bureau of Diplomatic Security, develop and implement formal procedures to identify and remediate cybersecurity policy violations created when employees connect unapproved portable devices to OpenNet. The formal procedures should include a description of each bureau's roles and responsibilities in the process.  | Resolved   |

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| 158 | Bureau of Information Resource Management | AUD-IT-17-64  | Audit of the Department of State's Information Technology Configuration Control Board | 9/27/2017  | 1          | OIG recommends that the Bureau of Information Resource Management develop and implement a detailed program plan for the Information Technology Configuration Control Board process that includes clear goals and attainable objectives and defines areas of authority and responsibility.   | Resolved   |
| 159 | Bureau of Information Resource Management | AUD-IT-17-64  | Audit of the Department of State's Information Technology Configuration Control Board | 9/27/2017  | 2          | OIG recommends that the Bureau of Information Resource Management develop and implement a process to establish and periodically update a list of system, product, or software owners who will be authorized to make change requests for their system, product, or software. The list should be made available to users and members of the Information Technology Configuration Control Board through the Information Technology Configuration Control Board website or applicable policies and procedures outlined in Recommendation 12.  | Resolved   |
| 160 | Bureau of Information Resource Management | AUD-IT-17-64  | Audit of the Department of State's Information Technology Configuration Control Board | 9/27/2017  | 3          | OIG recommends that the Bureau of Information Resource Management determine what documentation is needed to support a change request and modify the policies and procedures outlined in Recommendation 12 or other guidance, such as the submitters guide, provided to change request submitters to reflect the documentation that is required for a complete and accurate change request submission.   | Resolved   |
| 161 | Bureau of Information Resource Management | AUD-IT-17-64  | Audit of the Department of State's Information Technology Configuration Control Board | 9/27/2017  | 4          | OIG recommends that the Bureau of Information Resource Management develop and implement guidance for change requests to require and include: (a) minimum testing standards for change requests, (b) instructions that testing be performed in advance of the change request being submitted and that the testing documentation be submitted as part of the change request process, and (c) a clearly defined technical review of the testing documentation that is submitted to verify the documentation complies with minimum standards. | Resolved   |

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| 162 | Bureau of Information Resource Management | AUD-IT-17-64  | Audit of the Department of State's Information Technology Configuration Control Board | 9/27/2017  | 5          | OIG recommends that the Bureau of Information Resource Management remove the default proceed ability for Technical Reviewers in the Virtual Information Technology Configuration Control Board application.  | Started    |
| 163 | Bureau of Information Resource Management | AUD-IT-17-64  | Audit of the Department of State's Information Technology Configuration Control Board | 9/27/2017  | 6          | OIG recommends that the Bureau of Information Resource Management formally notify all Technical Reviewers that default proceeds are no longer allowed and that all Technical Reviewers must review all change requests and either approve, stop, or reject the change request. Policies and procedures outlined in Recommendation 12 or other guidance should be updated to reflect this change to the process.  | Started    |
| 164 | Bureau of Information Resource Management | AUD-IT-17-64  | Audit of the Department of State's Information Technology Configuration Control Board | 9/27/2017  | 7          | OIG recommends that the Bureau of Information Resource Management develop and implement a quality assurance assessment process for all change requests going through the enterprise-wide Information Technology Configuration Control Board. At a minimum, the quality assurance process should include periodic evaluation of open "stops," reviews to ensure retention of all relevant documentation, and a final check prior to adding change to the baseline to ensure all pertinent process controls occurred at a minimum. | Resolved   |
| 165 | Bureau of Information Resource Management | AUD-IT-17-64  | Audit of the Department of State's Information Technology Configuration Control Board | 9/27/2017  | 8          | OIG recommends that the Bureau of Information Resource Management verify, no later than 30 days after the final issuance of this report, that all Technical Reviewers and Voters that participate in the Information Technology Configuration Control Board process are formally appointed.  | Resolved   |
| 166 | Bureau of Information Resource Management | AUD-IT-17-64  | Audit of the Department of State's Information Technology Configuration Control Board | 9/27/2017  | 9          | OIG recommends that the Bureau of Information Resource Management develop and implement a process to formally appoint new Technical Reviewers and Voters who participate in the Information Technology Configuration Control Board process.  | Resolved   |

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| 167 | Bureau of Information Resource Management | AUD-IT-17-64  | Audit of the Department of State's Information Technology Configuration Control Board | 9/27/2017  | 10         | OIG recommends that the Bureau of Information Resource Management define the roles, responsibilities, and technical skillsets for each technical review and voting area and develop and implement a vetting process to verify Technical Reviewers and Voters have the knowledge, skills, and abilities to perform their assigned duties related to the Information Technology Configuration Control Board process.   | Resolved   |
| 168 | Bureau of Information Resource Management | AUD-IT-17-64  | Audit of the Department of State's Information Technology Configuration Control Board | 9/27/2017  | 11         | OIG recommends that the Bureau of Information Resource Management develop and implement a process to verify that Technical Reviewers and Voters have formally appointed alternatives.  | Resolved   |
| 169 | Bureau of Information Resource Management | AUD-IT-17-64  | Audit of the Department of State's Information Technology Configuration Control Board | 9/27/2017  | 12         | OIG recommends that the Bureau of Information Resource Management develop and implement complete and consistent policies and procedures and supplemental guidance, such as a Submitter's Guide, for the Information Technology Configuration Control Board process. The policies, procedures, and guidance should, at a minimum, include guidance on roles and responsibilities, detailed procedure steps for submitters, minimum testing requirements, instructions on how Technical Reviewers and Voters should conduct their review, the appropriate use of "stops," and established timelines for the process. | Resolved   |

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| 170 | Bureau of Information Resource Management | AUD-IT-17-64  | Audit of the Department of State's Information Technology Configuration Control Board | 9/27/2017  | 13         | OIG recommends that the Bureau of Information Resource Management develop and implement a process to periodically review and validate the accuracy and completeness of the data in the Virtual Information Technology Configuration Control Board database and to correct data integrity, omissions and inaccuracies existing between the new and old databases and when identified going forward. As part of this effort, the Bureau of Information Resource Management should ensure that the old database is available solely as a read-only reference resource and that new data cannot be entered into that database. | Resolved   |
| 171 | Bureau of Information Resource Management | AUD-IT-17-64  | Audit of the Department of State's Information Technology Configuration Control Board | 9/27/2017  | 14         | OIG recommends that the Bureau of Information Resource Management develop and implement required, periodic, training for Information Technology Configuration Control Board management and personnel, Bureau Sponsors, Technical Reviewers, Voters, and change request submitters involved in the Information Technology Configuration Control Board process.  | Resolved   |
| 172 | Bureau of Information Resource Management | AUD-IT-17-64  | Audit of the Department of State's Information Technology Configuration Control Board | 9/27/2017  | 15         | OIG recommends that the Bureau of Information Resource Management develop and implement a formal process to (a) monitor the status of all change requests throughout each stage of the change request process and (b) notify stakeholders when a request is nearing the end of a deadline or when an event occurs that may affect the deadline for a change request.   | Resolved   |
| 173 | Bureau of Information Resource Management | AUD-IT-17-64  | Audit of the Department of State's Information Technology Configuration Control Board | 9/27/2017  | 16         | OIG recommends that the Bureau of Information Resource Management develop and implement policies and procedures to hold officials accountable for failure to meet established deadlines in the Information Technology Configuration Control Board change request process. Once completed, the policies, procedures, and supplemental guidance discussed in Recommendation 12 should be updated.  | Resolved   |

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| 174 | Bureau of Information Resource Management                     | AUD-IT-17-64   | Audit of the Department of State's Information Technology Configuration Control Board                             | 9/27/2017  | 17         | OIG recommends that the Bureau of Information Resource Management develop and implement a formal process to periodically gather, assess, and report on its change request review process timeliness metrics and to make those results available to its stakeholders and customers in addition to appropriate bureau officials.  | Resolved   |
| 175 | Bureau of International Narcotics and Law Enforcement Affairs | AUD-MERO-15-02 | Audit of Bureau of International Narcotics and Law Enforcement Affairs Counternarcotics Assistance to Afghanistan | 11/12/2014 | 1          | OIG recommends that the Bureau of International Narcotics and Law Enforcement Affairs implement Performance Measurement Plans for all initiatives in Afghanistan that measure and manage program performance and communicate program results.   | Resolved   |
| 176 | Bureau of International Narcotics and Law Enforcement Affairs | AUD-MERO-17-46 | Compliance Follow-Up Audit of Bureau of International Narcotics and Law Enforcement Affairs Programs in Pakistan  | 7/24/2017  | 2          | OIG recommends that that the Bureau of International Narcotics and Law Enforcement Affairs develop and implement new program descriptions, goals, objectives, and performance measures for the Law Enforcement and Border Security program to be inserted as Amendments to the Letter of Agreement and the Project Implementation Letters between the U.S. Government and the Government of Pakistan. | Resolved   |
| 177 | Bureau of International Narcotics and Law Enforcement Affairs | AUD-MERO-17-46 | Compliance Follow-Up Audit of Bureau of International Narcotics and Law Enforcement Affairs Programs in Pakistan  | 7/24/2017  | 3          | OIG recommends that the Bureau of International Narcotics and Law Enforcement Affairs develop and implement new program descriptions, goals, objectives, and performance measures for the Counternarcotics and Rule of Law Programs for future Amendments to the Letter of Agreement and Project Implementation Letters between the U.S Government and the Government of Pakistan.                    | Resolved   |
| 178 | Bureau of International Narcotics and Law Enforcement Affairs | AUD-MERO-17-46 | Compliance Follow-Up Audit of Bureau of International Narcotics and Law Enforcement Affairs Programs in Pakistan  | 7/24/2017  | 6          | OIG recommends that the Bureau of International Narcotics and Law Enforcement Affairs determine how much of the \$8.7 million of funds not subobligated for use within its Pakistan Law Enforcement and Border Security, Counternarcotics, and Rule of Law Programs can be reprogrammed or returned to the U.S. Treasury.   | Resolved   |

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| 179 | Bureau of International Narcotics and Law Enforcement Affairs | AUD-MERO-18-14 | Management Assistance Report: Contract Terms and Guidance for Approving Student Training Expenses Relating to the Justice and Corrections Programs in Afghanistan Require Attention | 10/25/2017 | 6          | OIG recommends that the Bureau of International Narcotics and Law Enforcement Affairs revise its Standard Operating Procedure for invoice review, entitled INL Standard Operating Policy/Procedure on Certification of Invoice, to include additional invoice review steps to verify the validity of invoiced student training expenses, including confirming that (1) all student travel and other training expenses are contractually authorized and approved by the Contracting Officer's Representative, (2) student travel and other training expenses are properly supported, including identifying what constitutes proper support, and (3) cash payments have accompanying supporting documentation that establish cash payments were received by the intended recipient for the amount approved. | Resolved   |
| 180 | Bureau of International Security and Nonproliferation         | INV-17-02      | Management Assistance Report: Bureau of International Security and Nonproliferation, Nonproliferation and Disarmament Fund Invoice Submission Process                               | 9/29/2017  | 1          | OIG recommends that ISN coordinate with the Department to create a policy within 14 Foreign Affairs Manual 730 requiring all Department employees to receive official Department business information and correspondence at an official Department mailing address to ensure the proper screening and receipt of official correspondence and to eliminate any perception of inappropriate employee activity.  | Resolved   |
| 181 | Bureau of Medical Services                                    | AUD-CGI-16-40  | Audit of the Aeromedical Biological Containment Evacuation Contracts Within the Bureau of Medical Services  | 8/4/2016   | 4          | OIG recommends the Bureau of Medical Services develop and implement procedures for processing invoices, billing, and collecting reimbursements for non-Department of State medical evacuations.   | Resolved   |

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| 182 | Bureau of Near Eastern Affairs | AUD-MERO-16-41 | Audit of Task Orders for the Union III Compound Awarded Under the Operations and Maintenance Support Services Contract                                  | 7/8/2016   | 2          | OIG recommends that the Bureau of Near Eastern Affairs develop and implement a Quality Assurance Surveillance Plan in accordance with Federal Acquisition Regulation 46.4, "Government Contract Quality Assurance," and the revised Performance Work Statements, to guide oversight of all ongoing and future revitalization, transition, and sustainment requirements for task orders SAQMMA15F0567 and SAQMMA15F1245. | Resolved   |
| 183 | Bureau of Near Eastern Affairs | AUD-MERO-16-41 | Audit of Task Orders for the Union III Compound Awarded Under the Operations and Maintenance Support Services Contract                                  | 7/8/2016   | 5          | OIG recommends that the Bureau of Near Eastern Affairs, in coordination with the Department of Defense, identify requirements for task orders SAQMMA15F0567 and SAQMMA15F1245 and milestone dates for completion, and communicate the defined requirements to the Bureau of Administration, Office of Logistics Management, Office of Acquisitions Management by the established milestone dates.                       | Resolved   |
| 184 | Bureau of Near Eastern Affairs | AUD-MERO-16-42 | Audit of the Bureau of Near Eastern Affairs Financial Management of Grants and Cooperative Agreements Supporting the Middle East Partnership Initiative | 7/20/2016  | 3          | OIG recommends that the Bureau of Near Eastern Affairs (a) determine whether questioned costs of \$1.5 million identified in this report are allowable and supported and (b) recover any costs determined to be unallowable or unsupported.   | Resolved   |
| 185 | Bureau of Near Eastern Affairs | AUD-MERO-16-42 | Audit of the Bureau of Near Eastern Affairs Financial Management of Grants and Cooperative Agreements Supporting the Middle East Partnership Initiative | 7/20/2016  | 4          | OIG recommends that the Bureau of Near Eastern Affairs review the 223 MEPI awards not selected for this audit to determine whether unallowable and unsupported costs exist in those awards and to recover any costs deemed unallowable or unsupported.  | Resolved   |
| 186 | Bureau of Near Eastern Affairs | AUD-MERO-17-01 | Audit of the Department of State Vetting Process for Syrian Non-Lethal Assistance   | 11/10/2016 | 2          | OIG recommends that the Bureau of Near Eastern Affairs distribute the consolidated vetting guidance from Recommendation 1 to implementing partners to make them aware of Department vetting requirements.   | Resolved   |

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| 187 | Bureau of Near Eastern Affairs | AUD-MERO-17-01 | Audit of the Department of State Vetting Process for Syrian Non-Lethal Assistance                                 | 11/10/2016 | 3          | OIG recommends that the Bureau of Near Eastern Affairs develop and implement internal controls to verify that its implementing partners are submitting information on required individuals for vetting in accordance with the consolidated vetting guidance from Recommendation 1.   | Resolved   |
| 188 | Bureau of Near Eastern Affairs | AUD-MERO-17-01 | Audit of the Department of State Vetting Process for Syrian Non-Lethal Assistance                                 | 11/10/2016 | 4          | OIG recommends that the Bureau of Near Eastern Affairs include in future award agreements the vetting requirements for Syria programs.   | Resolved   |
| 189 | Bureau of Near Eastern Affairs | AUD-MERO-17-16 | Audit of the Oversight of Fuel Acquisition and Related Services Supporting Department of State Operations in Iraq | 12/12/2016 | 5          | OIG recommends that the Bureau of Near Eastern Affairs conduct a study to determine the appropriate numbers of trained, technically experienced, and certified oversight personnel required to oversee the Baghdad Life Support Services and Operations and Maintenance Support Services contracts, to include specific consideration of 2-year assignments, required Federal Acquisition Certification for Contracting Officer's Representatives certification levels, and appointment of additional assistant contracting officer's representatives. | Resolved   |
| 190 | Bureau of Near Eastern Affairs | AUD-MERO-17-16 | Audit of the Oversight of Fuel Acquisition and Related Services Supporting Department of State Operations in Iraq | 12/12/2016 | 6          | OIG recommends that the Bureau of Near Eastern Affairs use the results of the study from Recommendation 5 to nominate appropriate personnel so contracting officers with the Bureau of Administration, Office of Logistics Management, Office of Acquisitions Management, can assign qualified oversight personnel for those contracts and task orders.  | Resolved   |
| 191 | Bureau of Near Eastern Affairs | AUD-MERO-17-16 | Audit of the Oversight of Fuel Acquisition and Related Services Supporting Department of State Operations in Iraq | 12/12/2016 | 8          | OIG recommends that the Bureau of Near Eastern Affairs, in coordination with the contracting officer in the Bureau of Administration, Office of Logistics Management, Office of Acquisitions Management, contractually require PAE Government Services, Inc., to conduct a full inventory of all fuel storage and distribution equipment and machinery that require maintenance, as part of fuel-related task orders under the Operations and Maintenance Support Services contract.   | Resolved   |

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| 192 | Bureau of Near Eastern Affairs | AUD-MERO-17-16 | Audit of the Oversight of Fuel Acquisition and Related Services Supporting Department of State Operations in Iraq | 12/12/2016 | 9          | OIG recommends that the Bureau of Near Eastern Affairs, a) in coordination with the contracting officer in the Bureau of Administration, Office of Logistics Management, Office of Acquisitions Management, contractually require PAE Government Services, Inc., to obtain operation and maintenance manuals for all fuel storage and distribution equipment and machinery, to include the manufacturers' recommended maintenance schedules; and b) include steps for inspecting these requirements in the corresponding quality assurance surveillance plans. | Resolved   |
| 193 | Bureau of Near Eastern Affairs | AUD-MERO-17-16 | Audit of the Oversight of Fuel Acquisition and Related Services Supporting Department of State Operations in Iraq | 12/12/2016 | 10         | OIG recommends that the Bureau of Near Eastern Affairs review all requirements included in the fuel-related task orders under the Baghdad Life Support Services and Operations and Maintenance Support Services contracts, and develop and implement quality assurance surveillance plans that address key requirements and high-risk areas related to all fuel acquisition and services.  | Resolved   |
| 194 | Bureau of Near Eastern Affairs | AUD-MERO-17-16 | Audit of the Oversight of Fuel Acquisition and Related Services Supporting Department of State Operations in Iraq | 12/12/2016 | 11         | OIG recommends that the Bureau of Near Eastern Affairs develop and implement training for contracting officer's representatives that emphasizes contractor responsibilities for inspecting goods prior to delivery and focuses on limitations of authority for contracting officer's representatives to accept nonconforming goods.  | Resolved   |
| 195 | Bureau of Near Eastern Affairs | AUD-MERO-17-16 | Audit of the Oversight of Fuel Acquisition and Related Services Supporting Department of State Operations in Iraq | 12/12/2016 | 14         | OIG recommends that the Bureau of Near Eastern Affairs develop and implement a procedure, such as establishing a group email address for all pertinent oversight personnel, to ensure that all contracting officer's representatives are aware of significant, performance-related correspondence between the contracting officer's representative, contracting officer, and contractor.   | Resolved   |

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| 196 | Bureau of Near Eastern Affairs | AUD-MERO-17-33 | Aspects of the Invoice Review Process Used by the Bureau of Near Eastern Affairs to Support Contingency Operations in Iraq Need Improvement | 3/3/2017   | 1          | OIG recommends that the Bureau of Near Eastern Affairs develop and include in its invoice review guidance its expectations regarding the timely completion of post-payment reviews of expedited provisional payments and procedures that CMO-Frankfurt may follow to proactively request additional resources when invoice review backlogs are likely to occur.  | Resolved   |
| 197 | Bureau of Near Eastern Affairs | AUD-MERO-17-33 | Aspects of the Invoice Review Process Used by the Bureau of Near Eastern Affairs to Support Contingency Operations in Iraq Need Improvement | 3/3/2017   | 2          | OIG recommends that the Bureau of Near Eastern Affairs, in coordination with the Bureau of Administration, provide sufficient resources to the Contract Management Office-Frankfurt to ensure all unallowable costs are identified and recovered in a timely manner. This review should begin with those invoices provisionally approved beginning in September 2014 and already identified as awaiting post-payment review and then going back to identify and review those provisionally approved invoices submitted before September 2014 that did not receive full review. | Resolved   |
| 198 | Bureau of Near Eastern Affairs | AUD-MERO-17-33 | Aspects of the Invoice Review Process Used by the Bureau of Near Eastern Affairs to Support Contingency Operations in Iraq Need Improvement | 3/3/2017   | 3          | OIG recommends that the Bureau of Near Eastern Affairs report the status of the backlog to the Office of Inspector General, Assistant Inspector General for Audits, including the number of invoices reviewed and the dollar value of questioned costs, quarterly until such time as it has been eliminated.   | Resolved   |
| 199 | Bureau of Near Eastern Affairs | AUD-MERO-17-33 | Aspects of the Invoice Review Process Used by the Bureau of Near Eastern Affairs to Support Contingency Operations in Iraq Need Improvement | 3/3/2017   | 4          | OIG recommends that the Bureau of Near Eastern Affairs direct its invoice review staff to fully document sampling done in each applicable checklist and to follow the checklist guidance.  | Resolved   |

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| 200 | Bureau of Near Eastern Affairs | AUD-MERO-17-33 | Aspects of the Invoice Review Process Used by the Bureau of Near Eastern Affairs to Support Contingency Operations in Iraq Need Improvement | 3/3/2017   | 5          | OIG recommends that the Bureau of Near Eastern Affairs develop and implement a process to periodically review and address staffing requirements in Frankfurt and in Iraq to ensure invoice oversight staff levels are sufficient to complete effective and timely invoice reviews in accordance with the Federal Acquisition Regulation, Foreign Affairs Manual, Foreign Affairs Handbook, Contract Management Office-Frankfurt Standard Operating Procedures, and Contract Management Office-Frankfurt invoice checklist. | Resolved   |
| 201 | Bureau of Near Eastern Affairs | AUD-MERO-17-33 | Aspects of the Invoice Review Process Used by the Bureau of Near Eastern Affairs to Support Contingency Operations in Iraq Need Improvement | 3/3/2017   | 6          | OIG recommends that the Bureau of Near Eastern Affairs develop and implement a process to a) track and ensure that contracting officer's representative inspections are completed in accordance with the inspection schedule; b) document the inspection results, including deficiencies found; and c) report the inspection results to the Contract Management Office-Frankfurt in a timely manner.   | Resolved   |
| 202 | Bureau of Near Eastern Affairs | AUD-MERO-17-33 | Aspects of the Invoice Review Process Used by the Bureau of Near Eastern Affairs to Support Contingency Operations in Iraq Need Improvement | 3/3/2017   | 7          | OIG recommends that the Bureau of Near Eastern Affairs, develop reliable, objective, clear, and measurable performance metrics and include these metrics in all current and future Bureau of Near Eastern Affairs Iraq contracts.  | Resolved   |
| 203 | Bureau of Near Eastern Affairs | ISP-I-17-22    | Inspection of the Bureau of Near Eastern Affairs  | 5/23/2017  | 2          | The Bureau of Near Eastern Affairs should institute formal processes to measure performance against strategic goals in accordance with Department standards. (Action: NEA)   | Resolved   |
| 204 | Bureau of Near Eastern Affairs | ISP-I-17-22    | Inspection of the Bureau of Near Eastern Affairs  | 5/23/2017  | 6          | The Bureau of Near Eastern Affairs, in coordination with the U.S. Office of Foreign Assistance Resources, should prepare an operational plan for Syria-related foreign assistance. (Action: NEA, in coordination with F)   | Resolved   |

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| 205 | Bureau of Overseas Buildings Operations | AUD-HCI-13-02 | Audit of U.S. Department of State Use of Short-Term Leases Overseas  | 12/5/2012  | 6          | OIG recommends the Bureau of Overseas Buildings Operations establish guidelines and procedures that require posts to track and report on make-ready funds expended for individual properties to help ensure funds are expended for authorized purposes and do not exceed the Department of State limitation.   | Resolved   |
| 206 | Bureau of Overseas Buildings Operations | AUD-ACF-16-20 | Compliance Follow-up Audit of the Process To Request and Prioritize Physical Security-Related Activities at Overseas Posts | 12/15/2015 | 7          | OIG recommends that the Bureau of Overseas Buildings Operations, in coordination with the Bureau of Diplomatic Security, develop and implement formal standardized processes to prioritize physical security-related deficiencies at posts by category, such as major physical security upgrades, forced-entry/ballistic-resistant projects, and minor physical security upgrades. The prioritizations should be performed based on a comprehensive list of all physical security needs and should be periodically updated based on changes in risk factors or posts' needs. The processes used to perform the prioritizations should be documented and repeatable. In addition, in developing the processes, consideration should be given to how the Overseas Security Policy Board standards will be utilized, what risk factors will be considered, and what impact upcoming major rehabilitation projects or new construction would have on the prioritized rankings. | Resolved   |
| 207 | Bureau of Overseas Buildings Operations | AUD-ACF-16-20 | Compliance Follow-up Audit of the Process To Request and Prioritize Physical Security-Related Activities at Overseas Posts | 12/15/2015 | 8          | OIG recommends that the Bureau of Overseas Buildings Operations, in coordination with the Bureau of Diplomatic Security, develop and issue a Long-Range Physical Security Plan.  | Resolved   |
| 208 | Bureau of Overseas Buildings Operations | AUD-ACF-16-20 | Compliance Follow-up Audit of the Process To Request and Prioritize Physical Security-Related Activities at Overseas Posts | 12/15/2015 | 9          | OIG recommends that the Bureau of Overseas Buildings Operations develop and implement a process to respond to posts' formal requests for physical security-related funding, which should include commitments to respond within certain timeframes.   | Resolved   |

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| 209 | Bureau of Overseas Buildings Operations | AUD-MERO-17-28 | Management Assistance Report: Improvements Needed to the Security Certification Process To Ensure Compliance With Security Standards at Embassy Kabul, Afghanistan | 3/3/2017   | 2          | OIG recommends that the Bureau of Overseas Buildings Operations, in coordination with the Bureau of Diplomatic Security, revise the physical security certification process to include a follow-up inspection by DS to confirm that OBO took actions to address all identified deficiencies in accordance with physical security standards prior to occupancy.  | Started    |
| 210 | Bureau of Overseas Buildings Operations | ISP-I-17-12    | Inspection of Embassy Monrovia, Liberia  | 5/24/2017  | 5          | The Bureau of Overseas Buildings Operations, in coordination with Embassy Monrovia, should take prompt corrective action to treat sewage and waste water in accordance with Department guidelines. (Action: OBO, in coordination with Embassy Monrovia)   | Resolved   |
| 211 | Bureau of Overseas Buildings Operations | ISP-I-17-18    | Inspection of Consulate General Jerusalem  | 6/12/2017  | 4          | The Bureau of Overseas Buildings Operations, in coordination with Consulate General Jerusalem, should bring the General Services Annex/Warehouse into compliance with Department standards. (Action: OBO, in coordination with Consulate General Jerusalem)   | Resolved   |
| 212 | Bureau of Overseas Buildings Operations | AUD-MERO-17-44 | Management Assistance Report: Building Deficiencies Identified at U.S. Embassy Kabul, Afghanistan Need Prompt Attention  | 6/27/2017  | 1          | OIG recommends that the Bureau of Overseas Buildings Operations have a qualified professional experienced in the installation of plumbing systems conduct a full inspection, analysis, and recommissioning of waste and vent plumbing systems in the Staff Diplomatic Apartment-1. This inspection should include a comprehensive review of all submittals, plans, and specifications, as well as all installation methods and materials. | Resolved   |
| 213 | Bureau of Overseas Buildings Operations | AUD-MERO-17-44 | Management Assistance Report: Building Deficiencies Identified at U.S. Embassy Kabul, Afghanistan Need Prompt Attention  | 6/27/2017  | 2          | OIG recommends that the Bureau of Overseas Buildings Operations implement actions to replace and correct all plumbing installations in the Staff Diplomatic Apartment-1 found not to conform to the contract requirements.  | Resolved   |

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| 214 | Bureau of Overseas Buildings Operations | AUD-MERO-17-44 | Management Assistance Report: Building Deficiencies Identified at U.S. Embassy Kabul, Afghanistan Need Prompt Attention | 6/27/2017  | 3          | OIG recommends that the Bureau of Overseas Buildings Operations have a qualified professional experienced in the installation of electrical systems conduct a full inspection, analysis, and recommissioning of electrical systems in both the New Office Annex and Staff Diplomatic Apartment-1. This inspection should include a comprehensive review of all submittals, plans, and specifications, as well as all installation methods and materials.  | Resolved   |
| 215 | Bureau of Overseas Buildings Operations | AUD-MERO-17-44 | Management Assistance Report: Building Deficiencies Identified at U.S. Embassy Kabul, Afghanistan Need Prompt Attention | 6/27/2017  | 4          | OIG recommends that the Bureau of Overseas Buildings Operations implement actions to replace and correct all electrical system installations in the New Office Annex and Staff Diplomatic Apartment-1 found not to conform to the contract requirements.  | Resolved   |
| 216 | Bureau of Overseas Buildings Operations | AUD-MERO-17-44 | Management Assistance Report: Building Deficiencies Identified at U.S. Embassy Kabul, Afghanistan Need Prompt Attention | 6/27/2017  | 5          | OIG recommends that, prior to issuing the certificate of final acceptance for the New Office Annex and Staff Diplomatic Apartment-1, the Bureau of Overseas Buildings Operations establish guidance for hydronic water systems in both buildings, including specifying recommended concentrations of tolytriazole (40–50 parts per million) and nitrite (600–1,000 parts per million).  | Resolved   |
| 217 | Bureau of Overseas Buildings Operations | AUD-MERO-17-44 | Management Assistance Report: Building Deficiencies Identified at U.S. Embassy Kabul, Afghanistan Need Prompt Attention | 6/27/2017  | 6          | OIG recommends that, prior to issuing the certificate of final acceptance for the New Office Annex and Staff Diplomatic Apartment-1, the Bureau of Overseas Buildings Operations require Caddell to conduct an examination of existing copper pipe in both buildings by removing samples of the piping or by ultrasound testing and submit the results for independent laboratory analysis to verify whether scaling, corrosion, or other life-expectancy impacts are detected from the high pH operating conditions. | Resolved   |

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| 218 | Bureau of Overseas Buildings Operations | AUD-MERO-17-44 | Management Assistance Report: Building Deficiencies Identified at U.S. Embassy Kabul, Afghanistan Need Prompt Attention | 6/27/2017  | 7          | OIG recommends that if the independent laboratory analysis verifies the existence of pipe scaling or corrosion in the New Office Annex or Staff Diplomatic Apartment-1, the Bureau of Overseas Buildings Operations implement actions to remedy any damage to hydronic pipes.  | Resolved   |
| 219 | Bureau of Overseas Buildings Operations | AUD-MERO-17-44 | Management Assistance Report: Building Deficiencies Identified at U.S. Embassy Kabul, Afghanistan Need Prompt Attention | 6/27/2017  | 8          | OIG recommends that the Bureau of Overseas Buildings Operations develop and implement protocols to verify that its water treatment programs are in compliance with industry best practices, including (a) determining the best possible treatment to effectively protect copper piping, (b) conducting regular testing based on the specialized requirements of each system, (c) conducting quarterly quality-assurance testing performed by an independent industrial water treatment lab, and (d) installing a corrosion testing system. | Resolved   |
| 220 | Bureau of Overseas Buildings Operations | AUD-MERO-17-44 | Management Assistance Report: Building Deficiencies Identified at U.S. Embassy Kabul, Afghanistan Need Prompt Attention | 6/27/2017  | 9          | OIG recommends that the Bureau of Overseas Buildings Operations have a qualified technician conduct an inspection of the New Office Annex and Staff Diplomatic Apartment-1 to identify poor workmanship and incorrect installations affecting heating, ventilation, and air conditioning systems. This inspection should include a comprehensive review of all submittals, plans, and specifications, as well as all installation methods and materials.   | Resolved   |
| 221 | Bureau of Overseas Buildings Operations | AUD-MERO-17-44 | Management Assistance Report: Building Deficiencies Identified at U.S. Embassy Kabul, Afghanistan Need Prompt Attention | 6/27/2017  | 10         | OIG recommends that the Bureau of Overseas Buildings Operations implement actions to correct all heating, ventilation, and air conditioning system installations in the New Office Annex and Staff Diplomatic Apartment-1 that do not conform to the contract requirements, including the specific examples OIG cited in this report.  | Resolved   |

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| 222 | Bureau of Overseas Buildings Operations | AUD-MERO-17-44 | Management Assistance Report: Building Deficiencies Identified at U.S. Embassy Kabul, Afghanistan Need Prompt Attention | 6/27/2017  | 11         | OIG recommends that the Bureau of Overseas Buildings Operations identify and relocate all inaccessible smoke detectors in the New Office Annex and Staff Diplomatic Apartment-1 so that they can be accessed for periodic testing and maintenance.  | Resolved   |
| 223 | Bureau of Overseas Buildings Operations | AUD-MERO-17-44 | Management Assistance Report: Building Deficiencies Identified at U.S. Embassy Kabul, Afghanistan Need Prompt Attention | 6/27/2017  | 12         | OIG recommends that the Bureau of Overseas Buildings Operations have a qualified professional experienced in the certification of fire safety systems conduct a full inspection of the New Office Annex and Staff Diplomatic Apartment-1 elevator lobby fire doors and the Staff Diplomatic Apartment-1 efficiency suite connector door frames to determine whether they meet National Fire Protection Association code standards. This inspection should include a comprehensive review of all submittals, plans, and specifications, as well as all installation methods and materials. | Resolved   |
| 224 | Bureau of Overseas Buildings Operations | AUD-MERO-17-44 | Management Assistance Report: Building Deficiencies Identified at U.S. Embassy Kabul, Afghanistan Need Prompt Attention | 6/27/2017  | 13         | OIG recommends that the Bureau of Overseas Buildings Operations implement actions to replace and correct all elevator lobby doors and efficiency suite connector doors in the New Office Annex and Staff Diplomatic Apartment-1 found not to conform to the contract requirements or National Fire Protection Association standards.  | Resolved   |
| 225 | Bureau of Overseas Buildings Operations | AUD-MERO-17-44 | Management Assistance Report: Building Deficiencies Identified at U.S. Embassy Kabul, Afghanistan Need Prompt Attention | 6/27/2017  | 14         | OIG recommends that the Bureau of Overseas Buildings Operations have a qualified professional experienced in the certification of elevators to meet EN 81 standards conduct a full inspection, analysis, and recertification of the New Office Annex and Staff Diplomatic Apartment-1 elevator sets. This inspection should include a comprehensive review of all submittals, plans, and specifications, as well as all installation methods and materials.   | Resolved   |

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| 226 | Bureau of Overseas Buildings Operations | AUD-MERO-17-44 | Management Assistance Report: Building Deficiencies Identified at U.S. Embassy Kabul, Afghanistan Need Prompt Attention | 6/27/2017  | 15         | OIG recommends that the Bureau of Overseas Buildings Operations implement actions to correct all work related to the elevator sets in the New Office Annex and Staff Diplomatic Apartment-1 found not to conform to the contract requirements.   | Resolved   |
| 227 | Bureau of Overseas Buildings Operations | AUD-MERO-17-44 | Management Assistance Report: Building Deficiencies Identified at U.S. Embassy Kabul, Afghanistan Need Prompt Attention | 6/27/2017  | 16         | OIG recommends that the Bureau of Overseas Buildings Operations establish and implement standards for quality assurance and oversight for construction projects, including (a) a minimum number of staff members assigned to provide management oversight, administration, and quality assurance on the basis of the size and complexity of the project and (b) minimum requirements for quality assurance staff regarding years of experience and technical qualifications.   | Resolved   |
| 228 | Bureau of Overseas Buildings Operations | AUD-MERO-17-44 | Management Assistance Report: Building Deficiencies Identified at U.S. Embassy Kabul, Afghanistan Need Prompt Attention | 6/27/2017  | 17         | OIG recommends that the Bureau of Overseas Buildings Operations assign a project manager to oversee all efforts related to the inspection, analysis, recommissioning, and required repairs of existing installations in the New Office Annex and Staff Diplomatic Apartment-1. This project manager should help to prioritize corrective actions to address identified deficiencies and coordinate with key stakeholders in order to ensure that they are completed in a timely, cost-effective, and efficient manner. | Resolved   |
| 229 | Bureau of Overseas Buildings Operations | AUD-MERO-17-44 | Management Assistance Report: Building Deficiencies Identified at U.S. Embassy Kabul, Afghanistan Need Prompt Attention | 6/27/2017  | 18         | OIG recommends that the Bureau of Overseas Buildings Operations either a) require Caddell Construction, Inc. to replace and correct all work in the New Office Annex and Staff Diplomatic Apartment-1 found to be defective or non-conforming to contract requirements or b) seek an appropriate adjustment in contract price for all instances where, in the public interest, the Bureau of Overseas Buildings Operations consents to accept work that is defective or does not conform to contract requirements.     | Resolved   |

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| 230 | Bureau of Overseas Buildings Operations | AUD-MERO-17-44 | Management Assistance Report: Building Deficiencies Identified at U.S. Embassy Kabul, Afghanistan Need Prompt Attention | 6/27/2017  | 19         | OIG recommends that, in all cases where the Bureau of Overseas Buildings Operations conducts an inspection, analysis, or recommissioning of existing installations in the New Office Annex and Staff Diplomatic Apartment-1 and finds the work to be defective or nonconforming in any material respect due to the fault of the contractor or its subcontractors, the Bureau of Overseas Buildings Operations should a) determine the cost of all inspections, analysis, or recommissioning of existing installations and b) recover this amount from Caddell Construction, Inc. | Resolved   |
| 231 | Bureau of Overseas Buildings Operations | ISP-I-18-03    | Inspection of Embassy Nicosia, Cyprus   | 10/23/2017 | 9          | The Bureau of Overseas Buildings Operations, in coordination with Embassy Nicosia, should perform seismic evaluations of the embassy's residential properties in accordance with Department standards. (Action: OBO, in coordination with Embassy Nicosia)   | Resolved   |
| 232 | Bureau of Overseas Buildings Operations | ISP-I-18-04    | Inspection of Embassy Beijing and Constituent Posts, China  | 12/11/2017 | 28         | The Bureau of Overseas Buildings Operations, in coordination with Embassy Beijing, should implement a long-term strategy to evaluate seismic safety of residential buildings in the embassy housing pool. (Action: OBO, in coordination with Embassy Beijing)  | Resolved   |
| 233 | Bureau of Political-Military Affairs    | AUD-MERO-17-49 | Audit of the Conventional Weapons Destruction Program in Afghanistan, Iraq, and Lebanon                                 | 8/1/2017   | 3          | OIG recommends the Bureau of Political-Military Affairs develop and implement internal controls to enforce the annual review and update of risk assessments and monitoring plans, as required by the Federal Assistance Directive.   | Resolved   |
| 234 | Bureau of Political-Military Affairs    | AUD-MERO-17-49 | Audit of the Conventional Weapons Destruction Program in Afghanistan, Iraq, and Lebanon                                 | 8/1/2017   | 6          | OIG recommends the Bureau of Political-Military Affairs develop and implement procedures to obtain, review, and document in the corresponding grant files the reports prepared by the independent National Mine Actions Centers to enhance oversight of the grantees' performance and the grant terms and conditions are followed.   | Resolved   |

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| 235 | Bureau of Political-Military Affairs          | AUD-MERO-17-49 | Audit of the Conventional Weapons Destruction Program in Afghanistan, Iraq, and Lebanon | 8/1/2017   | 7          | OIG recommends the Bureau of Political-Military Affairs develop and implement internal controls to require the development of objectives with expected outcomes and target levels of achievement.  | Resolved   |
| 236 | Bureau of Population, Refugees, and Migration | AUD-MERO-15-22 | Audit of Department of State Humanitarian Assistance in Response to the Syrian Crisis   | 3/27/2015  | 2          | OIG recommends that the Bureau of Population, Refugees and Migration develop and implement internal control procedures that ensure that grants officers follow Department guidance for monitoring a grant that has been issued to a public international organization, such as selecting the appropriate type of assistance instrument and tracking funds and grant activities, in compliance with Grants Policy Directive 54, Grants and Voluntary Contributions to Public International Organizations. | Resolved   |
| 237 | Bureau of South and Central Asian Affairs     | AUD-CG-14-37   | Management Assistance Report—Termination of Construction Grants to Omran Holding Group  | 9/18/2014  | 1          | OIG recommends that the Bureau of South and Central Asian Affairs immediately terminate grant agreements S-AF200-13-CA-012 and S-AF200-13-CA-014 with Omran Holding Group and deobligate the \$5,092,874 in remaining funds.   | Resolved   |
| 238 | Bureau of South and Central Asian Affairs     | AUD-CG-14-37   | Management Assistance Report—Termination of Construction Grants to Omran Holding Group  | 9/18/2014  | 2          | OIG recommends that the Bureau of South and Central Asian Affairs direct Omran Holding Group to reimburse the Department for the \$1,203,426 in unspent funds related to grant agreements S-AF200-13-CA-012 and S-AF200-13-CA-014, and verify that the Department has received reimbursement for all unspent funds.  | Resolved   |
| 239 | Bureau of South and Central Asian Affairs     | AUD-CG-14-37   | Management Assistance Report—Termination of Construction Grants to Omran Holding Group  | 9/18/2014  | 3          | OIG recommends that the Bureau of South and Central Asian Affairs require the grants officer to determine the allowability of \$502,890 in potentially unallowable costs identified by OIG, direct Omran Holding Group to refund the Department any costs determined to be unallowable, and verify that funds have been refunded.  | Resolved   |

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| 240 | Bureau of South and Central Asian Affairs | AUD-CG-15-33   | Audit of Department of State Oversight Responsibilities, Selection, and Training of Grants Officer Representatives               | 6/24/2015  | 6          | OIG recommends that the Bureau of South and Central Asian Affairs develop and implement a process to track grants officer representatives' compliance with requirements to perform and document their reviews of performance and financial reports consistent with Grants Policy Directive 16, "Designation of Grants Officer Representatives," and Grants Policy Directive 42, "Monitoring Assistance Awards."   | Resolved   |
| 241 | Bureau of South and Central Asian Affairs | AUD-SI-17-43   | Audit of Department of State Grants and Cooperative Agreements Awarded to Kennesaw State University                              | 6/22/2017  | 1          | OIG recommends that the Bureau of South and Central Asian Affairs (a) determine whether the \$229,405 in unallowable costs and \$565,046 in unsupported costs related to awards SAF20013CA024, SPK33013CA051, and SIN65014GR053 (see tables B.1, B.5 and B.6 in Appendix B) are allowable and supported, and (b) recover any costs determined to be unallowable or unsupported.   | Resolved   |
| 242 | Bureau of South and Central Asian Affairs | AUD-MERO-17-47 | Audit of the Bureau of South and Central Asian Affairs Invoice Review Process for the Afghanistan Life Support Services Contract | 6/30/2017  | 1          | OIG recommends that the Bureau of South and Central Asian Affairs direct its Afghanistan Life Support Services contract contracting officers' representatives to record performance for each distinct performance standard in the Afghanistan Life Support Services contract food services task order quality assurance report to further ensure that each performance standard is satisfactorily achieved and any identified deficiencies are addressed accordingly. | Resolved   |
| 243 | Bureau of South and Central Asian Affairs | AUD-MERO-17-47 | Audit of the Bureau of South and Central Asian Affairs Invoice Review Process for the Afghanistan Life Support Services Contract | 6/30/2017  | 2          | OIG recommends that the Bureau of South and Central Asian Affairs develop and implement training to fully prepare and familiarize newly assigned contracting officer's representatives charged with overseeing the Afghanistan Life Support Services contract with the unique features of the contract.   | Resolved   |

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| 244 | Bureau of the Comptroller and Global Financial Services | AUD-MERO-15-16 | Audit of the Bureaus of Near Eastern Affairs and South and Central Asian Affairs Compliance With Federal and Department Premium Class Air Travel Policies | 11/25/2014 | 3          | OIG recommends that the Bureau of the Comptroller and Global Financial Services review the 181 premium travel authorizations not reviewed for this audit to determine whether the use of premium class air travel was appropriate, seek repayment for any travel determined to be inappropriate and report the results of the review to OIG. | Resolved   |
| 245 | Bureau of the Comptroller and Global Financial Services | AUD-CGI-16-48  | Audit of the Department of State Travel Card Program  | 9/27/2016  | 1          | OIG recommends that the Bureau of the Comptroller and Global Financial Services develop, implement, and publish policies in the Foreign Affairs Manual and/or Foreign Affairs Handbook governing cash advances on Department-issued travel cards, specifically regarding the timing and dollar value of cash advances that may be obtained.  | Resolved   |
| 246 | Bureau of the Comptroller and Global Financial Services | AUD-CGI-16-48  | Audit of the Department of State Travel Card Program  | 9/27/2016  | 2          | OIG recommends that the Bureau of the Comptroller and Global Financial Services develop and implement a policy to annually review and update its transaction blocking by merchant category code template to ensure that it is complete and accurate.   | Resolved   |
| 247 | Bureau of the Comptroller and Global Financial Services | AUD-CGI-16-48  | Audit of the Department of State Travel Card Program  | 9/27/2016  | 4          | OIG recommends that the Bureau of the Comptroller and Global Financial Services establish controls to identify Department employees eligible to receive a travel card who travel more than two times in a 12-month period and verify that they obtain and use a Department-issued travel card for all official travel-related expenses.      | Resolved   |
| 248 | Bureau of the Comptroller and Global Financial Services | AUD-CGI-16-48  | Audit of the Department of State Travel Card Program  | 9/27/2016  | 5          | OIG recommends that the Bureau of the Comptroller and Global Financial Services develop and implement split disbursement procedures as required by the Office of Management and Budget for all Department-issued travel card holders.  | Resolved   |

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| 249 | Bureau of the Comptroller and Global Financial Services | AUD-CGI-16-48 | Audit of the Department of State Travel Card Program   | 9/27/2016  | 6          | OIG recommends that the Bureau of the Comptroller and Global Financial Services update its policy regarding employees' remittance of the travel charge card to require that Agency/Organization Program Coordinators obtain separating employees' travel charge cards whenever possible.  | Resolved   |
| 250 | Bureau of the Comptroller and Global Financial Services | AUD-CGI-16-48 | Audit of the Department of State Travel Card Program   | 9/27/2016  | 7          | OIG recommends that the Bureau of the Comptroller and Global Financial Services update its Travel Card Management Plan to include provisions and procedures for account suspension and/or closure for employees who are placed on administrative leave for conduct issues.  | Resolved   |
| 251 | Bureau of the Comptroller and Global Financial Services | ESP-16-04     | Department of State Has Administrative Leave Policies but Lacks Complete and Accurate Data on the Use of Leave | 9/30/2016  | 1          | The Bureau of the Comptroller and Global Financial Services, in conjunction with the Bureau of Human Resources, should ensure that its new payroll systems have the ability to collect information regarding the justification for why administrative leave is granted.   | Resolved   |
| 252 | Bureau of the Comptroller and Global Financial Services | AUD-FM-17-42  | Audit of Department of State FY 2016 Compliance With Improper Payments Requirements                            | 5/12/2017  | 1          | OIG recommends that the Bureau of the Comptroller and Global Financial Services develop and implement additional quality control procedures requiring that a supervisor review determinations regarding the improper payments impact of audit findings and recommendations for the applicable fiscal year during the risk assessment process. | Resolved   |
| 253 | Bureau of the Comptroller and Global Financial Services | AUD-FM-17-42  | Audit of Department of State FY 2016 Compliance With Improper Payments Requirements                            | 5/12/2017  | 2          | OIG recommends that the Bureau of the Comptroller and Global Financial Services develop and implement a method to obtain information regarding the collection status of improper payments identified in OIG reports for the applicable fiscal year.   | Resolved   |
| 254 | Bureau of the Comptroller and Global Financial Services | AUD-FM-17-42  | Audit of Department of State FY 2016 Compliance With Improper Payments Requirements                            | 5/12/2017  | 3          | OIG recommends that the Bureau of the Comptroller and Global Financial Services develop and implement a method to obtain information regarding the collection status of improper payments identified in Defense Contract Audit Agency reports for the applicable fiscal year.   | Resolved   |

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| 255 | Bureau of the Comptroller and Global Financial Services | AUD-FM-17-42  | Audit of Department of State FY 2016 Compliance With Improper Payments Requirements  | 5/12/2017  | 4          | OIG recommends that the Bureau of the Comptroller and Global Financial Services develop and implement a method to obtain information on improper payments identified and recovered by other Department of State bureaus and offices through contract and grant oversight activities, such as contract and grant closeout procedures, during the applicable fiscal year.  | Resolved   |
| 256 | Bureau of the Comptroller and Global Financial Services | AUD-FM-17-42  | Audit of Department of State FY 2016 Compliance With Improper Payments Requirements  | 5/12/2017  | 5          | OIG recommends that the Bureau of the Comptroller and Global Financial Services develop and implement a process to report all improper payments identified by sources outside payment recapture audits for the applicable period in the annual Agency Financial Report. The process should require the Bureau of the Comptroller and Global Financial Services to present this information even if corresponding collection information is not readily available, unless written instructions advising otherwise is obtained from the Office of Management and Budget. | Resolved   |
| 257 | Bureau of the Comptroller and Global Financial Services | ISP-C-17-32   | Compliance Follow-up Review: Department of State Has Not Implemented Recommendations Pertaining to Official Residence Expense Staff Salaries | 8/9/2017   | 1          | The Bureau of the Comptroller and Global Financial Services, in coordination with the Bureau of Human Resources, should issue consistent guidance to overseas missions on the proper procedures for paying Official Residence Expense staff salaries within 30 days of the issuance of this report. (Action: CGFS, in coordination with DGHR)  | Resolved   |
| 258 | Bureau of the Comptroller and Global Financial Services | ISP-C-17-32   | Compliance Follow-up Review: Department of State Has Not Implemented Recommendations Pertaining to Official Residence Expense Staff Salaries | 8/9/2017   | 2          | The Bureau of the Comptroller and Global Financial Services, in coordination with the Bureau of Human Resources, should revise and reissue consistent Foreign Affairs Manual and Foreign Affairs Handbook guidance on paying Official Residence Expense staff salaries within 6 months of the issuance of this report. (Action: CGFS, in coordination with DGHR)   | Resolved   |

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| 259 | Bureau of the Comptroller and Global Financial Services | AUD-FM-17-51  | Audit of Select Cost-of-Living Allowances for American Employees Stationed in Foreign Areas                  | 8/31/2017  | 14         | OIG recommends that the Bureau of the Comptroller and Global Financial Services develop and implement uniform guidance for use by overseas posts to identify education allowance payments by student to comply with Department of State Standardized Regulations. This process, at a minimum, should include setting up individual obligations by student for the education allowance and include documentation requirements. The process instructions should be disseminated to all posts and then formalized in relevant Foreign Affairs Manual or Foreign Affairs Handbook sections, accordingly. | Resolved   |
| 260 | Bureau of the Comptroller and Global Financial Services | AUD-FM-17-53  | Audit of the Bureau of Consular Affairs Fee-Setting Methodology for Selected Consular Services               | 9/7/2017   | 3          | OIG recommends that the Bureau of the Comptroller and Global Financial Services determine whether the Bureau of Consular Affairs violated the Antideficiency Act, 31 U.S. Code § 1341, for each year in which it spent funds from any fee collections in excess of cost, and report any violations as required by 31 U.S. Code § 1351 and in accordance with Office of Management and Budget Circular A-11, Section 145.   | Resolved   |
| 261 | Bureau of the Comptroller and Global Financial Services | AUD-FM-18-03  | Audit of the Department of State's Implementation of the Digital Accountability and Transparency Act of 2014 | 11/6/2017  | 1          | OIG recommends that the Bureau of the Comptroller and Global Financial Services complete the implementation of system interfaces and new procedures that are designed to improve financial data collection overseas.   | Resolved   |
| 262 | Bureau of the Comptroller and Global Financial Services | AUD-FM-18-03  | Audit of the Department of State's Implementation of the Digital Accountability and Transparency Act of 2014 | 11/6/2017  | 2          | OIG recommends that the Bureau of the Comptroller and Global Financial Services, in coordination with the Bureau of Administration, update and issue guidance for Contracting Officers and Grants Officers related to entering accurate and complete procurement and financial assistance award transaction data into the Federal Procurement Data System – Next Generation and the Award Submission Portal.   | Resolved   |

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| 263 | Bureau of the Comptroller and Global Financial Services | AUD-FM-18-03  | Audit of the Department of State's Implementation of the Digital Accountability and Transparency Act of 2014 | 11/6/2017  | 3          | OIG recommends that the Bureau of the Comptroller and Global Financial Services, in coordination with the Bureau of Administration, modify existing quality control procedures to include a requirement to verify the accuracy of data contained in DATA Act Files D1 and D2 generated from the Federal Procurement Data System – Next Generation and the Award Submission Portal.  | Resolved   |
| 264 | Bureau of the Comptroller and Global Financial Services | AUD-FM-18-03  | Audit of the Department of State's Implementation of the Digital Accountability and Transparency Act of 2014 | 11/6/2017  | 4          | OIG recommends that the Bureau of the Comptroller and Global Financial Services develop and implement procedures to verify that the quarterly DATA Act File C submission includes only transactions that occurred within the applicable quarter.  | Resolved   |
| 265 | Chief Information Officer                               | ESP-16-03     | Office of the Secretary: Evaluation of Email Records Management and Cybersecurity Requirements               | 5/1/2016   | 7          | The Bureau of Information Resource Management should:<br>- issue regular notices to remind Department employees of the risks associated with the use of non-Departmental systems;<br>- provide periodic briefings on such risks to staff at all levels; and<br>- evaluate the cost and feasibility of conducting regular audits of computer system usage to ascertain the degree to which Department employees are following the laws and policies concerning the use of personal email accounts. | Resolved   |
| 266 | Consulate General Hamilton                              | ISP-I-17-26   | Inspection of Emergency Preparedness at Consulate General Hamilton, Bermuda                                  | 5/23/2017  | 2          | Consulate General Hamilton should test its high-frequency radio network in accordance with Department standards. (Action: Consulate General Hamilton)   | Resolved   |
| 267 | Consulate General Hamilton                              | ISP-I-17-26   | Inspection of Emergency Preparedness at Consulate General Hamilton, Bermuda                                  | 5/23/2017  | 3          | Consulate General Hamilton, in coordination with the Regional Information Management Center in Ft. Lauderdale, should repair or replace the high-frequency radio at its alternate command center. (Action: Consulate General Hamilton, in coordination with RIMC Ft. Lauderdale)  | Resolved   |

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| 268 | Consulate General Hong Kong | ISP-I-18-06   | Inspection of Consulate General Hong Kong, China | 11/30/2017 | 1          | Consulate General Hong Kong should improve the intake process for nonimmigrant visa applicants in the Consular Section. (Action: Consulate General Hong Kong)  | Resolved   |
| 269 | Consulate General Hong Kong | ISP-I-18-06   | Inspection of Consulate General Hong Kong, China | 11/30/2017 | 2          | Consulate General Hong Kong, in coordination with the Bureau of Consular Affairs, should conduct an analysis of its staffing needs in the Nonimmigrant Visa Unit and adjust staffing levels accordingly. (Action: Consulate General Hong Kong, in coordination with CA)  | Resolved   |
| 270 | Consulate General Hong Kong | ISP-I-18-06   | Inspection of Consulate General Hong Kong, China | 11/30/2017 | 3          | Consulate General Hong Kong, in coordination with the Bureau of Human Resources, should update the position descriptions for the visa assistant positions in the Consular Section's Nonimmigrant Visa Unit in accordance with Department standards. (Action: Consulate General Hong Kong, in coordination with DGHR) | Resolved   |
| 271 | Consulate General Hong Kong | ISP-I-18-06   | Inspection of Consulate General Hong Kong, China | 11/30/2017 | 4          | Consulate General Hong Kong should provide the chief of the Nonimmigrant Visa Unit with an office that allows for line of sight control of the unit's operations. (Action: Consulate General Hong Kong)  | Resolved   |
| 272 | Consulate General Hong Kong | ISP-I-18-06   | Inspection of Consulate General Hong Kong, China | 11/30/2017 | 5          | Consulate General Hong Kong should locate cubicles for consular officers among the cubicles for locally employed staff throughout the Nonimmigrant Visa unit. (Action: Consulate General Hong Kong)  | Resolved   |
| 273 | Consulate General Hong Kong | ISP-I-18-06   | Inspection of Consulate General Hong Kong, China | 11/30/2017 | 6          | Consulate General Hong Kong, in coordination with the Bureau of Overseas Buildings Operations and the Bureau of Consular Affairs, should provide a wheelchair-accessible interview window for consular customers. (Action: Consulate General Hong Kong, in coordination with OBO and CA)                             | Resolved   |
| 274 | Consulate General Hong Kong | ISP-I-18-06   | Inspection of Consulate General Hong Kong, China | 11/30/2017 | 7          | Consulate General Hong Kong, in coordination with the Bureau of Consular Affairs, should implement a policy for consular fee collections during outreach events that complies with Department standards. (Action: Consulate General Hong Kong, in coordination with CA)  | Resolved   |

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| 275 | Consulate General Hong Kong | ISP-I-18-06   | Inspection of Consulate General Hong Kong, China | 11/30/2017 | 8          | Consulate General Hong Kong should update its standard operating procedure for grants administration to include checking the Excluded Parties List system and preparing a Grants Officer's Delegation of Authority Letter. (Action: Consulate General Hong Kong)   | Resolved   |
| 276 | Consulate General Hong Kong | ISP-I-18-06   | Inspection of Consulate General Hong Kong, China | 11/30/2017 | 10         | Consulate General Hong Kong should translate any policies affecting locally employed staff into Cantonese. (Action: Consulate General Hong Kong)   | Resolved   |
| 277 | Consulate General Hong Kong | ISP-I-18-06   | Inspection of Consulate General Hong Kong, China | 11/30/2017 | 11         | Consulate General Hong Kong should justify continued provision of accommodation exchange services in accordance with Department standards. (Action: Consulate General Hong Kong)   | Resolved   |
| 278 | Consulate General Hong Kong | ISP-I-18-06   | Inspection of Consulate General Hong Kong, China | 11/30/2017 | 12         | Consulate General Hong Kong, in coordination with the Bureau of Administration, should clarify whether transportation of non-U.S. Government employees to representational events is appropriate, and then document the justification in accordance with Department standards. (Action: Consulate General Hong Kong in coordination with A). | Resolved   |
| 279 | Consulate General Hong Kong | ISP-I-18-06   | Inspection of Consulate General Hong Kong, China | 11/30/2017 | 13         | Consulate General Hong Kong should implement a system to store, issue, and return keys for official vehicles. (Action: Consulate General Hong Kong)  | Resolved   |
| 280 | Consulate General Hong Kong | ISP-I-18-06   | Inspection of Consulate General Hong Kong, China | 11/30/2017 | 14         | Consulate General Hong Kong should require all drivers to be trained and medically cleared in accordance with the Department's Motor Vehicle Safety Management Program. (Action: Consulate General Hong Kong)  | Resolved   |
| 281 | Consulate General Hong Kong | ISP-I-18-06   | Inspection of Consulate General Hong Kong, China | 11/30/2017 | 15         | Consulate General Hong Kong should limit driver duty hours to 10 hours per day. (Action: Consulate General Hong Kong)  | Resolved   |
| 282 | Consulate General Hong Kong | ISP-I-18-06   | Inspection of Consulate General Hong Kong, China | 11/30/2017 | 16         | Consulate General Hong Kong should establish an air conditioning preventative maintenance program for all U.S. Government-owned residential complexes (Action: Consulate General Hong Kong).   | Resolved   |

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| 283 | Consulate General Hong Kong | ISP-I-18-06   | Inspection of Consulate General Hong Kong, China | 11/30/2017 | 17         | Consulate General Hong Kong should designate and train additional contracting officer's representatives to assist with contract oversight. (Action: Consulate General Hong Kong)  | Resolved   |
| 284 | Consulate General Hong Kong | ISP-I-18-06   | Inspection of Consulate General Hong Kong, China | 11/30/2017 | 18         | Consulate General Hong Kong should implement procedures to inventory and store controlled substances in accordance with Department standards. (Action: Consulate General Hong Kong)   | Resolved   |
| 285 | Consulate General Hong Kong | ISP-I-18-06   | Inspection of Consulate General Hong Kong, China | 11/30/2017 | 19         | Consulate General Hong Kong should implement knowledge management procedures for capturing, sharing, transferring, and retaining information related to its information management programs. (Action: Consulate General Hong Kong)  | Resolved   |
| 286 | Consulate General Jerusalem | ISP-I-17-18   | Inspection of Consulate General Jerusalem        | 6/12/2017  | 1          | Consulate General Jerusalem should identify and assign the roles and responsibilities for implementation of security assistance programs to the International Narcotics and Law Enforcement Affairs Section and the U. S. Security Coordinator. (Action: Consulate General Jerusalem) | Resolved   |
| 287 | Consulate General Jerusalem | ISP-I-17-18   | Inspection of Consulate General Jerusalem        | 6/12/2017  | 5          | Consulate General Jerusalem should enforce Department motor vehicle standards for all personnel under Chief of Mission authority (Action: Consulate General Jerusalem)  | Resolved   |
| 288 | Consulate General Jerusalem | ISP-I-17-18   | Inspection of Consulate General Jerusalem        | 6/12/2017  | 9          | Consulate General Jerusalem should document that security upgrades were performed on all residences in accordance with Department standards. (Action: Consulate General Jerusalem).   | Resolved   |
| 289 | Consulate General Jerusalem | ISP-I-17-18   | Inspection of Consulate General Jerusalem        | 6/12/2017  | 11         | Consulate General Jerusalem should comply with Department standards for motor pool driver duty limits. (Action: Consulate General Jerusalem)  | Resolved   |
| 290 | Consulate General Jerusalem | ISP-I-17-18   | Inspection of Consulate General Jerusalem        | 6/12/2017  | 12         | Consulate General Jerusalem should bring all high-rise residential properties into compliance with Department fire safety standards. (Action: Consulate General Jerusalem)  | Resolved   |

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| 291 | Consulate General Jerusalem | ISP-I-17-18    | Inspection of Consulate General Jerusalem   | 6/12/2017  | 14         | Consulate General Jerusalem should schedule semiannual safety, health and environmental management committee meetings and maintain all related meeting minutes. (Action: Consulate General Jerusalem)  | Resolved   |
| 292 | Consulate General Jerusalem | ISP-I-17-18    | Inspection of Consulate General Jerusalem   | 6/12/2017  | 15         | Consulate General Jerusalem should transfer the responsibility for safeguarding and disbursing shuttle bus coupons and gas cards from the Class B cashier to another section. (Action: Consulate General Jerusalem)  | Resolved   |
| 293 | Consulate General Jerusalem | ISP-I-17-18    | Inspection of Consulate General Jerusalem   | 6/12/2017  | 17         | Consulate General Jerusalem should update and test the information technology contingency plans for the unclassified and the classified networks and develop a process for periodically updating the plans as systems environments and resources change. (Action: Consulate General Jerusalem) | Resolved   |
| 294 | Consulate General Jerusalem | ISP-I-17-18    | Inspection of Consulate General Jerusalem   | 6/12/2017  | 18         | Consulate General Jerusalem should conduct initial and annual refresher contingency training for personnel based on their classified and unclassified information technology contingency planning roles and responsibilities. (Action: Consulate General Jerusalem)                            | Resolved   |
| 295 | Consulate General Jerusalem | ISP-I-17-18    | Inspection of Consulate General Jerusalem   | 6/12/2017  | 22         | Consulate General Jerusalem should implement standard operating procedures to manage, track, and register its dedicated internet networks. (Action: Consulate General Jerusalem)   | Resolved   |
| 296 | Consulate General Jerusalem | ISP-I-17-18    | Inspection of Consulate General Jerusalem   | 6/12/2017  | 24         | Consulate General Jerusalem should relocate the telecommunication demarcation point outside the controlled access telephone frame room in the Post One facility. (Action: Consulate General Jerusalem)   | Resolved   |
| 297 | Consulate General Jerusalem | ISP-I-17-18    | Inspection of Consulate General Jerusalem   | 6/12/2017  | 25         | Consulate General Jerusalem should establish safe and secure diplomatic mail and pouch sorting spaces. (Action: Consulate General Jerusalem)   | Resolved   |
| 298 | Embassy Abu Dhabi           | AUD-MERO-12-47 | Compliance Followup Review of Department of State Actions To Reduce the Risk of Trafficking in Persons Violations in Four States in the Cooperation Council for the Arab States of the Gulf | 9/24/2012  | 1          | OIG recommends that Embassy Abu Dhabi establish and implement a monitoring process for service contracts to ensure compliance with host-country labor laws and contractor-provided housing plans.  | Started    |

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| 299 | Embassy Abu Dhabi | AUD-MERO-12-47 | Compliance Followup Review of Department of State Actions To Reduce the Risk of Trafficking in Persons Violations in Four States in the Cooperation Council for the Arab States of the Gulf | 9/24/2012  | 2          | OIG recommends that Embassy Abu Dhabi establish a process to ensure that statements of work for service contracts include requirements that contractors should provide detailed descriptions of housing accommodations for foreign workers; provide workers with standard contracts in English and workers' native languages that include policies on wages, overtime rates, allowances, salary increases, the contract term, leave accrual, and other personnel matters; provide workers with written information, in workers' native languages, on relevant United Arab Emirates labor laws; and provide workers with written information, in workers' native languages, about the U.S. Government's zero tolerance policy toward trafficking in persons. | Unresolved |
| 300 | Embassy Accra     | ISP-I-17-17    | Inspection of Embassy Accra, Ghana  | 6/2/2017   | 2          | Embassy Accra should close out all FY 2015 grants in accordance with the Department's Federal Assistance Policy Directive. (Action: Embassy Accra)  | Resolved   |
| 301 | Embassy Accra     | ISP-I-17-17    | Inspection of Embassy Accra, Ghana  | 6/2/2017   | 5          | Embassy Accra, in coordination with the Bureau of Overseas Buildings Operations, should reconfigure the Consular Section and neighboring office space in accordance with Department standards. (Embassy Accra, in coordination with OBO)  | Resolved   |
| 302 | Embassy Amman     | AUD-MERO-17-50 | Management Assistance Report: Additional Measures Needed at Embassy Amman to Safeguard Against Residential Fuel Loss  | 7/27/2017  | 1          | OIG recommends that Embassy Amman purchase and install a real-time monitoring system at all of the embassy residences to monitor diesel fuel use.   | Resolved   |
| 303 | Embassy Amman     | AUD-MERO-17-50 | Management Assistance Report: Additional Measures Needed at Embassy Amman to Safeguard Against Residential Fuel Loss  | 7/27/2017  | 2          | OIG recommends that Embassy Amman establish and implement policies and procedures to use the real-time fuel monitoring system at the embassy residences, once installed, to alert the Regional Security Officer of potential fuel theft and to prompt immediate intervention by local police for the purpose of deterring fuel theft.   | Resolved   |

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| 304 | Embassy Amman   | AUD-MERO-17-50 | Management Assistance Report: Additional Measures Needed at Embassy Amman to Safeguard Against Residential Fuel Loss | 7/27/2017  | 3          | OIG recommends that Embassy Amman, in the interim before it installs a real-time monitoring system at the embassy residences, lower the diesel fuel levels maintained in residential fuel tanks in accordance with seasonal requirements to mitigate residential fuel theft.  | Resolved   |
| 305 | Embassy Beijing | ISP-I-18-04    | Inspection of Embassy Beijing and Constituent Posts, China   | 12/11/2017 | 1          | Embassy Beijing, in coordination with the Bureau of East Asian and Pacific Affairs, should determine whether Consulate General Shanghai requires a deputy principal officer, and if so, formally establish the position and delineate its duties, including supervisor responsibilities. (Action: Embassy Beijing, in coordination with EAP)                                | Resolved   |
| 306 | Embassy Beijing | ISP-I-18-04    | Inspection of Embassy Beijing and Constituent Posts, China   | 12/11/2017 | 2          | Embassy Beijing, in coordination with the Bureau of East Asia and Pacific Affairs, should review the outreach officer function to determine whether these positions directly and substantially support mission objectives. (Action: Embassy Beijing, in coordination with EAP)  | Resolved   |
| 307 | Embassy Beijing | ISP-I-18-04    | Inspection of Embassy Beijing and Constituent Posts, China   | 12/11/2017 | 3          | Embassy Beijing, in coordination with the Bureau of International Information Programs, should require the consulates general to develop annual resource development and program plans for their American Spaces. (Action: Embassy Beijing, in coordination with IIP).  | Resolved   |
| 308 | Embassy Beijing | ISP-I-18-04    | Inspection of Embassy Beijing and Constituent Posts, China   | 12/11/2017 | 4          | Embassy Beijing, in coordination with the Bureau of East Asian and Pacific Affairs, should suspend new funding for American Cultural Centers and conduct a formal evaluation of the American Cultural Center program. (Action: Embassy Beijing, in coordination with EAP)   | Resolved   |
| 309 | Embassy Beijing | ISP-I-18-04    | Inspection of Embassy Beijing and Constituent Posts, China   | 12/11/2017 | 5          | Embassy Beijing, in coordination with the Bureau of Administration, should require all Public Affairs Section locally employed staff with responsibility for grants management at Consulate General Chengdu to complete introductory grants training, including training on the use of the State Award Management System. (Action: Embassy Beijing, in coordination with A) | Resolved   |

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| 310 | Embassy Beijing | ISP-I-18-04   | Inspection of Embassy Beijing and Constituent Posts, China | 12/11/2017 | 6          | Embassy Beijing, in coordination with the Bureau of Educational and Cultural Affairs, should implement a written policy on how the Regional Educational Advising Coordinator should support Mission China's EducationUSA activities. (Action: Embassy Beijing, in coordination with ECA)       | Resolved   |
| 311 | Embassy Beijing | ISP-I-18-04   | Inspection of Embassy Beijing and Constituent Posts, China | 12/11/2017 | 10         | Embassy Beijing should provide a closed-circuit TV monitoring system or other means to enable consular managers to visually observe the activities within the Consular Section's subcashier booth. (Action: Embassy Beijing)   | Resolved   |
| 312 | Embassy Beijing | ISP-I-18-04   | Inspection of Embassy Beijing and Constituent Posts, China | 12/11/2017 | 11         | Embassy Beijing, in coordination with the Bureau of Overseas Buildings Operations and the Bureau of Consular Affairs, should provide a wheelchair-accessible interview window for consular applicants at Consulate General Chengdu. (Action: Embassy Beijing, in coordination with OBO and CA) | Resolved   |
| 313 | Embassy Beijing | ISP-I-18-04   | Inspection of Embassy Beijing and Constituent Posts, China | 12/11/2017 | 12         | Embassy Beijing, in coordination with the Bureau of Overseas Buildings Operations and the Bureau of Consular Affairs, should provide a privacy booth in the consular waiting room at Consulate General Chengdu. (Action: Embassy Beijing, in coordination with OBO and CA)                     | Resolved   |
| 314 | Embassy Beijing | ISP-I-18-04   | Inspection of Embassy Beijing and Constituent Posts, China | 12/11/2017 | 13         | Embassy Beijing should realign responsibilities for the Assistant Regional Security Officer Investigator at Consulate General Chengdu to ensure the requirements in the 2012 memorandum of agreement are met. (Action: Embassy Beijing)  | Resolved   |
| 315 | Embassy Beijing | ISP-I-18-04   | Inspection of Embassy Beijing and Constituent Posts, China | 12/11/2017 | 14         | Embassy Beijing should deobligate unliquidated obligations totaling \$581,634 and put the funds to better use. (Action: Embassy Beijing)   | Resolved   |
| 316 | Embassy Beijing | ISP-I-18-04   | Inspection of Embassy Beijing and Constituent Posts, China | 12/11/2017 | 15         | Embassy Beijing should implement procedures outlining the human resource support services provided and the frequency of visits at each of the five constituent posts. (Action: Embassy Beijing)  | Resolved   |

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| 317 | Embassy Beijing | ISP-I-18-04   | Inspection of Embassy Beijing and Constituent Posts, China | 12/11/2017 | 16         | Embassy Beijing, in coordination with the Bureau of Administration, should require Consulate General Wuhan to report its own location-specific survey data for post allowances. (Action: Embassy Beijing, in coordination with A)   | Resolved   |
| 318 | Embassy Beijing | ISP-I-18-04   | Inspection of Embassy Beijing and Constituent Posts, China | 12/11/2017 | 17         | Embassy Beijing, in coordination with the Bureau of Administration, should require Consulates General Chengdu and Wuhan to submit updated post consumables allowances justifications. (Action: Embassy Beijing, in coordination with A)                                       | Resolved   |
| 319 | Embassy Beijing | ISP-I-18-04   | Inspection of Embassy Beijing and Constituent Posts, China | 12/11/2017 | 18         | Embassy Beijing, in coordination with the Bureau of Overseas Buildings Operations, should review Mission China residential leases to ensure an accurate determination of non-rent and building operating expenses costs. (Action: Embassy Beijing, in coordination with OBO). | Resolved   |
| 320 | Embassy Beijing | ISP-I-18-04   | Inspection of Embassy Beijing and Constituent Posts, China | 12/11/2017 | 19         | Embassy Beijing should implement a standard operating procedure for employees to pay for housing-related services that are not the responsibility of the U.S. Government. (Action: Embassy Beijing)   | Resolved   |
| 321 | Embassy Beijing | ISP-I-18-04   | Inspection of Embassy Beijing and Constituent Posts, China | 12/11/2017 | 20         | Embassy Beijing should conduct an annual assessment of its furniture and appliance pool and, if deemed necessary, reduce its furniture and appliance pool charges. (Action: Embassy Beijing)  | Resolved   |
| 322 | Embassy Beijing | ISP-I-18-04   | Inspection of Embassy Beijing and Constituent Posts, China | 12/11/2017 | 21         | Embassy Beijing should establish an annual acquisition plan and include the consulates general in the planning process. (Action: Embassy Beijing)   | Resolved   |
| 323 | Embassy Beijing | ISP-I-18-04   | Inspection of Embassy Beijing and Constituent Posts, China | 12/11/2017 | 22         | Embassy Beijing should require that all contracting officer representatives and government technical monitors be properly trained and administer their contracts in accordance with Department standards. (Action: Embassy Beijing)   | Resolved   |

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| 324 | Embassy Beijing  | ISP-I-18-04    | Inspection of Embassy Beijing and Constituent Posts, China   | 12/11/2017 | 24         | Embassy Beijing should institute access controls at its offsite warehouse in accordance with Department standards. (Action: Embassy Beijing)   | Resolved   |
| 325 | Embassy Beijing  | ISP-I-18-04    | Inspection of Embassy Beijing and Constituent Posts, China   | 12/11/2017 | 25         | Embassy Beijing should implement a corrective action plan to bring all chauffeurs and incidental drivers into compliance with the Department's Motor Vehicle Safety Management Program. (Action: Embassy Beijing).   | Resolved   |
| 326 | Embassy Beijing  | ISP-I-18-04    | Inspection of Embassy Beijing and Constituent Posts, China   | 12/11/2017 | 26         | Embassy Beijing should require that all mission drivers have valid medical clearances in order to operate official vehicles. (Action: Embassy Beijing).  | Resolved   |
| 327 | Embassy Beijing  | ISP-I-18-04    | Inspection of Embassy Beijing and Constituent Posts, China   | 12/11/2017 | 27         | Embassy Beijing should require that all drivers assigned to operate armored vehicles complete required Department training. (Action: Embassy Beijing)  | Resolved   |
| 328 | Embassy Beijing  | ISP-I-18-04    | Inspection of Embassy Beijing and Constituent Posts, China   | 12/11/2017 | 29         | Embassy Beijing, in coordination with the Bureau of Overseas Buildings Operations, should require that all designated post occupational safety and health officers receive required training. (Action: Embassy Beijing, in coordination with OBO).   | Resolved   |
| 329 | Embassy Freetown | ISP-I-17-16    | Inspection of Embassy Freetown, Sierra Leone   | 5/4/2017   | 11         | Embassy Freetown should provide its drivers with the Smith System and armored vehicle driver training in accordance with Department guidelines. (Action: Embassy Freetown)   | Resolved   |
| 330 | Embassy Kabul    | AUD-MERO-16-35 | Improvements Needed To Strengthen Vehicle-Fueling Controls and Operations and Maintenance Contract at Embassy Kabul, Afghanistan | 4/20/2016  | 1          | OIG recommends that Embassy Kabul (a) update the embassy's vehicle inventory systems (Integrated Logistics Management System and Fleet Management Information System) to fully account for all vehicles authorized to access the embassy's vehicle-fueling system; and (b) establish procedures to periodically review and update the inventory systems. | Resolved   |

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| 331 | Embassy Kabul | AUD-MERO-16-35 | Improvements Needed To Strengthen Vehicle-Fueling Controls and Operations and Maintenance Contract at Embassy Kabul, Afghanistan | 4/20/2016  | 2          | OIG recommends that once Embassy Kabul updates its vehicle inventory, including all vehicles that fall under Chief of Mission authority, the embassy (a) direct PAE Government Services, Inc., to update the listing of authorized vehicle identification numbers in the vehicle-fueling system; and (b) verify that PAE Government Services, Inc. updated the vehicle-fueling system by periodically testing the system. | Resolved   |
| 332 | Embassy Kabul | AUD-MERO-16-35 | Improvements Needed To Strengthen Vehicle-Fueling Controls and Operations and Maintenance Contract at Embassy Kabul, Afghanistan | 4/20/2016  | 3          | OIG recommends that Embassy Kabul (a) direct PAE Government Services, Inc., to reprogram the agency personal identification number to connect the personal identification number to a specific vehicle identification number in the fueling system; and (b) verify that PAE Government Services, Inc., updated the vehicle-fueling system by periodically testing the system.   | Resolved   |
| 333 | Embassy Kabul | AUD-MERO-16-35 | Improvements Needed To Strengthen Vehicle-Fueling Controls and Operations and Maintenance Contract at Embassy Kabul, Afghanistan | 4/20/2016  | 4          | OIG recommends that Embassy Kabul update its control related to the odometer reading entered into the vehicle-fueling system so that (1) zero cannot be entered as a valid mileage amount and (2) the driver cannot enter an odometer reading that is lower than one previously entered for that vehicle.   | Resolved   |
| 334 | Embassy Kabul | AUD-MERO-16-35 | Improvements Needed To Strengthen Vehicle-Fueling Controls and Operations and Maintenance Contract at Embassy Kabul, Afghanistan | 4/20/2016  | 5          | OIG recommends that Embassy Kabul update its control specific to the personal badge number entered into the vehicle-fueling system so that only embassy staff with a mission requirement to access fuel are programmed into the fueling system.   | Resolved   |
| 335 | Embassy Kabul | AUD-MERO-16-35 | Improvements Needed To Strengthen Vehicle-Fueling Controls and Operations and Maintenance Contract at Embassy Kabul, Afghanistan | 4/20/2016  | 6          | OIG recommends that Embassy Kabul procure a new vehicle-fueling system (hardware and software) to replace the current outdated system.  | Resolved   |

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| 336 | Embassy Kabul    | AUD-MERO-16-35 | Improvements Needed To Strengthen Vehicle-Fueling Controls and Operations and Maintenance Contract at Embassy Kabul, Afghanistan | 4/20/2016  | 7          | OIG recommends that the Embassy Kabul motor pool manager review and reconcile the vehicle fuel transactions and the vehicle usage reports on a monthly basis to determine if any theft or improper use of fuel has occurred, in accordance with the Foreign Affairs Handbook, 14 FAH-1, H-814.2-2, "Motor Pool Manager Review." | Resolved   |
| 337 | Embassy Kinshasa | ISP-I-16-19A   | Inspection of Embassy Kinshasa, Democratic Republic of the Congo   | 6/16/2016  | 5          | Embassy Kinshasa, in coordination with the Bureau of International Information Programs and the Bureau of Educational and Cultural Affairs, should bring the appearance of the Information Resource Center into conformity with Department standards. (Action: Embassy Kinshasa, in coordination with IIP and ECA)              | Resolved   |
| 338 | Embassy Luanda   | ISP-I-17-19    | Inspection of Embassy Luanda, Angola   | 6/1/2017   | 6          | Embassy Luanda, in coordination with the Bureau of Overseas Buildings Operations, should relocate employees to residences that meet Department fire standards. (Action: Embassy Luanda, in coordination with OBO)   | Resolved   |
| 339 | Embassy Luanda   | ISP-I-17-19    | Inspection of Embassy Luanda, Angola   | 6/1/2017   | 7          | Embassy Luanda should strengthen its controls over residential fuel deliveries in accordance with Department standards to include establishing a receiving clerk to oversee deliveries. (Action: Embassy Luanda)  | Resolved   |
| 340 | Embassy Luanda   | ISP-I-17-19    | Inspection of Embassy Luanda, Angola   | 6/1/2017   | 8          | Embassy Luanda, in coordination with the Bureau of Overseas Buildings Operations, should construct an unclassified server room that meets physical security requirements. (Action: Embassy Luanda, in coordination with OBO)  | Resolved   |
| 341 | Embassy Luanda   | ISP-I-17-19    | Inspection of Embassy Luanda, Angola   | 6/1/2017   | 9          | Embassy Luanda should repair or replace the high-frequency radio at the alternate command center. (Action: Embassy Luanda)  | Resolved   |
| 342 | Embassy Monrovia | ISP-I-17-12    | Inspection of Embassy Monrovia, Liberia  | 5/24/2017  | 1          | Embassy Monrovia should implement formal standard operating procedures for conducting merit reviews and risk assessments of all grants proposals in accordance with Department guidance. (Action: Embassy Monrovia)   | Resolved   |

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| 343 | Embassy Monrovia | ISP-I-17-12   | Inspection of Embassy Monrovia, Liberia | 5/24/2017  | 3          | Embassy Monrovia should comply with Department standards for consular crisis preparedness. (Action: Embassy Monrovia)  | Resolved   |
| 344 | Embassy Monrovia | ISP-I-17-12   | Inspection of Embassy Monrovia, Liberia | 5/24/2017  | 4          | Embassy Monrovia, in coordination with the Bureaus of Overseas Buildings Operations and Diplomatic Security, should provide accommodations to visa applicants in accordance with Department standards. (Action: Embassy Monrovia, in coordination with OBO and DS) | Resolved   |
| 345 | Embassy Monrovia | ISP-I-17-12   | Inspection of Embassy Monrovia, Liberia | 5/24/2017  | 6          | Embassy Monrovia should conduct a safety, health, environmental management inspection in accordance with Department guidelines and correct any deficiencies identified. (Action: Embassy Monrovia)   | Resolved   |
| 346 | Embassy Monrovia | ISP-I-17-12   | Inspection of Embassy Monrovia, Liberia | 5/24/2017  | 7          | Embassy Monrovia should clear outstanding travel advances of \$54,403 in accordance with Department guidelines. (Action: Embassy Monrovia)   | Resolved   |
| 347 | Embassy Monrovia | ISP-I-17-12   | Inspection of Embassy Monrovia, Liberia | 5/24/2017  | 8          | Embassy Monrovia should establish a schedule that allows alternate cashiers to work as the principal cashier in accordance with Department guidelines. (Action: Embassy Monrovia)  | Resolved   |
| 348 | Embassy Monrovia | ISP-I-17-12   | Inspection of Embassy Monrovia, Liberia | 5/24/2017  | 9          | Embassy Monrovia should implement standard operating procedures to require all subcashier supervisors to conduct regular unannounced cash verifications and monitor compliance. (Action: Embassy Monrovia)   | Resolved   |
| 349 | Embassy Monrovia | ISP-I-17-12   | Inspection of Embassy Monrovia, Liberia | 5/24/2017  | 11         | Embassy Monrovia should nominate, appoint, and train contracting officer's representatives in accordance with Department guidelines. (Action: Embassy Monrovia)  | Resolved   |
| 350 | Embassy Monrovia | ISP-I-17-12   | Inspection of Embassy Monrovia, Liberia | 5/24/2017  | 13         | Embassy Monrovia should sell or dispose of excess inventory in accordance with Department guidelines. (Action: Embassy Monrovia)   | Resolved   |
| 351 | Embassy Monrovia | ISP-I-17-12   | Inspection of Embassy Monrovia, Liberia | 5/24/2017  | 14         | Embassy Monrovia should conduct an annual property utilization survey in accordance with Department standards. (Action: Embassy Monrovia)  | Resolved   |

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| 352 | Embassy Monrovia | ISP-I-17-12   | Inspection of Embassy Monrovia, Liberia | 5/24/2017  | 16         | Embassy Monrovia, in coordination with the Bureau of Human Resources, should update its local employee staff human resources handbook and local compensation plan to reflect the Government of Liberia's labor law. (Embassy Monrovia, in coordination with DGHR) | Resolved   |
| 353 | Embassy Monrovia | ISP-I-17-12   | Inspection of Embassy Monrovia, Liberia | 5/24/2017  | 17         | Embassy Monrovia should request a comprehensive legal review and opinion from the Office of the Legal Adviser about whether a building on the embassy compound can be used to operate a medical clinic for locally employed staff (Action: Embassy Monrovia)      | Resolved   |
| 354 | Embassy Monrovia | ISP-I-17-12   | Inspection of Embassy Monrovia, Liberia | 5/24/2017  | 18         | Embassy Monrovia should submit a retail price survey to the Bureau of Administration. (Action: Embassy Monrovia)  | Resolved   |
| 355 | Embassy Monrovia | ISP-I-17-12   | Inspection of Embassy Monrovia, Liberia | 5/24/2017  | 19         | Embassy Monrovia should perform and document reviews of randomly selected user accounts in accordance with Department standards. (Action: Embassy Monrovia)   | Resolved   |
| 356 | Embassy Monrovia | ISP-I-17-12   | Inspection of Embassy Monrovia, Liberia | 5/24/2017  | 20         | Embassy Monrovia should encrypt all laptops in accordance with Department guidance. (Action: Embassy Monrovia)  | Resolved   |
| 357 | Embassy Monrovia | ISP-I-17-12   | Inspection of Embassy Monrovia, Liberia | 5/24/2017  | 21         | Embassy Monrovia should establish and register a Local IT Configuration Control Board in compliance with Department guidance. (Action: Embassy Monrovia)  | Resolved   |
| 358 | Embassy Monrovia | ISP-I-17-12   | Inspection of Embassy Monrovia, Liberia | 5/24/2017  | 22         | Embassy Monrovia should remove unauthorized software from unclassified computer systems. (Action: Embassy Monrovia)   | Resolved   |
| 359 | Embassy Monrovia | ISP-I-17-12   | Inspection of Embassy Monrovia, Liberia | 5/24/2017  | 23         | Embassy Monrovia should register all dedicated internet networks in accordance with Department standards. (Action: Embassy Monrovia)  | Resolved   |
| 360 | Embassy Monrovia | ISP-I-17-12   | Inspection of Embassy Monrovia, Liberia | 5/24/2017  | 24         | Embassy Monrovia, in coordination with the Bureau of Diplomatic Security, should train Information Management staff in accordance with Department guidance. (Action: Embassy Monrovia, in coordination with DS)   | Resolved   |
| 361 | Embassy Monrovia | ISP-I-17-12   | Inspection of Embassy Monrovia, Liberia | 5/24/2017  | 25         | Embassy Monrovia should review, update, and test the IT Contingency Plan in accordance with Department standards. (Action: Embassy Monrovia)  | Resolved   |

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| 362 | Embassy Monrovia | ISP-I-17-12   | Inspection of Embassy Monrovia, Liberia | 5/24/2017  | 26         | Embassy Monrovia, in coordination with the Bureau of African Affairs, should establish a Records Management Program which includes requiring all employees to complete appropriate Foreign Service Institute distance learning training courses. (Action: Embassy Monrovia, in coordination with AF) | Resolved   |
| 363 | Embassy Nicosia  | ISP-I-18-03   | Inspection of Embassy Nicosia, Cyprus   | 10/23/2017 | 1          | Embassy Nicosia should prepare its Chief of Mission Annual Management Control Statement of Assurance in accordance with Department guidance. (Action: Embassy Nicosia)   | Resolved   |
| 364 | Embassy Nicosia  | ISP-I-18-03   | Inspection of Embassy Nicosia, Cyprus   | 10/23/2017 | 2          | Embassy Nicosia should implement standard operating procedures and maintain required records for all grant awards in accordance with Department guidance. (Action: Embassy Nicosia)  | Resolved   |
| 365 | Embassy Nicosia  | ISP-I-18-03   | Inspection of Embassy Nicosia, Cyprus   | 10/23/2017 | 3          | Embassy Nicosia should require visa applicants to direct general visa inquiries to the contractor-provided call center retained to provide this service. (Action: Embassy Nicosia)   | Resolved   |
| 366 | Embassy Nicosia  | ISP-I-18-03   | Inspection of Embassy Nicosia, Cyprus   | 10/23/2017 | 4          | Embassy Nicosia should restrict access to the North Office consular work space to employees having official reasons for access. (Action: Embassy Nicosia)  | Resolved   |
| 367 | Embassy Nicosia  | ISP-I-18-03   | Inspection of Embassy Nicosia, Cyprus   | 10/23/2017 | 5          | Embassy Nicosia should establish internal controls for the administration and disbursement of facility expendable supplies in accordance with Department standards. (Action: Embassy Nicosia)  | Resolved   |
| 368 | Embassy Nicosia  | ISP-I-18-03   | Inspection of Embassy Nicosia, Cyprus   | 10/23/2017 | 6          | Embassy Nicosia should conduct an annual inventory of its facility expendable supplies and enter it into the Integrated Logistics Management System. (Action: Embassy Nicosia)   | Resolved   |
| 369 | Embassy Nicosia  | ISP-I-18-03   | Inspection of Embassy Nicosia, Cyprus   | 10/23/2017 | 7          | Embassy Nicosia should require that all chauffeurs and incidental drivers under chief of mission authority receive required safe driver training and have required medical clearances. (Action: Embassy Nicosia)   | Resolved   |

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| 370 | Embassy Nicosia | ISP-I-18-03   | Inspection of Embassy Nicosia, Cyprus  | 10/23/2017 | 8          | Embassy Nicosia should pay its invoices in compliance with the Prompt Payment Act and Department guidelines. (Action: Embassy Nicosia)   | Resolved   |
| 371 | Embassy Nicosia | ISP-I-18-03   | Inspection of Embassy Nicosia, Cyprus  | 10/23/2017 | 10         | Embassy Nicosia should install a fire alarm system in its North Office to meet Department fire safety standards. (Embassy Nicosia, in coordination with OBO)   | Resolved   |
| 372 | Embassy Nicosia | ISP-I-18-03   | Inspection of Embassy Nicosia, Cyprus  | 10/23/2017 | 11         | Embassy Nicosia, in coordination with the Bureau of Overseas Buildings Operations, should configure the unclassified server room to meet Department standards. (Action: Embassy Nicosia, in coordination with OBO)   | Resolved   |
| 373 | Embassy Nicosia | ISP-I-18-03   | Inspection of Embassy Nicosia, Cyprus  | 10/23/2017 | 12         | Embassy Nicosia should conduct annual information technology contingency plan testing of the unclassified and classified networks in accordance with Department standards. (Action: Embassy Nicosia)   | Resolved   |
| 374 | Embassy Quito   | ISP-I-16-27   | Inspection of Embassy Quito, Ecuador   | 9/21/2016  | 3          | Embassy Quito, in coordination with the Bureau of Consular Affairs, should replace the automatic queuing system in Consulate General Guayaquil. (Action: Embassy Quito, in coordination with CA)   | Resolved   |
| 375 | Embassy Rangoon | ISP-I-17-05A  | Inspection of Embassy Rangoon, Burma   | 1/12/2017  | 2          | Embassy Rangoon, in coordination with the Bureau of Overseas Buildings Operations, should evaluate the seismic safety of residential buildings in the residential housing pool and relocate residents occupying any seismically sub-standard housing. (Action: Embassy Rangoon, in coordination with OBO)  | Resolved   |
| 376 | Embassy Tbilisi | INV-17-01     | Management Assistance Report: U.S. Embassy Tbilisi Employee Association Commissary Accounting Controls | 6/29/2017  | 1          | OIG recommends that the Board Chair of the Tbilisi Embassy Employee Association develop and implement policies and procedures in accordance with 6 Foreign Affairs Manual (FAM) 531.2 to document, support and control the deleting of accounting transactions in the commercial off-the-shelf accounting software. At a minimum, those procedures should include monthly reviews of deleted transactions, comparisons of opening and closing balances, and review of documentation to support the deletion of transactions. | Resolved   |

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| 377 | Embassy Tbilisi | INV-17-01     | Management Assistance Report: U.S. Embassy Tbilisi Employee Association Commissary Accounting Controls | 6/29/2017  | 2          | OIG recommends that the Board Chair of the Tbilisi Embassy Employee Association develop and implement procedures in accordance with 6 FAM 531.2 to verify that: a) Daily cash receipts and deposits are reconciled monthly. b) Bank reconciliations are conducted. c) Monthly reconciliations are performed between the cash register sales and commercial off-the-shelf accounting software systems.   | Resolved   |
| 378 | Embassy Tbilisi | INV-17-01     | Management Assistance Report: U.S. Embassy Tbilisi Employee Association Commissary Accounting Controls | 6/29/2017  | 3          | OIG recommends the Board Chair of the Tbilisi Embassy Employee Association develop and implement cash management procedures in accordance with the internal controls prescribed for cash collection and handling by embassy cashiers in 4 Foreign Affairs Handbook (FAH)-3 H- 396.1 (g) and 4 FAH-3 H-390. Specifically, these procedures should include requirements to: a) Prepare daily deposit slips for cash and negotiable instruments. b) Deposit cash daily, or as often as needed, to avoid excess "cash on hand" as defined by local accounting and cash management procedures. | Resolved   |
| 379 | Embassy Tbilisi | INV-17-01     | Management Assistance Report: U.S. Embassy Tbilisi Employee Association Commissary Accounting Controls | 6/29/2017  | 4          | OIG recommends that the Board Chair of the Tbilisi Embassy Employee Association develop and implement policies and procedures to restrict access to the accounting system by: a) Requiring individual user IDs and strong passwords for all users. b) Restricting Administrator rights to appropriate staff.  | Resolved   |
| 380 | Embassy Tbilisi | INV-17-01     | Management Assistance Report: U.S. Embassy Tbilisi Employee Association Commissary Accounting Controls | 6/29/2017  | 5          | OIG recommends that the Management Officer at the U.S. Embassy - Tbilisi develop and implement on-going monitoring and conduct annual reviews of the Tbilisi Embassy Employee Association's management of the program.  | Resolved   |

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| 381 | Embassy Tegucigalpa | ISP-I-16-21A  | Inspection of Embassy Tegucigalpa, Honduras | 8/19/2016  | 6          | Embassy Tegucigalpa, in coordination with the Bureau of the Comptroller and Global Financial Services, should reconcile the outstanding travel vouchers, including initiating collection action for individual travelers' indebtedness. (Action: Embassy Tegucigalpa, in coordination with CGFS) | Resolved   |
| 382 | Embassy Tel Aviv    | ISP-I-17-20   | Inspection of Embassy Tel Aviv, Israel      | 5/5/2017   | 3          | Embassy Tel Aviv should comply with Federal records management policies for the Middle East Regional Cooperation program. (Action: Embassy Tel Aviv)   | Resolved   |
| 383 | Embassy Tel Aviv    | ISP-I-17-20   | Inspection of Embassy Tel Aviv, Israel      | 5/5/2017   | 10         | Embassy Tel Aviv should verify and document that required security work is performed to standard on all residences before residents move in and that residential leasing files and the Real Property Application are up to date. (Action: Embassy Tel Aviv)                                      | Resolved   |
| 384 | Embassy Tel Aviv    | ISP-I-17-20   | Inspection of Embassy Tel Aviv, Israel      | 5/5/2017   | 11         | Embassy Tel Aviv, in coordination with the Bureau of Overseas Buildings Operations, should sell the Hassadot property and put the \$8 million in estimated sales proceeds to better use. (Action: Embassy Tel Aviv, in coordination with OBO)  | Resolved   |
| 385 | Embassy Tel Aviv    | ISP-I-17-20   | Inspection of Embassy Tel Aviv, Israel      | 5/5/2017   | 12         | Embassy Tel Aviv should use building maintenance expense funding for its preventive maintenance programs. (Action: Embassy Tel Aviv)   | Resolved   |
| 386 | Embassy Tel Aviv    | ISP-I-17-20   | Inspection of Embassy Tel Aviv, Israel      | 5/5/2017   | 16         | Embassy Tel Aviv should comply with the Department's Motor Vehicle Safety Management Program policy to not exceed the daily 10-hour limit on duty shifts. (Action: Embassy Tel Aviv)   | Resolved   |
| 387 | Embassy Tel Aviv    | ISP-I-17-20   | Inspection of Embassy Tel Aviv, Israel      | 5/5/2017   | 18         | Embassy Tel Aviv should update all outdated locally employed staff position descriptions. (Action: Embassy Tel Aviv)   | Resolved   |

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| 388 | Embassy Tel Aviv          | ISP-I-17-20   | Inspection of Embassy Tel Aviv, Israel   | 5/5/2017   | 20         | Embassy Tel Aviv should complete and test the information technology contingency plans for the unclassified and the classified networks and develop a process for periodically updating the plans as systems environments and resources change. (Action: Embassy Tel Aviv)   | Resolved   |
| 389 | Embassy Valletta          | ISP-I-17-43   | Inspection of Embassy Valletta, Malta  | 9/15/2017  | 1          | Embassy Valletta should dispose of the Chief of Mission backup armored vehicle according to Department guidelines for disposing of armored vehicles and put \$18,000 to better use. (Action: Embassy Valletta)   | Resolved   |
| 390 | Embassy Valletta          | ISP-I-17-43   | Inspection of Embassy Valletta, Malta  | 9/15/2017  | 2          | Embassy Valletta, in coordination with the Bureau of Overseas Buildings Operations, should implement preventive maintenance programs for all key building systems. (Action: Embassy Valletta, in coordination with OBO)  | Resolved   |
| 391 | Embassy Valletta          | ISP-I-17-43   | Inspection of Embassy Valletta, Malta  | 9/15/2017  | 3          | Embassy Valletta, in coordination with the Bureau of Overseas Buildings Operations, should identify and dispose of excess property, and put up to \$650,000 to better use. (Action: Embassy Valletta, in coordination with OBO)  | Resolved   |
| 392 | Embassy Valletta          | ISP-I-17-43   | Inspection of Embassy Valletta, Malta  | 9/15/2017  | 4          | Embassy Valletta should comply with the Defense Base Act requirements for worker's compensation insurance for its local service and construction contracts. (Action: Embassy Valletta).  | Resolved   |
| 393 | Embassy Valletta          | ISP-I-17-43   | Inspection of Embassy Valletta, Malta  | 9/15/2017  | 5          | Embassy Valletta, in coordination with the Regional Information Management Center in Frankfurt, should provide an emergency and evacuation very high frequency radio network through which all residences can communicate directly with the embassy. (Action: Embassy Valletta, in coordination with RIMC Frankfurt) | Resolved   |
| 394 | Foreign Service Institute | ISP-17-38     | Management Assistance Report: Department Can Take Steps Toward More Effective Executive Direction of Overseas Missions | 7/26/2017  | 2          | The Foreign Service Institute should develop and include training on management control responsibilities in its classes for Chief of Mission candidates and Deputy Chief of Missions. (Action: FSI)  | Resolved   |

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| 395 | Office of Acquisitions Management | AUD-ACF-14-32  | Compliance Followup Audit of Department of State Actions To Address Weaknesses in the Ownership, Award, Administration, and Transfer of Overseas Construction Funded by the President's Emergency Plan for AIDS Relief | 8/21/2014  | 1          | OIG recommends that the Regional Procurement Support Office in Frankfurt, Germany, determine whether the balance of \$5,213,502 in obligations remaining on the nine contracts and task orders identified are still necessary and, if not, deobligate them.   | Resolved   |
| 396 | Office of Acquisitions Management | AUD-MERO-15-03 | Audit of Bureau of Diplomatic Security Worldwide Protective Services Contract Task Order 10 Kabul Embassy Security Force   | 10/29/2014 | 2          | OIG recommends that the Bureau of Administration, Office of Logistics Management, Office of Acquisitions Management, review the \$1,726,155 in costs OIG identified as possibly unallowable and recover any funds deemed unallowable.   | Resolved   |
| 397 | Office of Acquisitions Management | AUD-MERO-15-03 | Audit of Bureau of Diplomatic Security Worldwide Protective Services Contract Task Order 10 Kabul Embassy Security Force   | 10/29/2014 | 3          | OIG recommends that the Bureau of Administration, Office of Logistics Management, Office of Acquisitions Management, conduct a comprehensive review of all contractor invoices and supporting documentation to determine whether the contractor submitted adequate supporting documentation for all costs, including the \$6,916,330 identified in this report. If the documentation was not submitted, the contracting officer should request supporting documentation from the contractor and determine whether the costs were allowable under the contract terms. If the reimbursable costs cannot be supported or are not allowable under the terms of the contract, these funds should be recovered. | Resolved   |
| 398 | Office of Acquisitions Management | AUD-MERO-15-35 | Audit of the Bureau of International Narcotics and Law Enforcement Affairs Aviation Support Services Contract in Iraq  | 7/9/2015   | 1          | OIG recommends that the Bureau of Administration, Office of Logistics Management, Office of Acquisitions Management, determine whether the questioned costs of \$140,627 pertaining to flight labor hours and base operations identified by OIG under contracts SAQMPPD05C1103 and SAQMMA12C1103, Task Orders 3616 and 2643, are allowable and recover any costs determined to be unallowable from DynCorp International.   | Resolved   |

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| 399 | Office of Acquisitions Management | AUD-MERO-15-35 | Audit of the Bureau of International Narcotics and Law Enforcement Affairs Aviation Support Services Contract in Iraq | 7/9/2015   | 2          | OIG recommends that the Bureau of Administration, Office of Logistics Management, Office of Acquisitions Management, determine whether the questioned costs of \$789,416 pertaining to flight labor hours and base operations and the potentially misapplied costs of \$2,601 pertaining to materials identified by OIG under contracts SAQMPD05C1103 and SAQMMA12C1103, Task Orders 3616 and 2643, are allowable and supportable and recover any costs determined to be unsupported or misapplied from DynCorp International. | Resolved   |
| 400 | Office of Acquisitions Management | AUD-MERO-15-35 | Audit of the Bureau of International Narcotics and Law Enforcement Affairs Aviation Support Services Contract in Iraq | 7/9/2015   | 3          | OIG recommends that the Bureau of Administration, Office of Logistics Management, Office of Acquisitions Management, conduct a comprehensive review of all cost reimbursable contractor invoices related to Embassy Air-Iraq to determine whether the charges were allocable, allowable, and supportable.  | Resolved   |
| 401 | Office of Acquisitions Management | AUD-MERO-15-35 | Audit of the Bureau of International Narcotics and Law Enforcement Affairs Aviation Support Services Contract in Iraq | 7/9/2015   | 4          | OIG recommends that the Bureau of Administration, Office of Logistics Management, Office of Acquisitions Management, in coordination with the Bureau of International Narcotics and Law Enforcement Affairs, Office of Aviation, require DynCorp International to provide documentation to INL that clearly, accurately, and completely supports all costs submitted on its invoices.  | Resolved   |
| 402 | Office of Acquisitions Management | AUD-MERO-15-35 | Audit of the Bureau of International Narcotics and Law Enforcement Affairs Aviation Support Services Contract in Iraq | 7/9/2015   | 7          | OIG recommends that the Bureau of Administration, Office of Logistics Management, Office of Acquisitions Management, in coordination with the Bureau of International Narcotics and Law Enforcement Affairs, Office of Aviation, develop a contract definitization process that identifies all scope of work requirements in advance of the period of performance and ensures that the contractor submits accurate price and technical proposals that incorporate the requirements in advance of the period of performance.    | Resolved   |

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| 403 | Office of Acquisitions Management | AUD-MERO-15-35 | Audit of the Bureau of International Narcotics and Law Enforcement Affairs Aviation Support Services Contract in Iraq                  | 7/9/2015   | 9          | OIG recommends that the Bureau of Administration, Office of Logistics Management, Office of Acquisitions Management provide to the OIG its rationale and all documentation (e.g., written legal opinions, written business cases, emails, meeting minutes, and decision papers and memos) used to support the decisions to authorize and obligate \$25,886,861 for reimbursements to DynCorp International (DynCorp) for (1) the payment of DynCorp's employees' personal income and Social Security tax liabilities to the Government of Iraq and (2) DynCorp's employer contributions to Iraq Social Security. | Resolved   |
| 404 | Office of Acquisitions Management | AUD-MERO-16-28 | Audit of Bureau of Diplomatic Security Worldwide Protective Services Contract Task Order 3 — Baghdad Embassy Security Force            | 2/22/2016  | 1          | OIG recommends that the Bureau of Administration, Office of Logistics Management, Office of Acquisitions Management, recover the \$13.6 million in deferred assessments from SOC.  | Resolved   |
| 405 | Office of Acquisitions Management | AUD-MERO-16-28 | Audit of Bureau of Diplomatic Security Worldwide Protective Services Contract Task Order 3 — Baghdad Embassy Security Force            | 2/22/2016  | 2          | OIG recommends that the Bureau of Administration, Office of Logistics Management, Office of Acquisitions Management, determine the allowability of and recover, as appropriate, the \$652,061 in unallowed costs identified in this report.  | Resolved   |
| 406 | Office of Acquisitions Management | AUD-MERO-16-28 | Audit of Bureau of Diplomatic Security Worldwide Protective Services Contract Task Order 3 — Baghdad Embassy Security Force            | 2/22/2016  | 3          | OIG recommends that the Bureau of Administration, Office of Logistics Management, Office of Acquisitions Management, determine whether unsupported costs are allowable and recover, as appropriate, the \$6.5 million in unsupported costs identified in this report.  | Resolved   |
| 407 | Office of Acquisitions Management | AUD-MERO-16-30 | Audit of Bureau of Diplomatic Security Worldwide Protective Services Contract Task Order 8 — Security Services at U.S. Consulate Erbil | 3/18/2016  | 1          | OIG recommends that the Bureau of Administration, Office of Logistics Management, Office of Acquisitions Management (a) determine whether the \$10.8 million in questioned costs related to Worldwide Protective Services Task Order 8 expenses as identified in this audit are allowable or supported and (b) recover any costs determined to be unallowable or unsupported.  | Resolved   |

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| 408 | Office of Acquisitions Management | AUD-MERO-16-30 | Audit of Bureau of Diplomatic Security Worldwide Protective Services Contract Task Order 8 — Security Services at U.S. Consulate Erbil | 3/18/2016  | 3          | OIG recommends that the Bureau of Administration, Office of Logistics Management, Office of Acquisitions Management, in coordination with the Bureau of Diplomatic Security, conduct a comprehensive review of all Task Order 8 contractor invoices and supporting documentation to determine whether all costs, including those identified in this report, are allowable and supported.  | Resolved   |
| 409 | Office of Acquisitions Management | AUD-MERO-17-04 | Management Assistance Report: Contract Management—Lessons Learned From Embassy Kabul, Afghanistan, Operations and Maintenance Contract | 10/3/2016  | 1          | OIG recommends that the Bureau of Administration, Office of Logistics Management, Office of Acquisitions Management, in coordination with the Bureau of Overseas Buildings Operations, Office of Construction, Facility, and Security Management, Office of Facility Management, include contract performance standards that are specific, objective, clear, and measurable in the statement of work for the new worldwide operations and maintenance contract. | Resolved   |
| 410 | Office of Acquisitions Management | AUD-MERO-17-04 | Management Assistance Report: Contract Management—Lessons Learned From Embassy Kabul, Afghanistan, Operations and Maintenance Contract | 10/3/2016  | 4          | OIG recommends that the Bureau of Administration, Office of Logistics Management, Office of Acquisitions Management, in coordination with the Bureau of Overseas Buildings Operations, Office of Construction, Facility, and Security Management, Office of Facility Management, identify and include all anticipated operations and maintenance needs for overseas posts in the worldwide operations and maintenance base contract.                            | Resolved   |

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| 411 | Office of Acquisitions Management | AUD-MERO-17-04 | Management Assistance Report: Contract Management–Lessons Learned From Embassy Kabul, Afghanistan, Operations and Maintenance Contract | 10/3/2016  | 5          | OIG recommends that the Bureau of Administration, Office of Logistics Management, Office of Acquisitions Management, in coordination with the Bureau of Overseas Buildings Operations, Office of Construction, Facility, and Security Management, Office of Facility Management, ensure that any additional services added to the worldwide operations and maintenance contract be appropriately aligned with the base contract’s statement of work and within the scope of the operations and maintenance base contract. | Resolved   |
| 412 | Office of Acquisitions Management | AUD-CGI-17-32  | Audit of Atlas Service Corps, Inc., Grant Expenditures and Program Income  | 3/23/2017  | 1          | OIG recommends that the Bureau of Administration, Office of Logistics Management, Office of Acquisitions Management, (a) determine whether the \$40,452 in questioned costs identified related to unapproved changes in the scope of the grant agreement are allowable or supported and (b) recover any costs determined to be unallowable or unsupported.  | Resolved   |
| 413 | Office of Acquisitions Management | AUD-CGI-17-32  | Audit of Atlas Service Corps, Inc., Grant Expenditures and Program Income  | 3/23/2017  | 2          | OIG recommends that the Bureau of Administration, Office of Logistics Management, Office of Acquisitions Management, (a) determine whether the \$35,608 in questioned costs identified related to compensation and other miscellaneous expenses are allowable or supported and (b) recover any costs determined to be unallowable or unsupported.   | Resolved   |
| 414 | Office of Acquisitions Management | AUD-CGI-17-32  | Audit of Atlas Service Corps, Inc., Grant Expenditures and Program Income  | 3/23/2017  | 3          | OIG recommends that the Bureau of Administration, Office of Logistics Management, Office of Acquisitions Management, (a) determine whether the costs associated with the \$29,595 in unfulfilled cost sharing are allowable or supported and (b) recover funds for the portion of the cost sharing that is determined to be required to be paid.  | Resolved   |

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| 415 | Office of Acquisitions Management | AUD-CGI-17-32  | Audit of Atlas Service Corps, Inc., Grant Expenditures and Program Income                  | 3/23/2017  | 4          | OIG recommends that the Bureau of Administration, Office of Logistics Management, Office of Acquisitions Management, (a) determine whether the \$10,976 in questioned costs identified related to indirect costs are allowable or supported and (b) recover any costs determined to be unallowable or unsupported.   | Resolved   |
| 416 | Office of Acquisitions Management | AUD-CGI-17-32  | Audit of Atlas Service Corps, Inc., Grant Expenditures and Program Income                  | 3/23/2017  | 5          | OIG recommends that the Bureau of Administration, Office of Logistics Management, Office of Acquisitions Management, make a determination of the appropriate method to handle the \$54,687 of income that was not generated by grant-related activities but that was used to fulfill a portion of the grantee's cost-sharing requirements. If the grantee cannot use those funds to fulfill its cost-sharing arrangement, the Bureau of Administration, Office of Logistics Management, Office of Acquisitions Management, will need to recover funds from the grantee to cover the required cost-share amounts. | Resolved   |
| 417 | Office of Acquisitions Management | AUD-MERO-17-41 | Audit of the Department of State's Contract To Monitor Foreign Assistance Programs in Iraq | 5/23/2017  | 1          | OIG recommends that the Bureau of Administration, Office of Logistics Management, Office of Acquisitions Management determine whether the \$3,053,893 OIG questioned in unsupported costs (as identified in Table 2) under the indefinite-delivery, indefinite-quantity contract SAQMMA11D0119 are adequately supported and recover, as appropriate, all costs determined to be unsupported and unallowable.   | Resolved   |
| 418 | Office of Acquisitions Management | AUD-MERO-17-41 | Audit of the Department of State's Contract To Monitor Foreign Assistance Programs in Iraq | 5/23/2017  | 2          | OIG recommends that the Bureau of Administration, Office of Logistics Management, Office of Acquisitions Management review the remaining invoiced costs associated with all task orders awarded under the indefinite delivery, indefinite quantity contract SAQMMA11D0119 that were not included in OIG's sample to determine whether all costs were supported and allowable and recover, as appropriate, all costs determined to be unsupported and unallowable.  | Resolved   |

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| 419 | Office of Acquisitions Management | AUD-MERO-17-41 | Audit of the Department of State's Contract To Monitor Foreign Assistance Programs in Iraq  | 5/23/2017  | 3          | OIG recommends that the Bureau of Administration, Office of Logistics Management, Office of Acquisition Management, in conjunction with the regional or functional bureaus funding contract SAQMMA11D0119, establish controls to ensure that objective and measurable criteria are included in all future contracts for monitoring support in Iraq and other contingency environments.  | Resolved   |
| 420 | Office of Acquisitions Management | AUD-MERO-17-45 | Audit of Baghdad Diplomatic Support Center Task Orders Awarded Under Operations and Maintenance Support Services Contract SAQMMA12D0165 | 6/5/2017   | 1          | OIG recommends that the Bureau of Administration, Office of Logistics Management, Office of Acquisitions Management (a) determine whether the \$1,746,116 in unsupported costs related to task order SAQMMA14F0096 as identified by OIG in Appendix B, Table B.2 is supported and (b) recover any costs determined to be unsupported.   | Resolved   |
| 421 | Office of Acquisitions Management | AUD-MERO-17-45 | Audit of Baghdad Diplomatic Support Center Task Orders Awarded Under Operations and Maintenance Support Services Contract SAQMMA12D0165 | 6/5/2017   | 2          | OIG recommends that the Bureau of Administration, Office of Logistics Management, Office of Acquisitions Management (a) determine whether total questioned costs of \$451,495, of which \$451,291 are considered unsupported and \$204 are considered unallowable, related to task order SAQMMA13F3862 as identified by OIG in Appendix B, Table B.1 is supported and allowable and (b) recover any costs determined to be unsupported and unallowable. | Resolved   |
| 422 | Office of Acquisitions Management | AUD-MERO-17-45 | Audit of Baghdad Diplomatic Support Center Task Orders Awarded Under Operations and Maintenance Support Services Contract SAQMMA12D0165 | 6/5/2017   | 3          | OIG recommends that the Bureau of Administration, Office of Logistics Management, Office of Acquisitions Management (a) determine whether the \$117,789 in unallowable costs related to task order SAQMMA14F0096 as identified by OIG in Appendix B, Table B.2 is allowable and (b) recover any costs determined to be unallowable.   | Resolved   |

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| 423 | Office of Acquisitions Management | AUD-FM-17-51  | Audit of Select Cost-of-Living Allowances for American Employees Stationed in Foreign Areas                      | 8/31/2017  | 15         | OIG recommends that the Bureau of Administration, Office of Logistics Management, Office of Acquisitions Management determine the reason or reasons that the Contracting Officer failed to implement sufficient contractor oversight and assess whether disciplinary actions and revisions to the delegation structure or oversight roles need to be implemented for the eAllowances task order (SAQMMA17A0001).  | Resolved   |
| 424 | Office of Acquisitions Management | AUD-CGI-17-63 | Audit of Invoices Submitted by Torres Advanced Enterprise Solutions, LLC, for Select Local Guard Force Contracts | 9/22/2017  | 1          | OIG recommends that the Bureau of Administration, Office of Logistics Management, Office of Acquisitions Management, in coordination with the Bureau of Diplomatic Security, develop and implement a communications strategy including but not limited to ensuring that local guard force Contracting Officer's Representatives review and understand the contract requirements when assigned to that position. This communications strategy should underscore the critical importance of reviewing the contract for specific requirements, obtaining and reviewing required monthly supporting documentation related to local guard force invoices, and the timeframes associated with approving invoices. | Resolved   |
| 425 | Office of Acquisitions Management | AUD-CGI-17-63 | Audit of Invoices Submitted by Torres Advanced Enterprise Solutions, LLC, for Select Local Guard Force Contracts | 9/22/2017  | 3          | OIG recommends that the Bureau of Administration, Office of Logistics Management, Office of Acquisitions Management, (a) determine whether the \$26,744 in questioned costs (all of which was considered unsupported as listed in Table B.1 of Appendix B) identified during the review of invoices for the Embassy Islamabad Torres Advanced Enterprise Solutions, LLC, local guard force contract (SAQMMA12C0109) are supported, and (b) recover any costs determined to be unsupported.  | Resolved   |

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| 426 | Office of Acquisitions Management | AUD-CGI-17-63 | Audit of Invoices Submitted by Torres Advanced Enterprise Solutions, LLC, for Select Local Guard Force Contracts | 9/22/2017  | 4          | OIG recommends that the Bureau of Administration, Office of Logistics Management, Office of Acquisitions Management, (a) determine whether the \$40,277 in questioned costs (all of which was considered unsupported as listed in Table B.2 of Appendix B) identified during the review of invoices for the Embassy Kampala Torres Advanced Enterprise Solutions, LLC, local guard force contract (SAQMMA13C0130) are supported, and (b) recover any costs determined to be unsupported.   | Resolved   |
| 427 | Office of Acquisitions Management | AUD-CGI-17-63 | Audit of Invoices Submitted by Torres Advanced Enterprise Solutions, LLC, for Select Local Guard Force Contracts | 9/22/2017  | 6          | OIG recommends that the Bureau of Administration, Office of Logistics Management, Office of Acquisitions Management, (a) determine whether the \$17,082 in questioned costs (consisting of \$16,496 in unsupported costs and \$586 in unallowable costs as listed in Table B.3 of Appendix B) identified during the review of invoices for the Embassy Lima Torres Advanced Enterprise Solutions, LLC, local guard force contract (SAQMMA12C0103) are supported and allowable, and (b) recover any costs determined to be unsupported or unallowable.          | Resolved   |
| 428 | Office of Acquisitions Management | AUD-CGI-17-63 | Audit of Invoices Submitted by Torres Advanced Enterprise Solutions, LLC, for Select Local Guard Force Contracts | 9/22/2017  | 7          | OIG recommends that the Bureau of Administration, Office of Logistics Management, Office of Acquisitions Management, (a) determine whether the \$22,687 in questioned costs (consisting of \$19,381 in unsupported costs and \$3,306 in unallowable costs as listed in Table B.4 of Appendix B) identified during the review of invoices for the Embassy Panama City Torres Advanced Enterprise Solutions, LLC, local guard force contract (SAQMMA13C0033) are supported and allowable, and (b) recover any costs determined to be unsupported or unallowable. | Resolved   |

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| 429 | Office of Acquisitions Management | AUD-MERO-18-14 | Management Assistance Report: Contract Terms and Guidance for Approving Student Training Expenses Relating to the Justice and Corrections Programs in Afghanistan Require Attention | 10/25/2017 | 3          | OIG recommends that the Bureau of Administration, Office of Logistics Management, Office of Acquisitions Management review and, as appropriate, take action to recover that part of the \$5 million in student travel and venue rental expense payments that is determined to be unallowable.  | Resolved   |
| 430 | Office of Acquisitions Management | AUD-MERO-18-14 | Management Assistance Report: Contract Terms and Guidance for Approving Student Training Expenses Relating to the Justice and Corrections Programs in Afghanistan Require Attention | 10/25/2017 | 4          | OIG recommends that the Bureau of Administration, Office of Logistics Management, Office of Acquisitions Management, in coordination with the Bureau of International Narcotics and Law Enforcement Affairs, modify the new Corrections System Support Program contract to provide direction specifying requirements for documenting student training expenses.  | Resolved   |
| 431 | Office of Acquisitions Management | AUD-MERO-18-14 | Management Assistance Report: Contract Terms and Guidance for Approving Student Training Expenses Relating to the Justice and Corrections Programs in Afghanistan Require Attention | 10/25/2017 | 5          | OIG recommends that the Bureau of Administration, Office of Logistics Management, Office of Acquisitions Management, in coordination with the Bureau of International Narcotics and Law Enforcement Affairs, modify the new Justice Sector Support Program contract to provide direction specifying requirements for documenting student training expenses.  | Resolved   |
| 432 | Office of Acquisitions Management | AUD-MERO-18-01 | Lapse in Oversight at Embassy Islamabad, Pakistan, Allowed Design Change To Proceed Without the Contracting Officer's Knowledge   | 12/12/2017 | 1          | OIG recommends that the Bureau of Administration instruct BL Harbert International, LLC, to provide to the contracting officer a detailed written request of all proposed material substitutions that change or alter the final design documents for the construction of staff diplomatic apartments 1, 2, and 3 as part of the New Embassy Compound and Housing construction project in Islamabad, Pakistan, in accordance with the contract terms and conditions prescribed in the contract No. SAQMMA-10-C0284. | Resolved   |

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| 433 | Office of Acquisitions Management | AUD-MERO-18-01 | Lapse in Oversight at Embassy Islamabad, Pakistan, Allowed Design Change To Proceed Without the Contracting Officer's Knowledge | 12/12/2017 | 2          | OIG recommends that the Bureau of Administration, in coordination with the Bureau of Overseas Buildings Operations, reviews the proposed material substitutions that change or alter the final design documents (as referenced in Recommendation 1) from BL Harbert International, LLC, and, if the proposed material substitution is accepted, follow contract modification procedures to execute the decision in accordance with the Federal Acquisition Regulation with an appropriate adjustment in contract price. If it is determined that the proposed substitution is not in the public interest, then direct BL Harbert to immediately cease its plans to substitute building materials until such time that the Bureau of Administration and Bureau of Overseas Buildings Operations can determine the best course of action that is compliant with contract requirements. | Resolved   |
| 434 | Office of Acquisitions Management | AUD-MERO-18-01 | Lapse in Oversight at Embassy Islamabad, Pakistan, Allowed Design Change To Proceed Without the Contracting Officer's Knowledge | 12/12/2017 | 3          | OIG recommends that the Bureau of Administration, in coordination with the Bureau of Overseas Buildings Operations, review the contract oversight activities of the contracting officer, Bureau of Overseas Buildings Operations project directors, contracting officer's representatives, and alternate contracting officer's representatives assigned to the New Embassy Compound and Housing construction project in Islamabad, Pakistan, between September 2010 and February 2017 to determine if unauthorized changes or alterations to the final design documents that require the contracting officer's approval have occurred and to take action to address those found not to be duly authorized.   | Resolved   |

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| 435 | Office of Acquisitions Management | AUD-MERO-18-01 | Lapse in Oversight at Embassy Islamabad, Pakistan, Allowed Design Change To Proceed Without the Contracting Officer's Knowledge | 12/12/2017 | 4          | OIG recommends that the Bureau of Administration, in coordination with the Bureau of Overseas Buildings Operations, a) review the lines of communication between the contracting officer and oversight personnel in Islamabad, Pakistan, including the contracting officer's representative and his assistant, b) determine whether communication mechanisms are in place and understood by all officials who keep the contracting officer appropriately aware of contractual proceedings related to contract oversight, and c) develop and implement corrective actions to address any deficiencies identified. | Resolved   |
| 436 | Office of Acquisitions Management | AUD-MERO-18-01 | Lapse in Oversight at Embassy Islamabad, Pakistan, Allowed Design Change To Proceed Without the Contracting Officer's Knowledge | 12/12/2017 | 5          | OIG recommends that the Bureau of Administration, in coordination with the Bureau of Overseas Buildings Operations, establish and implement internal controls to ensure that the contracting officer for the New Embassy Compound and Housing construction project in Islamabad, Pakistan, designates replacement contracting officer's representatives for contract oversight prior to the departure of the incumbents.   | Unresolved |
| 437 | Office of Logistics Management    | AUD-MERO-12-43 | Evaluation of Invoices and Payments for the Embassy Baghdad Operations and Maintenance Contract                                 | 8/9/2012   | 2          | OIG recommends that the Bureau of Administration, Office of Logistics Management, Office of Acquisitions Management, direct the contracting officer to conduct a comprehensive review of all contractor invoices before the Embassy Baghdad operations and maintenance contract is closed to determine whether all goods paid for were in fact delivered. If the goods were paid for, all such payments for undelivered goods should be recovered from the contractor, including the approximately \$34,000 identified in this report.   | Resolved   |

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| 438 | Office of Logistics Management                           | AUD-MERO-12-43 | Evaluation of Invoices and Payments for the Embassy Baghdad Operations and Maintenance Contract | 8/9/2012   | 3          | OIG recommends that the Bureau of Administration, Office of Logistics Management, Office of Acquisitions Management, direct the contracting officer to conduct a comprehensive review of all contractor invoices before the Embassy Baghdad operations and maintenance contract is closed to determine whether the contractor submitted adequate supporting documentation for all reimbursable costs, to include the \$1.7 million identified in this report. If not, the contracting officer should request supporting documentation from the contractor and determine whether the costs were allowable under the contract terms. If the reimbursable costs cannot be supported or are not allowable under the terms of the contract, the costs should be recovered from the contractor. | Resolved   |
| 439 | Office of Management Policy, Rightsizing, and Innovation | ESP-15-01      | Review of Selected Internal Investigations Conducted by the Bureau of Diplomatic Security       | 10/16/2014 | 2          | The Department should clarify and revise the Foreign Affairs Manual and should promulgate and implement additional protocols and procedures, in order to ensure that allegations of misconduct concerning Chiefs of Mission and other senior Department officials are handled fairly, consistently, and independently.  | Unresolved |
| 440 | Office of Management Policy, Rightsizing, and Innovation | AUD-CGI-17-07  | Management Assistance Report: Department of State Conference Reporting                          | 10/27/2016 | 1          | OIG recommends that the Under Secretary for Management, Office of Management Policy, Rightsizing, and Innovation, develop and disseminate formal guidance regarding registering, approving, and reporting conferences in compliance with Federal requirements.  | Resolved   |
| 441 | Office of Management Policy, Rightsizing, and Innovation | AUD-CGI-17-07  | Management Assistance Report: Department of State Conference Reporting                          | 10/27/2016 | 3          | OIG recommends that the Under Secretary for Management, Office of Management Policy, Rightsizing, and Innovation, develop and implement a communications strategy to periodically keep Department of State personnel informed of conference registration, approval, and reporting requirements to ensure the integrity of the data is accurately reported and errors are corrected in a timely manner.  | Resolved   |

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| 442 | Office of Management Policy, Rightsizing, and Innovation | ISP-I-17-30    | Capital Security Cost Sharing Program: Inconsistent Criteria for Managing Vacant Positions Resulted in Inaccurate Counts  | 8/9/2017   | 1          | The Under Secretary for Management, in coordination with the Bureau of Human Resources, should implement consistent criteria for managing vacant positions overseas. (Action: M/PRI, in coordination with DGHR)  | Resolved   |
| 443 | Office of the Deputy Secretary of State                  | ISP-C-17-27    | Compliance Follow-up Review: Department of State is Still Unable to Accurately Track and Report on Foreign Assistance Funds   | 6/28/2017  | 1          | The Deputy Secretary should issue clear requirements for the data needs of senior Department policymakers and prioritize efforts to create the capability for the Department of State to address foreign assistance management and legal and regulatory oversight needs as well as external reporting requirements. (Action: D)  | Resolved   |
| 444 | Office of the Procurement Executive                      | AUD-MERO-14-06 | Audit of the Contract Closeout Process for Contracts Supporting the U.S. Mission in Iraq  | 12/12/2013 | 5          | OIG recommends that the Bureau of Administration, Office of the Procurement Executive, revise the Department of State Acquisition Regulation to reflect the interagency agreement between the Department of State and the Defense Contract Audit Agency for conducting incurred cost audits.   | Resolved   |
| 445 | Office of the Procurement Executive                      | AUD-MERO-14-06 | Audit of the Contract Closeout Process for Contracts Supporting the U.S. Mission in Iraq  | 12/12/2013 | 9          | OIG recommends that the Bureau of Administration, Office of the Procurement Executive, in conjunction with the Bureau of Administration, Office of Logistics Management, Office of Acquisitions Management, develop and implement an e-Filing policy and document management system to provide effective contract file inventory control and documentation standards while allowing for ready accessibility through a central locator system. The policy should include minimum guidance over the completeness of data contained in the files and a schedule of milestones identifying mandatory implementation dates. | Resolved   |
| 446 | Office of the Procurement Executive                      | AUD-CGI-16-34  | Audit of Time and Material Expenses and Performance Incentive Payments Under the Bureau of Information Resource Management, Vendor Management Office Vanguard Program | 5/18/2016  | 3          | OIG recommends that the Bureau of Administration update the Foreign Affairs Handbook to clarify that the Contracting Officer is ultimately responsible for certifying that the Contracting Officer's Representative is maintaining contract files properly and verifying the status of those files during any transition of Contracting Officer's Representatives.   | Resolved   |

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| 447 | Office of the Under Secretary for Management        | AUD-MERO-17-01 | Audit of the Department of State Vetting Process for Syrian Non-Lethal Assistance  | 11/10/2016 | 1          | OIG recommends that the Under Secretary for Management consolidate and codify all current Syria vetting policies issued by the Department and bureaus into one detailed guidance explaining specifically how the vetting process should be carried out for Syrian awards. This consolidated guidance should be distributed to all bureaus once completed.   | Resolved   |
| 448 | Office of the Under Secretary for Management        | ISP-17-23      | Management Assistance Report: Cost of Information Management Staff at Embassies Should Be Distributed to Users of Their Services | 4/27/2017  | 1          | The Office of the Under Secretary for Management, in coordination with the Bureau of Budget and Planning and the Bureau of the Comptroller and Global Finance Services, should reprogram 52 information management staff positions to the International Cooperative Administrative Support Services Working Capital Fund in order to realize annual funds put to better use of \$4.23 million. (Action: M/PRI, in coordination with BP and CGFS)  | Resolved   |
| 449 | Office of the Under Secretary for Management        | AUD-ACF-17-55  | Management Assistance Report: Department Attention Needed to Address Overdue Responses on Selected Open Recommendations          | 7/28/2017  | 1          | OIG recommends that, for recommendations considered resolved, the Under Secretary for Management direct the applicable bureau to notify OIG of the status of actions taken to implement the recommendations identified in this report and provide evidence demonstrating that the bureau has taken actions that justify closing the recommendations. Bureaus are to submit the status of actions taken and documented evidence to support closing the recommendations to <a href="mailto:audcompliance@stateoig.gov">audcompliance@stateoig.gov</a> within 30 days. | Resolved   |
| 450 | Office to Monitor and Combat Trafficking in Persons | AUD-IP-12-02   | Audit of Bureau of East Asian and Pacific Affairs Compliance With Trafficking in Persons Requirements                            | 10/20/2011 | 1          | We recommend that the Office to Monitor and Combat Trafficking in Persons include, in the Foreign Affairs Manual, the U.S. Government policy regarding trafficking in persons (TIP) to include the definition of TIP activity and information on the prohibition against involvement in acts of TIP for Department of State personnel and contractors, the associated penalties for violations, and the mechanism to report such violations.  | Resolved   |

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| 451 | Office to Monitor and Combat Trafficking in Persons                | AUD-IP-12-02    | Audit of Bureau of East Asian and Pacific Affairs Compliance With Trafficking in Persons Requirements  | 10/20/2011 | 2          | We recommend that the Office to Monitor and Combat Trafficking in Persons, in consultation with the Office of the Legal Adviser and the Director General of Human Resources, develop and include a trafficking in persons policy in the Department of State Standards of Conduct.  | Resolved   |
| 452 | Under Secretary for Civilian Security, Democracy, and Human Rights | AUD-ACF-15-43   | Management Assistance Report: Action Still Needed To Update the Department's Standards of Conduct as They Relate to Trafficking in Persons and To Comply With a Related Recommendation | 9/15/2015  | 2          | OIG recommends that the Under Secretary for Civilian Security, Democracy, and Human Rights provide a plan of action to complete corrective actions, including appropriate milestones, to address Recommendations 1 and 2 from Audit Report AUD/IP-12-02.   | Resolved   |
| 453 | Broadcasting Board of Governors                                    | AUD-CG-IB-14-26 | Audit of the Broadcasting Board of Governors Administration and Oversight of Acquisition Functions   | 6/13/2014  | 5          | OIG recommends that the International Broadcasting Bureau, Office of Contracts, in coordination with the Office of the Chief Financial Officer, develop and implement policies and procedures to ensure that funds are available at the beginning of the period of performance for each contract, which should include the tracking of contract period of performance and the maintenance of appropriate documentation within the contract file. If funds are not available for the entire term of a contract because of a continuing resolution, a subject to availability clause should be included in the terms and conditions of the contract, per the Federal Acquisition Regulation. | Resolved   |
| 454 | Broadcasting Board of Governors                                    | AUD-CG-IB-14-26 | Audit of the Broadcasting Board of Governors Administration and Oversight of Acquisition Functions   | 6/13/2014  | 6          | OIG recommends that the International Broadcasting Bureau develop and implement fund control regulations, obtain approval from the Office of Management and Budget for the fund control regulations, and post the fund control regulations on its Web site, as required by Office of Management and Budget Circular A-11.  | Resolved   |

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| 455 | Broadcasting Board of Governors | AUD-CG-IB-14-26 | Audit of the Broadcasting Board of Governors Administration and Oversight of Acquisition Functions | 6/13/2014  | 8          | OIG recommends that the International Broadcasting Bureau, Office of Contracts, develop policies and procedures and implementation guidance for conducting market research, in accordance with the Federal Acquisition Regulation. Specifically, criteria should be established to dictate the type and extent of market research to be performed for each procurement action and what types of documentation should be maintained in the contract file. | Resolved   |
| 456 | Broadcasting Board of Governors | AUD-FM-IB-14-34 | Audit of Radio Free Europe/Radio Liberty After-employment Benefits                                 | 9/24/2014  | 2          | OIG recommends that the Broadcasting Board of Governors design and implement procedures to perform routine reviews of Radio Free Europe/Radio Liberty (RFE/RL) financial activity. At a minimum, these procedures should include periodic reviews of significant events and transactions and analyses of RFE/RL's financial statements and actuarial reports.  | Resolved   |
| 457 | Broadcasting Board of Governors | AUD-FM-IB-14-34 | Audit of Radio Free Europe/Radio Liberty After-employment Benefits                                 | 9/24/2014  | 3          | OIG recommends that the Broadcasting Board of Governors develop a process to review and approve significant contracts over \$350,000 for Radio Free Europe/Radio Liberty.  | Resolved   |
| 458 | Broadcasting Board of Governors | AUD-FM-IB-14-34 | Audit of Radio Free Europe/Radio Liberty After-employment Benefits                                 | 9/24/2014  | 4          | OIG recommends that the Broadcasting Board of Governors develop policies and procedures to ensure that information needed to oversee grantees is maintained in the grant files and to ensure that the relevant information is available for at least 7 years.  | Resolved   |
| 459 | Broadcasting Board of Governors | AUD-FM-IB-14-34 | Audit of Radio Free Europe/Radio Liberty After-employment Benefits                                 | 9/24/2014  | 5          | OIG recommends that the Broadcasting Board of Governors (BBG) review and revise its policies and procedures to clearly define the roles and responsibilities of the officials within BBG who are responsible for monitoring and overseeing Radio Free Europe/Radio Liberty.  | Resolved   |

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| 460 | Broadcasting Board of Governors | AUD-FM-IB-14-34 | Audit of Radio Free Europe/Radio Liberty After-employment Benefits                  | 9/24/2014  | 9          | OIG recommends that the Broadcasting Board of Governors (BBG) design detailed annual budget submission formats and financial planning documents that will allow BBG to obtain details on unfunded liabilities at Radio Free Europe/Radio Liberty.   | Resolved   |
| 461 | Broadcasting Board of Governors | AUD-FM-IB-14-34 | Audit of Radio Free Europe/Radio Liberty After-employment Benefits                  | 9/24/2014  | 10         | OIG recommends that the Broadcasting Board of Governors develop and implement a standardized process to produce effective comparability studies. The process should ensure that the studies are performed at prescribed intervals, include all benefits offered by Radio Free Europe/Radio Liberty to its domestic and overseas employees, and contain data and analyses that support conclusions.                  | Resolved   |
| 462 | Broadcasting Board of Governors | MA-15-01        | Management Alert: Broadcasting Board of Governors Significant Management Weaknesses | 5/19/2015  | 2          | OIG recommends that the Broadcasting Board of Governors develop a plan to monitor and sustain actions previously taken (i.e. closed recommendations) to address the concerns discussed in this alert.   | Resolved   |
| 463 | Broadcasting Board of Governors | AUD-FM-IB-15-24 | Audit of Radio Free Asia Expenditures   | 6/11/2015  | 1          | OIG recommends that the Broadcasting Board of Governors define its grant monitoring structure, formally document the roles and responsibilities of all parties involved in the grant monitoring process, and revise its Grantee Handbook accordingly.   | Resolved   |
| 464 | Broadcasting Board of Governors | AUD-FM-IB-15-24 | Audit of Radio Free Asia Expenditures   | 6/11/2015  | 2          | OIG recommends that the Broadcasting Board of Governors develop and implement a comprehensive grant oversight program and revise its Grantee Handbook to document the specific procedures for the grant oversight program.  | Resolved   |
| 465 | Broadcasting Board of Governors | AUD-FM-IB-15-24 | Audit of Radio Free Asia Expenditures   | 6/11/2015  | 3          | OIG recommends that the Broadcasting Board of Governors (BBG) develop and implement a training plan for all employees involved in grant oversight as determined in response to Recommendation 1. This training plan should cover both Government-wide requirements for grant oversight and also BBG's internal grants policies and procedures. BBG should revise its Grantee Handbook to include the training plan. | Resolved   |

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| 466 | Broadcasting Board of Governors | AUD-FM-IB-15-24 | Audit of Radio Free Asia Expenditures  | 6/11/2015  | 14         | OIG recommends that the Broadcasting Board of Governors require Radio Free Asia to revise its processes to include an assessment of the continued need for funds that are either unexpended or committed and to take action to deobligate funds that are no longer needed.  | Resolved   |
| 467 | Broadcasting Board of Governors | AUD-FM-IB-15-24 | Audit of Radio Free Asia Expenditures  | 6/11/2015  | 15         | OIG recommends that the Broadcasting Board of Governors require Radio Free Asia to annually report on the amount of unused funds.   | Resolved   |
| 468 | Broadcasting Board of Governors | AUD-FM-IB-15-24 | Audit of Radio Free Asia Expenditures  | 6/11/2015  | 16         | OIG recommends that the Broadcasting Board of Governors develop and implement a process to oversee Radio Free Asia's unused funds.  | Resolved   |
| 469 | Broadcasting Board of Governors | AUD-FM-IB-15-24 | Audit of Radio Free Asia Expenditures  | 6/11/2015  | 21         | OIG recommends that the Broadcasting Board of Governors (BBG) work collaboratively with Radio Free Asia (RFA) to perform a comparability study of RFA salaries and benefits and determine whether the salaries and benefits offered by RFA violate the requirements of the grant agreement. If they do, BBG should direct RFA to bring salaries and benefits into compliance with the grant agreement.                    | Resolved   |
| 470 | Broadcasting Board of Governors | AUD-IT-IB-16-25 | Management Assistance Report: Broadcasting Board of Governors Incident Response and Reporting                        | 1/15/2016  | 1          | OIG recommends that the Broadcasting Board of Governors Office of Technology, Services, and Innovation amend and implement the Computer Security Incident Response Policy and the Computer Security Incident Response Procedure to reflect all elements of an effective incident response and reporting program in accordance with National Institute of Standards and Technology Special Publication 800-61, Revision 2. | Resolved   |
| 471 | Broadcasting Board of Governors | AUD-IT-IB-16-36 | (U) Management Assistance Report: Inactive User Accounts Within the Broadcasting Board of Governors Active Directory | 5/18/2016  | 1          | (U) OIG recommends that the Chief Information Officer for the Broadcasting Board of Governors revise the Identification and Authentication Policy to provide guidance on how to identify and segregate user and non-user accounts in Active Directory.  | Resolved   |

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| 472 | Broadcasting Board of Governors | ISP-IB-17-09    | Inspection of the Broadcasting Board of Governors' Middle East Broadcasting Networks                                   | 2/8/2017   | 4          | The Broadcasting Board of Governors should require the Middle East Broadcasting Networks to report and reconcile property records from the last two inventories and document the cause and value for its missing property items. (Action: BBG, in coordination with MBN)                          | Resolved   |
| 473 | Broadcasting Board of Governors | ISP-IB-17-21    | Inspection of Radio Free Europe/Radio Liberty  | 5/19/2017  | 2          | The Broadcasting Board of Governors should finalize its draft grantee handbook and implement the grant close-out provisions contained therein for RFE/RL. (Action: BBG, in coordination with RFE/RL)  | Resolved   |
| 474 | Broadcasting Board of Governors | ISP-IB-17-21    | Inspection of Radio Free Europe/Radio Liberty  | 5/19/2017  | 3          | The Broadcasting Board of Governors should direct Radio Free Europe/ Radio Liberty to comply with conflict of interest disclosure for all employees in accordance with its code of ethics. (Action: BBG, in coordination with RFE/RL)   | Resolved   |
| 475 | Broadcasting Board of Governors | ISP-IB-17-21    | Inspection of Radio Free Europe/Radio Liberty  | 5/19/2017  | 5          | The Broadcasting Board of Governors should include internal controls standards for its information security program in the grant agreement with Radio Free Europe/Radio Liberty. (Action: BBG, in coordination with RFE/RL)   | Resolved   |
| 476 | Broadcasting Board of Governors | ISP-IB-17-21    | Inspection of Radio Free Europe/Radio Liberty  | 5/19/2017  | 6          | The Broadcasting Board of Governors should revise its grant agreement with Radio Free Europe/Radio Liberty to include specific requirements for security policies. (Action: BBG, in coordination with RFE/RL)   | Resolved   |
| 477 | Broadcasting Board of Governors | AUD-FM-IB-18-04 | Audit of the Broadcasting Board of Governors Implementation of the Digital Accountability and Transparency Act of 2014 | 11/7/2017  | 1          | OIG recommends that the Broadcasting Board of Governors improve guidance and procedures in the Broadcasting Administrative Manual for Contracting Officers related to entering accurate and complete procurement award transaction data into the Federal Procurement Data System-Next Generation. | Resolved   |
| 478 | Broadcasting Board of Governors | AUD-FM-IB-18-04 | Audit of the Broadcasting Board of Governors Implementation of the Digital Accountability and Transparency Act of 2014 | 11/7/2017  | 2          | OIG recommends that the Broadcasting Board of Governors develop and issue guidance and procedures in the Broadcasting Administrative Manual for personnel responsible for entering accurate and complete financial assistance award transaction data into the Award Submission Portal.            | Resolved   |

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| #   | ACTION OFFICE                     | REPORT NUMBER   | REPORT TITLE   | ISSUE DATE | REC NUMBER | RECOMMENDATION  | REC STATUS |
|-----|-----------------------------------|-----------------|--|------------|------------|---|------------|
| 479 | Broadcasting Board of Governors   | AUD-FM-IB-18-04 | Audit of the Broadcasting Board of Governors Implementation of the Digital Accountability and Transparency Act of 2014 | 11/7/2017  | 3          | OIG recommends that the Broadcasting Board of Governors formally document quality control procedures to include (a) explaining how the Digital Accountability and Transparency Act Files are created, (b) recording reconciliation results, and (c) reviewing of the accuracy of data contained in DATA Act Files D1 and D2 generated from the Federal Procurement Data System—Next Generation and the Award Submission Portal. | Resolved   |
| 480 | Broadcasting Board of Governors   | AUD-FM-IB-18-04 | Audit of the Broadcasting Board of Governors Implementation of the Digital Accountability and Transparency Act of 2014 | 11/7/2017  | 4          | OIG recommends that the Broadcasting Board of Governors designate a Senior Accountable Official who is an official on the Senior Management Council and is capable of providing “reasonable assurance that their internal controls support the reliability and validity of the agency account-level and award-level data,” as required by the Office of Management and Budget.  | Resolved   |
| 481 | International Broadcasting Bureau | ISP-IB-17-09    | Inspection of the Broadcasting Board of Governors’ Middle East Broadcasting Networks                                   | 2/8/2017   | 1          | The International Broadcasting Bureau Office of the Chief Financial Officer, in coordination with the Middle East Broadcasting Networks, should implement a schedule to review the approximately \$6,226,940 in unliquidated obligations and deobligate those funds that are no longer required. (Action: IBB, in coordination with MBN)  | Resolved   |
| 482 | International Broadcasting Bureau | ISP-IB-17-09    | Inspection of the Broadcasting Board of Governors’ Middle East Broadcasting Networks                                   | 2/8/2017   | 2          | The International Broadcasting Bureau Office of Chief Financial Officer should implement an action plan to close out expired Middle East Broadcasting Networks grants. (Action: IBB, in coordination with MBN)  | Resolved   |
| 483 | International Broadcasting Bureau | ISP-IB-17-09    | Inspection of the Broadcasting Board of Governors’ Middle East Broadcasting Networks                                   | 2/8/2017   | 8          | The International Broadcasting Bureau Office of Security, in coordination with the Middle East Broadcasting Networks, should establish a written protocol to comply with Article X of the grant agreement. (Action: IBB, in coordination with MBN)  | Resolved   |

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|-----|-----------------------------------|---------------|---|------------|------------|--|------------|
| 484 | International Broadcasting Bureau | ISP-IB-17-21  | Inspection of Radio Free Europe/Radio Liberty | 5/19/2017  | 7          | The International Broadcasting Bureau, in coordination with Radio Free Europe/Radio Liberty, should establish a written protocol on background investigations to comply with the grant agreement. (Action: IBB, in coordination with RFE/RL) | Resolved   |