

Spring Inspection Cycle Addendum to Work Plan Fiscal Years 2022-2023



Office of Inspector General
United States Department of State

OIG-ERM-22-03

Contents

OIG Work Planning Process.....	1
Office of Inspections.....	2
Appendix A: Abbreviations and Definitions	4

OIG Work Planning Process

OIG assesses relative risks in the programs for which it has oversight authority. OIG does so to identify areas most in need of attention and, accordingly, to set priorities for the sequence and proportion of resources to be allocated. This work plan identifies the projects, priorities, and initiatives that will support OIG's ability to achieve its strategic goals and objectives through FY 2023 by:

- Providing independent oversight that promotes integrity and positive change;
- Engaging stakeholders to address Department of State (Department) and U.S. Agency for Global Media (USAGM) priorities and challenges; and
- Strengthening OIG's workforce and internal operations.

Work planning is a dynamic process, and adjustments are made throughout the year to meet priorities and to anticipate and respond to emerging issues by utilizing available resources. The projects and plans presented in this work plan addendum are contingent upon availability of funds, budget decisions, and priorities and are, therefore, subject to revision.

For inspections planned for Fall 2021 and Winter 2022, as well as other planned OIG work for FYs 2022–2023, please refer to OIG's previously published Work Plan for FYs 2022–2023 and Winter Cycle Addendum.

SPRING INSPECTION CYCLE ADDENDUM TO THE FY 2022–2023 WORK PLAN

Typically, the Office of Inspections' (ISP) annual planning process results in a full fiscal year of scheduled inspections. However, ISP is planning inspections on a rolling basis due to uncertainties caused by the COVID-19 pandemic. ISP will continue to assess evolving guidance related to the COVID-19 pandemic to plan for FY 2022 and beyond, as appropriate.

Please note that COVID-19 related travel restrictions prevented OIG from conducting: (1) the classified inspection of Embassy Luxembourg, Luxembourg, and (2) the classified inspection of Embassy Praia, Cabo Verde, as planned for the Winter FY 2022 inspection cycle.

Office of Inspections

The Office of Inspections (ISP) is comprised of multidisciplinary teams responsible for carrying out OIG's mandate to inspect overseas missions and domestic entities of the Department and the operations of USAGM. With respect to the Department, inspections are independent assessments that cover three broad areas, consistent with Section 209 of the Foreign Service Act of 1980:

- **Policy Implementation:** whether policy goals and objectives are being effectively achieved, whether U.S. interests are being accurately and effectively represented, and whether all elements of an office or mission are being adequately coordinated.
- **Resource Management:** whether resources are being used and managed with maximum efficiency, effectiveness, and economy and whether financial transactions and accounts are properly conducted, maintained, and reported.
- **Management Controls:** whether the administration of activities and operations meets the requirements of applicable laws and regulations; whether internal management controls have been instituted to ensure quality of performance and reduce the likelihood of mismanagement; whether instances of fraud, waste, or abuse exist; and whether adequate steps for detection, correction, and prevention have been taken.

ISP reviews a variety of factors to create and implement a multi-tiered system for planning and conducting inspections. ISP seeks to target the Department's most significant vulnerabilities and to make its inspections as effective and efficient as possible.

ISP's approach for planning overseas inspections incorporates factors that provide information on major functions at posts, including physical and information security, consular operations, and management platforms. In its inspection planning, ISP also considers the Department's major management challenges.

PLANNED OVERSEAS INSPECTIONS FOR THE FY 2022 SPRING INSPECTION CYCLE

The objectives for the inspections listed below are to assess each embassy's executive direction, policy implementation, resource management, and management controls. OIG will determine whether to conduct the inspections remotely or onsite based on current COVID-19 travel protocols.

Bureau	Inspection	Initiation Date
Bureau of Near East Asian Affairs (NEA)		
	<i>Embassy Kuwait City, Kuwait</i>	Spring 2022
Bureau of South and Central Asian Affairs (SCA)		
	<i>Afghanistan Diplomatic Engagement in Doha, Qatar</i>	Spring 2022
Bureau of Western Hemisphere Affairs (WHA)		
	<i>Embassy Buenos Aires, Argentina</i>	Spring 2022
	<i>Embassy La Paz, Bolivia</i>	Spring 2022
	<i>Embassy Ottawa and Constituent Posts, Canada</i>	Spring 2022
	<i>U.S. Mission to the International Civil Aviation Organization</i>	Spring 2022

PLANNED DOMESTIC INSPECTIONS FOR THE FY 2022 SPRING INSPECTION CYCLE

Agency/Bureau	Project Title	Initiation Date
Bureau of International Narcotics and Law Enforcement Affairs (INL)	<p><i>Inspection of the Bureau of International Narcotics and Law Enforcement Affairs</i></p> <p>INL administers more than \$1 billion in annual foreign assistance funding directed to programs combatting international crime and narcotics trafficking. INL is responsible for the development, supervision, coordination, and implementation of the Department's international crime and narcotics control assistance activities, including high-profile efforts in Central America and support of criminal justice sector programs in post-conflict countries, such as Afghanistan. Preliminary objectives of this inspection are to determine whether INL: (1) effectively achieved policy goals and objectives related to international narcotics control assistance activities; and (2) carried out its foreign assistance and operational functions consistent with requirements of law, regulation, and the bureau's own policies and procedures for the administration of INL programs.</p>	Spring 2022

Appendix A: Abbreviations and Definitions

Identifier	Definition
COVID-19	Coronavirus Disease 2019
Department	Department of State
FY	Fiscal Year
INL	Bureau of International Narcotics and Law Enforcement Affairs
ISP	Office of Inspections
OIG	Office of Inspector General
NEA	Bureau of Near East Asian Affairs
SCA	Bureau of South and Central Asian Affairs
USAGM	U.S. Agency For Global Media (Formerly the Broadcasting Board of Governors)
WHA	Bureau of Western Hemisphere Affairs



HELP FIGHT

FRAUD, WASTE, AND ABUSE

1-800-409-9926
stateoig.gov/HOTLINE

If you fear reprisal, contact the
OIG Whistleblower Coordinator to learn more about your rights.
WPEAOmbuds@stateoig.gov